

South Central Connecticut Regional Water Authority

90 Sargent Drive, New Haven, Connecticut
or

***Dial in by phone**

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Phone conference ID: 418 617 330#

AGENDA

Regular Meeting of Thursday, May 28, 2026 at 12:30 p.m.

1. Call to Order
 - 1.1 Safety Moment
2. Public Comment: The time limit granted to each speaker shall be three (3) minutes. Residents and customers may address the Board.
3. RWA Strategic Update: Dr. Andrew Whiskeyman - *Upon 2/3 vote, convene in executive session pursuant to C.G.S. Section 1-200(6)(E) to discuss matters covered by Section 1-210(b)(5)(A)(B), pertaining to trade secrets and commercial and financial information.*
4. Meet as Audit-Risk Committee: T. Cort
 - 4.1 Approve Minutes – February 26, 2026 meeting
 - 4.2 Risk Management Update Memorandum– *Upon 2/3 vote, convene in possible executive session pursuant to C.G.S. Section 1-200(6)(E) for matters covered by Section 1-210(b)(19)(i)(ii), pertaining to security risk.*
 - 4.3 FY 2027 Committee Work Plan
5. Meet as Environmental, Health & Safety Committee: M. Ricozzi
 - 5.1 Approve Minutes – March 26, 2026 meeting
 - 5.2 Interim Legislative Session update
 - 5.3 Water Quality Issues update
 - 5.4 FY 2027 Committee Work Plan
6. Act on matters arising from Committee meetings
7. Consent Agenda
 - 7.1 Approve Minutes - April 23, 2026 regular meeting
 - 7.2 Capital Budget Authorization - June 2026
 - 7.3 Monthly Financial Report –April 2026
 - 7.4 Accounts Receivable Update – April 2026
 - 7.5 Consider and act on exemptions from public bid for FY27
 - 7.6 FY27 Strategic Planning Committee Work Plan
 - 7.7 FY27 Strategic Plan Initiatives & Global Metrics
 - 7.8 LUC Special Topic: Madison Slash Wall/CAES Experimental Area
 - 7.9 RWA Rules and Regulations – Proposed Changes
8. Reports on RPB Committee meetings
9. Finance: R. Kowalski
 - 9.1 Consider and act on 41st Series Resolutions – POS and Supplemental Resolution
10. Updates: S. Lakshminarayanan
 - 10.1 Monthly Business Highlights: S. Lakshminarayanan
 - 10.2 *AWA Update: S. Lakshminarayanan and R. Kowalski - *Upon 2/3 vote, convene in executive session pursuant to C.G.S. Section 1-200(6)(E) to discuss matters covered by Section 1-210(b)(5)(A)(B), pertaining to trade secrets and commercial and financial information.*
11. [RECESS AUTHORITY MEETING TO ATTEND RPB MEETING]
12. Consider and act on resolutions to approve FY 2027 Budget and authorize filing with Trustee

*Members of the public may attend the meeting in person or by conference call. To view meeting documents please visit <https://tinyurl.com/4bdsdscw>. For questions, contact the board office at 203-401-2515 or by email at jslubowski@rwater.com.

**RPB Member (J. Jaser) is excused at Item 10.2*

SAFETY MOMENT

Importance of Stretching

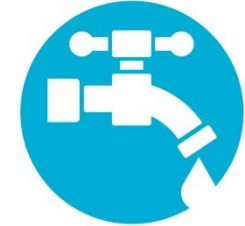
“Make sure you stretch!” is something we often hear before or after we participate in any sort of exercise. But is stretching that good for you? What exactly are the benefits of having a regular stretching routine? Let's explore the benefits of stretching below:

1. **Increased Flexibility to Prevent Injury** – reduces risk of muscle imbalance
2. **Reduced Inflammation** – increases range of motion
3. **Improved Strength** – increases muscle control
4. **Freedom of Movement** – increases independence as we age
5. **Relaxation and Relief** – provides mental wellness

Stretching is an important aspect of any fitness routine, whether you're an elite-level athlete or starting an exercise program for the first time. Increased flexibility has various health benefits that will improve quality of life and increase overall fitness and performance.



**Tap Into
Safety**



Regional Water Authority

Service – Teamwork – Accountability – Respect – Safety

Safety is a core company value at the Regional Water Authority .
It is our goal to reduce workplace injuries to zero.

 Regional Water Authority

South Central Connecticut Regional Water Authority

Audit-Risk Committee

February 26, 2026

Minutes

A regular meeting of the South Central Connecticut Regional Water Authority Audit-Risk Committee took place on Thursday, February 26, 2026, at 90 Sargent Drive, New Haven, Connecticut and via remote access. Chair Cort presided.

Present: Committee – Messrs. Cort, Borowy, Curseaden, and Ricoszi, and Mss. LaMarr and Sack
RWA – Mss. Kowalski and Calo(R), and Messrs. Lakshminarayanan, Hill(R), and Singh
CliftonLarsonAllen – Messrs. Flint(R) and Epstein(R)
RPB – Mr. Marino(R)
Staff – Ms. Slubowski

4. MEET AS AUDIT-RISK COMMITTEE

Newly appointed Audit-Risk Committee chair, Dr. Cort, called the meeting to order at 12:32 p.m.

