

Representative Policy Board  
**Finance Committee**  
South Central Connecticut Regional Water District  
90 Sargent Drive, New Haven, Connecticut

Or

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**AGENDA**

**Regular Meeting of Monday, February 9, 2026 at 5:00 p.m.**

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1. Safety Moment
2. Approval of Minutes of the January 12, 2026 meeting
3. Cyber/Technology/Resiliency Update: P. Singh and K. Schnaitmann – *Upon 2/3 vote, convene in possible executive session pursuant to C.G.S. Section 1-200(6)(E) for matters covered by Section 1-210(b)(19)(i)(ii), pertaining to security risk.*
4. Consider and act on recommendation to the Representative Policy Board regarding completeness, mode, and date of public hearing for approval of the 2026 Rate Application (“Application”) and issuance of proposed bonds
5. Authority meeting attendance:
  - a. Thursday, February 26, 2026 at 12:30 p.m. – Mr. Marino (Ms. Young, as backup)
  - b. Thursday, March 26, 2026 at 12:30 p.m. – Ms. Young
  - c. Thursday, April 23, 2026 at 12:30 p.m. – Mr. Clifford
  - d. Thursday, May 28, 2026 at 12:30 p.m. – Mr. Jaser
6. New Business
7. Next meeting – March 11, 2026 at 5:00 p.m.
8. Adjourn

\*Members of the public may attend the meeting in person or via remote access using instructions at the top of the agenda. To view meeting documents, please visit [www.rwater.com](http://www.rwater.com). For questions, contact the board office at 203-401-2515 or by email at [jslubowski@rwater.com](mailto:jslubowski@rwater.com)

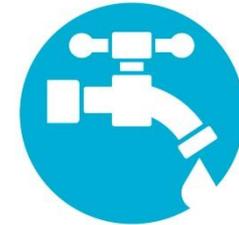
# SAFETY MOMENT

## SEASONAL INFLUENZA PREVENTION

According to the World Health Organization, there are approximately one billion cases of seasonal influenza reported annually, including 3-5 million cases of severe illness. People at greater risk include pregnant women, children under the age of 5, older people, and individuals with chronic medical conditions. Symptoms begin 1-4 days after exposure and usually last about a week. Below are ways to prevent the flu:

- Wash hands regularly and keep hand sanitizer nearby.
- Cover your mouth and nose when coughing or sneezing.
- Dispose of tissues correctly.
- STAY HOME when you are not feeling well.
- Avoid contact with sick people.
- Avoid touching your eyes, nose or mouth.

Tap Into  
Safety



Regional Water Authority



Service – Teamwork – Accountability – Respect – Safety

Safety is a core company value at the Regional Water Authority .  
It is our goal to reduce workplace injuries to zero.

 Regional Water Authority

**Representative Policy Board  
Finance Committee  
South Central Connecticut Regional Water District  
Via Remote Access**

**MINUTES**

**Regular Meeting of Monday, January 12, 2026 at 5:00 p.m.**

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**Committee Present:** Vincent M. Marino(R), Thomas P. Clifford III, James X. DiCarlo(R), Jasper J. Jaser, Carolyn Mancini(R), Michelle Verderame(R), and Jamie Mowat Young(R)

**RPB:** Robert E. Harvey, Jr., Naomi Campbell(R), Charles Havrda(R), Stephen Iacuone(R), and Stephen Mongillo(R)

**Authority:** Todd Cort(R) and Kevin Curseaden(R)

**Management:** Sunny Lakshminarayanan, Rochelle Kowalski, Prem Singh, Jim Hill, C. DelVecchio, and Tara Augur

**OCA:** Jeffrey Donofrio, Esq.

Chair Marino called the meeting to order at 5:00 p.m. He reviewed the Safety Moment distributed to members.

On motion made by Mr. Clifford and seconded by Mr. Jaser, the Committee voted unanimously to approve the minutes of its December 8, 2025 meeting.

Ms. Young moved for approval of the following resolution for recommendation to the Representative Policy Board:

**WHEREAS**, the South Central Connecticut Regional Water Authority (the “Authority”) proposes to issue its Bonds which may be issued as Project Loan Obligations delivered to the State of Connecticut (the “Bonds”) in accordance with Special Act 77-98, as amended, of the General Assembly of the State of Connecticut (the “Act”) and the Water System Revenue Bond Resolution, General Bond Resolution, adopted by the Authority and approved by the Representative Policy Board of the South Central Connecticut Regional Water District (the “RPB”) on July 31, 1980, as amended and supplemented (the “General Bond Resolution”); and

**WHEREAS** the Act authorizes the Authority to issue its bonds from time to time but subject to the approval of the RPB.

**NOW THEREFORE BE IT RESOLVED THAT:**

1. The Bonds shall not exceed Four Million Two Hundred Thousand Dollars (\$4,200,000) in aggregate principal amount or so much as may be necessary after deducting grants or other sources of funds available for the Project (as hereinafter defined).
2. The Bonds may be issued as obligations in one or more series pursuant to the General Bond Resolution and a supplemental resolution to be adopted by the Authority for each series of Bonds, each of which shall specify the amount of the Bonds, the purposes for which the Bonds are to be issued, the date or dates,

maturities, sinking fund installments if any, interest rates, series, denominations, form, redemption prices, security provisions and such other details of the Bonds as the Authority shall determine in accordance with the limits established by the General Bond Resolution and hereby.

3. The purposes of the Bonds shall be (I) to finance or refinance the cost of electrical improvements to the Lake Gaillard Water Treatment Plant including, but not limited to, replacing major electric equipment inclusive of the Motor Control Centers, hydro-generator switchgear and controls, the transformer, the filter plant main switchboard, automatic transfer switch, and other electrical components; (II) to provide funds for deposit to the Capital Contingency Fund and the Debt Reserve Fund, as necessary pursuant to the General Bond Resolution and as permitted by the Internal Revenue Code of 1986, as amended and (III) to pay costs of issuance (the "Project").
4. The Bonds may be sold by negotiation as serial or term bonds with stated maturities and may be sold in a private or direct placement to a bank or the State of Connecticut.

Mr. Clifford seconded the motion, and the Committee voted unanimously to approve the resolutions for recommendation to the Representative Policy Board.

Mr. DelVecchio, the RWA's Controller, reviewed the second quarter 2026 financial report, which included:

- Balance sheet
- Income statement
- Maintenance test
- Revenues and expenses
- Operating and maintenance key variances
- Capital budget
- Investment earnings report

Ms. Kowalski reviewed the RPB Dashboard Metrics for the second quarter of FY 2026.

Assignments were made for Authority meetings on:

- February 26 – Mr. Marino (Ms. Young, as backup)
- March 26 – Ms. Young
- April 23 – Mr. Clifford
- May 28 – Mr. Jaser

There was no new business to report.

The next meeting of the Finance Committee is on Monday, February 9, 2026 at 5:00 p.m., via hybrid.

At 5:32 p.m., on motion made by Mr. Clifford and seconded by Mr. Jaser, the Committee voted unanimously to adjourn the meeting.

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Vincent Marino, Chair

(R) = Attended remotely.

UNAPPROVED



# RPB Finance Committee

Cyber/Technology Resiliency Update - Feb 9th 2026

## Agenda

- **Enterprise Risk Management Strategy**
- **RWA Benchmark**
- **Enterprise SOC Governance**
- **Cybersecurity FY26 Initiatives / Action Plan**
- **Enterprise Cybersecurity 5-year Journey**
- **Enterprise Cybersecurity IT / OT Defense 2021-2026**
- **RPB Finance Committee Q&A**