4.1 APPROVE MINUTES – DECEMBER 18, 2025 MEETING

On motion made by Mr. Curseaden and seconded by Mr. Ricoszi, the Committee voted to approve the minutes of its meeting held on December 18, 2025.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricoszi	Aye
Sack	Aye

4.2 FY 2026 PLAN OF AUDIT

Messrs. Flint and Epstein of CliftonLarsonAllen (CLA), RWA’s external auditor, reviewed the fiscal year 2026 audit plan and process. They reviewed the communications letter outlining auditor responsibilities, internal controls, audit scope, and anticipated timeline. They also provided information on the new reporting requirement; GASB 102, *Certain Risk Disclosures*, and discussed reporting requirements for Aquarion Water Authority, if approved, and timing of the FY 2026 close. Mr. Flint stated that the RWA audit will begin in April and end in September.

At 12:40 p.m., Messrs. Flint and Epstein withdrew from the meeting and on motion made by Mr. Borowy and seconded by Ms. LaMarr, the Committee voted to adjourn the meeting.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricoszi	Aye
Sack	Aye

Todd Cort, Chair

(R) = Attended remotely.

Authority Board Strategic Planning Committee

FY2027 Work Plan

In partnership with CEO and executive leadership, the Strategic Planning Committee steers the organization's long-term future by approving and monitoring the strategic plan to ensure strict alignment with mission, vision, values, and key performance indicators.

June 2026

- Consent Agenda: FY2026 Strategic Plan Results Report

January 2027

- Consent Agenda: FY2027 Six-Month (June-November 2026) Strategic Plan Results Report
- Discussion: FY2028 Strategic Planning Process & Key Focus Areas

South Central Connecticut Regional Water Authority
Environmental Health & Safety Committee

March 26, 2026

Minutes

The regular meeting of the Environmental, Health & Safety Committee (“Committee”) of the South Central Connecticut Regional Water Authority (“RWA”) took place on Thursday, March 26, 2026, at 90 Sargent Drive, New Haven, Connecticut and via remote access. Chair Ricozzi presided.

Committee Present – Messrs. Ricozzi, Borowy, Cort and Curseaden, and Ms. LaMarr

Committee Absent – Ms. Sack

Management – Mss. Kowalski and Calo(R), and Messrs. Lakshminaryanan, Hill(R), and Singh

RPB – Ms. Young

Staff – Mrs. Slubowski

4. MEET AS ENVIRONMENTAL, HEALTH & SAFETY COMMITTEE

The Chair called the meeting to order at 12:34 p.m.

4.1. APPROVE MINUTES – NOVEMBER 20, 2025

On motion made by Ms. LaMarr and seconded by Mr. Cort, the Committee voted unanimously to approve the minutes of its meeting held on November 20, 2025.

Borowy	Aye
Cort	Aye
Curseaden	Absent
LaMarr	Aye
Ricozzi	Aye
Sack	Absent

At 12:35 p.m., Mr. Curseaden entered the meeting.

Chair Ricozzi stated that four updates were included in the Committee meeting package: Business Continuity/Safety update, Recreation Activity update, Physical Security update, and the Deer Hunt update. Members should have reviewed the memorandums and be prepared to discuss questions. He noted that he would provide a quick review of each topic.

4.2. BUSINESS CONTINUITY/SAFETY UPDATE

The recent update incorporated highlights from the 2025 Risk and Resiliency Assessment, which included updated vendor contacts, employee phone trees, and advancements in disaster response capabilities, particularly through enhancements to the Customer Information System (CIS). These CIS upgrades feature cloud-based services, AI-assisted chatbots, and plans for live agent chat functionalities to improve customer support during emergencies. Additionally, RWA conducted a Wildfire Scenario Tabletop Exercise to bolster operational resilience. Chair Ricozzi noted his attendance at the exercise on March 25th and highlighted the expertise shown by staff during the exercise. He stressed the vital need for knowledge transfer and succession planning.

The updates also included health and safety initiatives highlighting RWA’s focus on reducing its Days Away or Restricted Time rate to 1.8 by improving accident investigations, enhancing new-hire training, and increasing hazard recognition. The Safe Start Training program, launched in 2025, has successfully reduced incidents among first-year employees, with zero injuries reported among participants as of February 2026.

In FY 2027, RWA will continue to focus on business continuity and safety measures by incorporating lessons from emergency exercises, updating response plans, enhancing redundancy in critical supplies, expanding safety training, and leveraging predictive modeling and advanced technologies.

Committee members discussed activities, training, individual development plans, and employee engagement and/or interest in other positions.

4.3. RECREATION ACTIVITY UPDATE

Chair RICOZZI reported that the recreation update detailed the RWA's planned recreational and environmental activities for the 2026 summer season. These include boating, trail inspections, educational nature walks, fishing events, and youth programs. Sustainability efforts focus on recycling fishing materials, while staff will also engage in community outreach through events like the Water Wagon.