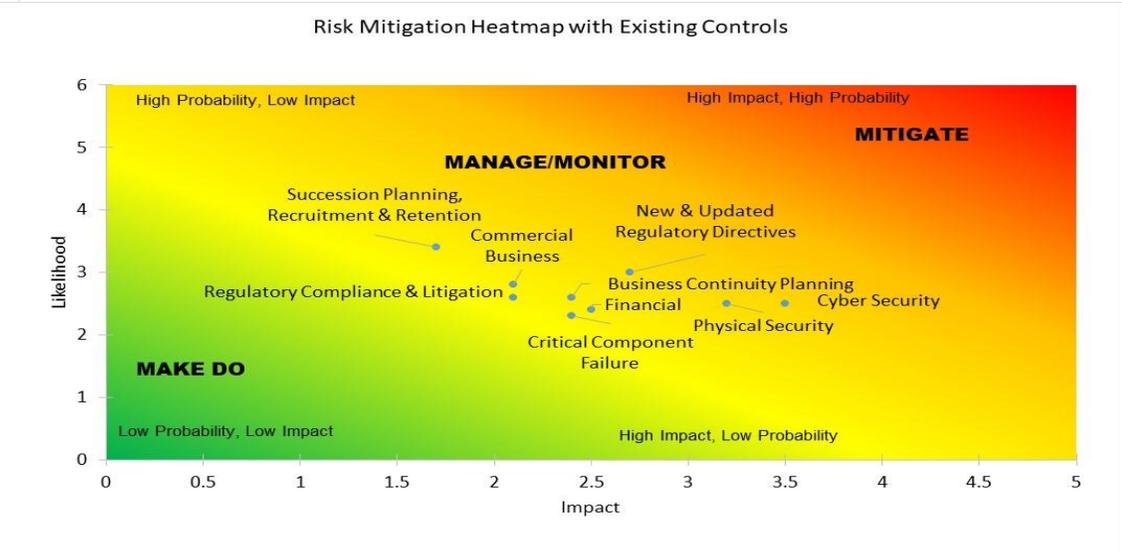
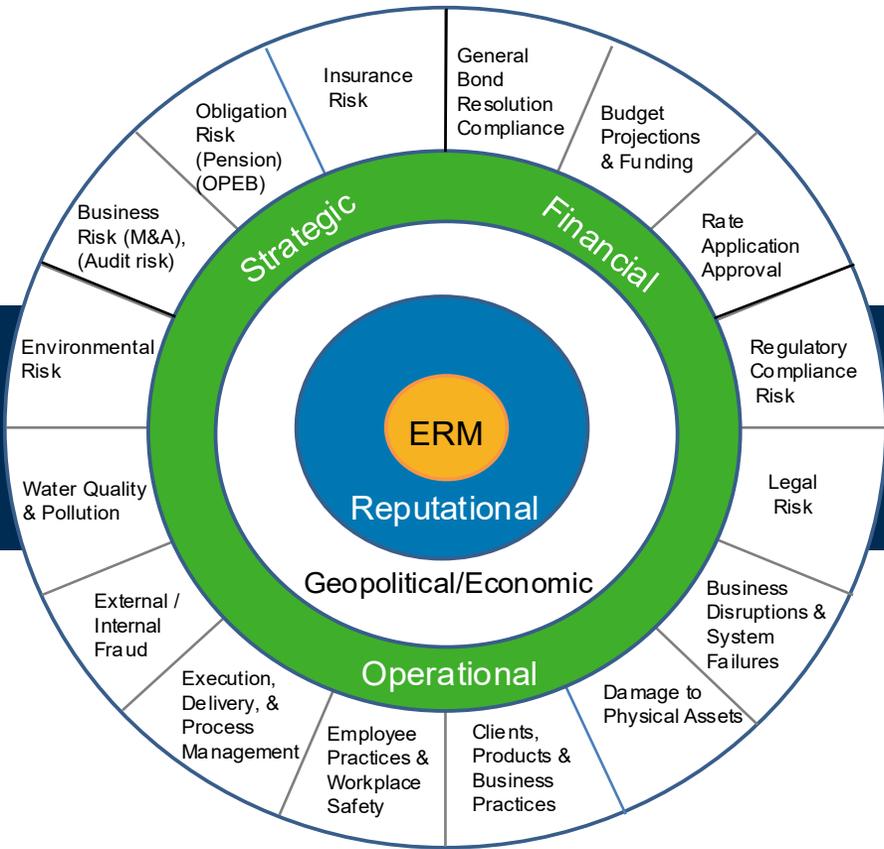


# Enterprise Risk Management Strategy

The Regional Water Authority has established a Risk Mitigation Team which strategically identifies risks impacting RWAs ability to deliver products and services to our customers and constituents. The Risk Committee oversees the Enterprise Risk Management Operational Strategy to identify the impact of potential risks to the Authority and maintain controls and action plans to reduce the probability and impact of identified risks.

Illustrative - update May2026

## ENTERPRISE RISK LANDSCAPE



# RWA Benchmark

## The CMMC(Cybersecurity Maturity Model Cert.) NIST aligned

The following chart shows the number of practices satisfied for each level. The shaded portion of each block indicates the percentage satisfied.

150/171 Total Practices Satisfied for 87.72%  
Compliance for Target Level 5  
Achieved Level 2



Standards

## CLA Cybersecurity external Audit

### Sample - Completed Audit Risk mitigation report

- Centralized Event Logging for servers
- Create plan for updating out of date hardware firmware
- Restrict access to out-of-date PLC Devices and routers
- Finalize SCADA Business Continuity Plan
- Cybersecurity CISA OT/IT training for SCADA Staff
- Creation of SCADA Incident response plans
- Off Site SCADA Backup
- Add SCADA related information to RWA Cybersecurity Policy
- Remove or Upgrade unsupported operating systems from Scada Network

Compliance

## Positive outlook S&P Credit rating agency

## Beazley Ransomware assessment 100% compliant

Acknowledgements: This factsheet was generated by a work group composed of representatives from the U.S. Environmental Protection Agency (EPA), Office of National Cyber Director (ONCD), Association of State Drinking Water Administrators (ASDWA), Cybersecurity and Infrastructure Security Agency (CISA), North Dakota Insurance Reserve Fund (NDIRF), South Central Connecticut Regional Water Authority, Water Information Sharing and Analysis Center (WaterISAC), and Water Sector Coordinating Council (WSCC).



October 2024  
EPA-810-F-24-031

## EPA Cyber Insurance guidelines and fact-sheet for Drinking Water and Waste Water systems

<https://www.epa.gov/system/files/documents/2024-10/cyber-insurance-final-508-101624.pdf>

CTAWWA Smart Water Committee

CT Cyber Committee

CT AI Policy Summit

CT NG Cyber Nutmeg

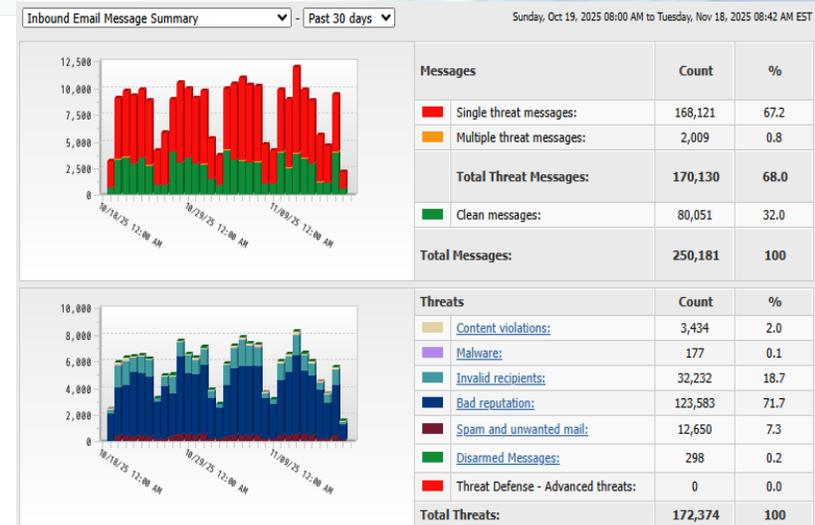


Industry

## DHS Cyber Hygiene Report



## Email Scan Dashboard



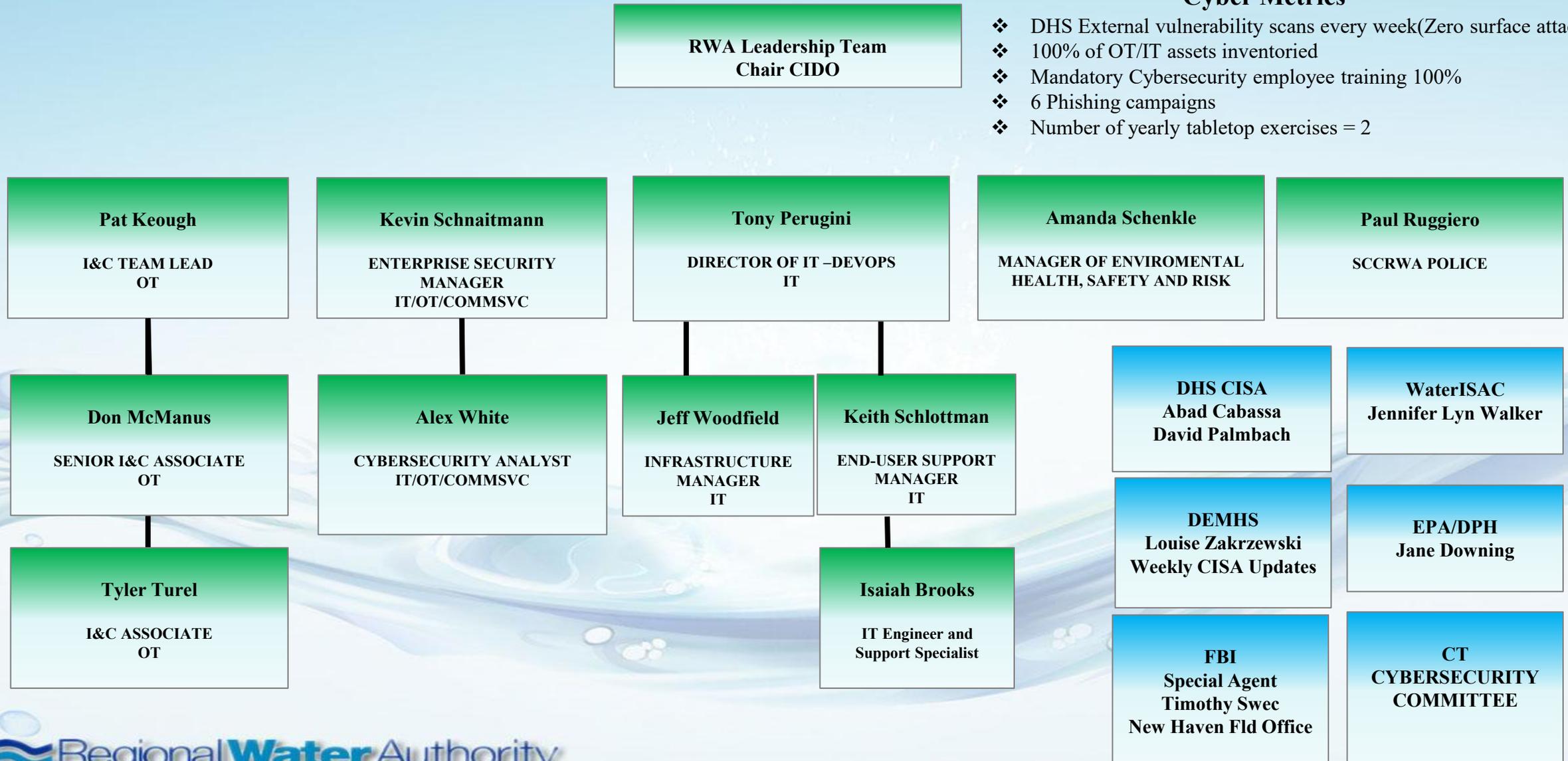
Metrics



# ENTERPRISE SOC GOVERNANCE

## Cyber Metrics

- ❖ DHS External vulnerability scans every week (Zero surface attack)
- ❖ 100% of OT/IT assets inventoried
- ❖ Mandatory Cybersecurity employee training 100%
- ❖ 6 Phishing campaigns
- ❖ Number of yearly tabletop exercises = 2





# Cybersecurity FY26 Initiatives / Action Plan

## **Embrace innovation and new technology**

- ✓ Deploy additional DLP (Data Loss Prevention) protections S/W to desktops focused on AI warnings, insider threats, and sensitive file protections
- ✓ Rollout latest wireless technology for RWA buildings and Treatment Plants
- ✓ Implement satellite technology for internet access at RWA emergency operations center Lake Gaillard
- ✓ Increase internet speeds to 2GB to better serve our cloud-based applications and storage
- ✓ Implemented Dredone Technology

## **Implement Cyber Security Best Practices across the RWA**

- ✓ Password updates on all network device hardware
- ✓ Perform OT vulnerability scans and remediation
- ✓ 2026 Beazley Insurance ransomware supplemental
- ✓ Perform IT vulnerability scans and remediation / Proactive reporting attacks to DHS/FBI
- Review necessary changes at RWA for the new CMMC level 3 compliance
- ✓ Encryption of all security logging data for our firewalls
- ✓ Establish and implement DOS (denial of service) protections utilizing services from our ISP's
- ✓ All Radio firewalls upgraded to compliance
- ✓ Preparing the new wireless network for Network Access Control (NAC) to increase security on our wireless networks
- ✓ Implemented AI Policy

## **Education, Training and development**

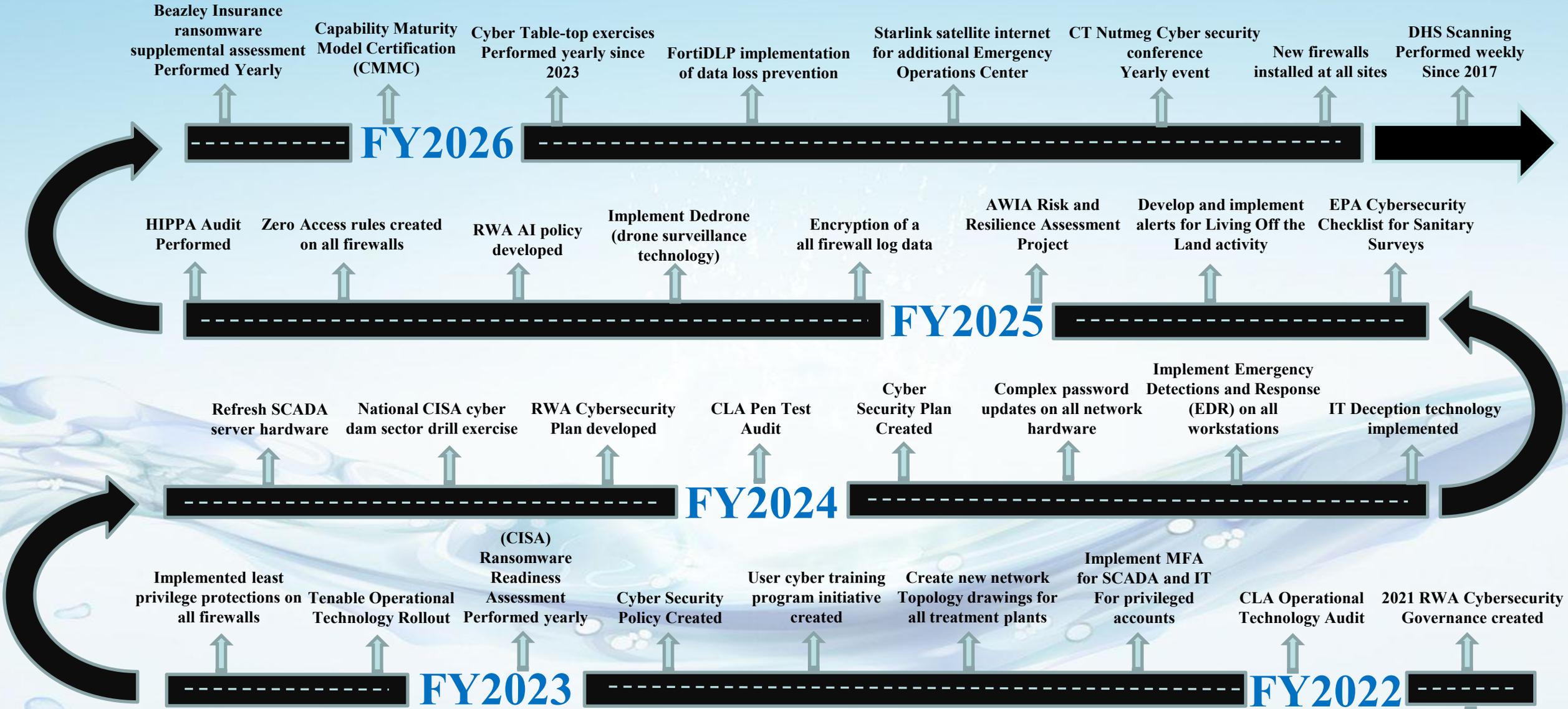
- Perform four user email phishing campaigns
- Perform two social engineering campaigns
- ✓ Create cyber insider threat reporting program
- Include board on cyber news updates
- Perform atleast quarterly safety inspections with Safety Department and CIDO/Leadership
  - ✓ Treatment plants Whitney control room and closet, and Lake Gaillard WTP
  - ✓ West River Control room and new daft building & Lake Saltonstall
- Perform at least 2 table-top exercises

## RWA's family of emergency preparedness and response plans:

- RWA Incident Management Plan
- RWA Business Continuity Plan
- RWA Corporate Cybersecurity Policy
- RWA Corporate Cybersecurity Plan
- Information Technology Emergency Response Plan



# RWA Enterprise Security 5-year Journey





# Enterprise Cybersecurity IT / OT Defense 2021-2026

## BORDER PROTECTION

- ✓ Intrusion Detection
- ✓ Intrusion Prevention
- ✓ Denial of Service Filtering
- ✓ Firewall Segmentation
- ✓ Spam Filtering
- ✓ Forensic Logging
- ✓ Enterprise-Wide Antivirus and Anti-Malware
- ✓ Bandwidth Monitoring for High Session Alerts
- ✓ DHS Pentest Scanning
- ✓ DMZ protected network segmentation
- ✓ Proactive Incident Reporting to DHS/FBI/CISA
- ✓ Multifactor Authentication
- ✓ Restricted Internet Access For Key Networks
- ✓ Private Comm Links for OT

## NETWORK ACCESS

- ✓ Secure Remote Access
- ✓ Network Performance Monitoring
- ✓ Restricted Admin Access for Network Hardware
- ✓ Rogue AP Detection
- ✓ Redundant WAN/ISP Infrastructure
- ✓ Disaster Recovery Environment
- ✓ Vulnerability Scanning
- ✓ Least Privilege User Protection
- ✓ Endpoint Detection and Response (EDR)
- ✓ Geographic Country Blocking Firewall Rules
- ✓ Separate OT and IT Environments
- ✓ Deceptor and Data Loss Prevention solutions

## INVENTORY CONTROL

- ✓ Multiple Asset Inventory Tools
- ✓ Life Cycle Replacements
- ✓ System Patching
- ✓ Software Inventory
- ✓ Microsoft Audit Licensing
- ✓ Configuration Backups
- ✓ Change Control
- ✓ Patch Management
- ✓ Enterprise Architecture Governance
- ✓ Network Topology and Cloud Application Documentation
- ✓ System backups and test restorations
- ✓ Network topology drawings
- ✓ Daily off-site tape storage
- ✓ Active Directory Alerts for User and Groups

## USER EXPERIENCE

- ✓ Computer Use Monitoring
- ✓ Password Management
- ✓ Laptop Encryption
- ✓ Encrypted Backups
- ✓ Mobile Device Management
- ✓ Employee Cyber Training
- ✓ Quarterly Phishing Tests
- ✓ Monthly Cyber Newsletter
- ✓ Computer Use Policy
- ✓ DNS and Application Filtering
- ✓ USB Drive Blocking
- ✓ Firewall User Application Filtering
- ✓ Endpoint Isolation for Ransomware
- ✓ Roll based access control
- ✓ Zero Trust Access
- ✓ Web filtering

## GOVERNANCE

- ✓ Cyber Governance
- ✓ Partnerships with CISA/DHS, CTAWWA, FBI, CT State Cyber Committee, and Others
- ✓ Business Continuity Plans
- ✓ Incident Response Plans
- ✓ Cyber Security Policy/Plan
- ✓ Threat Feeds Monitored
- ✓ Tabletop Drill Exercises
- ✓ Strategic Plan Includes Cyber Security
- ✓ Cyber Insurance \$5 Million
- ✓ AI Policy Created
- ✓ Multiple Risk Assessments Performed
- ✓ Cybersecurity Review for New Contracts
- ✓ Vendor Vetting Process
- ✓ NDAs
- ✓ Leadership-aligned Cyber Goals

# Comments & Questions



REPRESENTATIVE POLICY BOARD

**FINANCE COMMITTEE**

PROPOSED RESOLUTIONS

FEBRUARY 9, 2026

*(2026 RATE APPLICATION)*

**WHEREAS** the South Central Connecticut Regional Water Authority, on January 22, 2026, filed an application with the Representative Policy Board (“RPB”) for approval of the 2026 Rate Application (the “Application”); and

**WHEREAS** the Finance Committee of the Representative Policy Board reviewed the Application and recommended that the Application be accepted by the RPB as complete; and

**WHEREAS** the Finance Committee recommended that a public hearing be conducted by a Presiding Member; and

**WHEREAS** the Finance Committee proposed a public hearing date of March 26, 2026 at 7:00 p.m., in accordance with Special Act 77-98, as amended, and the RPB Bylaws and Rules of Practice.

**NOW THEREFORE BE IT RESOLVED**, that the RPB accepts the Finance Committee’s recommendation to consider the Authority’s Application and determined to hold a public hearing, to be conducted by a Presiding Member, on March 26, 2026 at 7:00 p.m., in accordance with Special Act 77-98, as amended, and the RPB Bylaws and Rules of Practice; and

**RESOLVED FURTHER**, that the Chairperson is hereby directed to give notice of said hearing in accordance with Section 11 of the Rules of Practice, as amended.

REPRESENTATIVE POLICY BOARD

**FINANCE COMMITTEE**

FEBRUARY 9, 2026

PROPOSED RESOLUTION

*(Approving the Issuance of Bonds re 2026 Rate Application)*

**WHEREAS**, the South Central Connecticut Regional Water Authority (the “Authority”) proposes to issue its Bonds which may be issued as Project Loan Obligations delivered to the State of Connecticut (the “Bonds”) in accordance with Special Act 77-98, as amended, of the General Assembly of the State of Connecticut (the “Act”) and the Water System Revenue Bond Resolution, General Bond Resolution, adopted by the Authority and approved by the Representative Policy Board of the South Central Connecticut Regional Water District (the “RPB”) on July 31, 1980, as amended and supplemented (the “General Bond Resolution”); and

**WHEREAS**, the Act authorizes the Authority to issue its bonds from time to time but subject to the approval of the RPB.

**NOW THEREFORE BE IT RESOLVED THAT:**

1. The RPB hereby approves the issuance of the Authority’s Bonds in an aggregate principal amount not to exceed Thirty -five Million Dollars (\$35,000,000).
2. The Bonds may be issued as obligations in one or more series pursuant to the General Bond Resolution and a supplemental resolution to be adopted by the Authority for each series of Bonds, each of which shall specify the amount of the Bonds, the purposes for which the Bonds are to be issued, the date or dates, maturities, sinking fund installments if any, interest rates, series, denominations, form, redemption prices, security provisions and such other details of the Bonds as the Authority shall determine in accordance with the limits established by the General Bond Resolution and hereby.

The purposes of the Bonds shall be to finance or refinance the cost of certain capital improvements to the water system of the Authority (the “Projects”) from approximately July, 2026 through December, 2027 in accordance with a certain capital improvement plan (the “Plan”) adopted by the Authority on June 26, 2025, as may be amended from time to time, or in accordance with resolutions approved by the Authority for additional water system projects, (the “Resolutions”), to provide funds for deposit to the Capital Contingency Fund, Debt Reserve Fund, and Operating Reserve Fund, as necessary pursuant to the General Bond Resolution and as permitted by the Internal Revenue Code of 1986, as amended and to pay costs of issuance.

3. The Bonds may be sold by a competitive bid or by negotiation as serial or term bonds with stated maturities and may be sold in a private or direct placement to a bank or the State of Connecticut.