Committee members discussed the need for more data and trail grooming.

4.4. PHYSICAL SECURITY UPDATE

Physical Security upgrades included:

- Access controls, cameras, and fencing improvements planned fiscal years 2026 and 2027.
- Police staffing
- Transition to State Police frequency by July 2026 to improve inter-agency communication.
- Accreditation status
- Increased calls for service from 2024 to 2025.
- Layered security approach with patrols, access control, surveillance, and law enforcement partnerships.

4.5. DEER HUNT UPDATE

Chair RICOZZI reported that the Deer Hunt update outlines an upcoming presentation that will be reviewed with the Representative Policy Board Land Use Committee on April 8, 2026. Included in the presentation will be details of the 2025 controlled archery-only deer hunt, which is part of the Connecticut Forestry Division's strategy to manage deer population and support forest regeneration.

Discussion took place regarding deer hunt strategy, methods of hunting, overpopulation, and deforestation.

At 12:52 p.m., on motion made by Mr. Curseaden and seconded by Mr. Borowy, the Committee voted to adjourn the meeting.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Absent

Mario Ricozzi, Chair

(R) = Attended remotely.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966
<http://www.rwater.com>

To: Authority Environmental, Health & Safety Committee
Mario Ricoszi - Chair
David J. Borowy
Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Suzanne C. Sack

Cc: Sunny Lakshminarayanan, Interim President and CEO
Elizabeth Calo, GM - HR

From: Nicoletta Blevins, Government and Community Relations Manager, Communications

Date: May 15, 2026

Subject: 2026 Legislative Issues Update

2026 Legislative Session Overview: RWA Impact

The 2026 legislative session (February 4 to May 6) resulted in 33 of the tracked 97 bills passing both chambers, with several carrying direct operational implications for the Regional Water Authority (RWA). Most notably, HB 5249—which would have restructured the Authority Board to shift majority control to the Aquarion Water Authority (AWA)—died in the House without a vote.

Key Operations & Regulatory Impacts

- **DEEP Discharge Permits:** Changes to the proposed statewide discharge permit (particularly regarding hydrant flushing) remain pending. The Department of Energy and Environmental Protection (DEEP) is expected to clarify whether a revised permit will be issued or if a June 1 public hearing will proceed.
- **CTDOT Encroachment:** Proposals that would have required utilities to obtain Connecticut Department of Transportation (CTDOT) encroachment permits and assume liability for privately owned service lines within the State Right of Way were removed from the final legislative agenda. However, CTDOT reportedly continues to request utility signatures.
- **Inland Wetlands Training:** New legislation directs DEEP to train inland wetlands commissions on riparian area protection, reflecting the work of the Riparian Buffers Working Group, on which the RWA successfully secured a representative

- **Water Quality & Infrastructure:**
 - Establishes rapid response requirements for hydrilla and other invasive species.
 - Requires the Department of Public Health (DPH) to set PFAS action levels for water bottlers.
 - Expands DPH's enforcement authority to impose civil penalties of up to \$25,000 per day for unlicensed professional services, including water operators.
- **Workforce Development:** Creates a new certification pathway for apprentice water operators.

H.B. 5249: AN ACT AMENDING THE CHARTER OF THE SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY CONCERNING THE POTENTIAL ACQUISITION OF A WATER COMPANY (*oppose, died*)

- The legislative proposal sought to repeal portions of the RWA charter and restructure the 11-member governing board to give the AWA majority control with six seats to the RWA's five. The substitute language would also have placed the new AWA under the Public Utilities Regulatory Authority (PURA). Although the bill advanced out of the Energy and Technology Committee, it never went to a vote in the House of Representatives.

H.B. 5551: AN ACT CONCERNING PROCEEDINGS OF THE SITING COUNCIL AND OTHER REQUIREMENTS CONCERNING CERTAIN UTILITY EXPENDITURES (*oppose, died*)

- This bill would modify provisions concerning the Connecticut Siting Council, including by modifying its membership, establishing the Consumer Counsel as a party in proceedings and modifying submission and notification requirements for council applications. Section 6 would eliminate references to the definition of utility under Section 16-1 on various ratemaking provisions.

Because these provisions historically apply only to investor-owned utilities, there were concerns that this revision sought to extend ratemaking restrictions to municipal and regional water companies. The goal was to cap the rates of the newly formed AWA. Ultimately, the bill died in committee.

S.J.R. 36: RESOLUTION PROPOSING A STATE CONSTITUTIONAL AMENDMENT CONCERNING ENVIRONMENTAL RIGHTS (*oppose, died*)

- This resolution would amend the Constitution of the State to establish an individual right for every resident to access clean and healthy air, water, soil, ecosystems, and a safe and stable climate. This amendment emphasizes that these rights are inalienable and may be directly invoked and enforced by residents. This resolution is strongly opposed by several different parties. It is likely to reappear next year.

H.B. 5334: AN ACT CONCERNING RIPARIAN AREAS (*support, passed*)

- Rather than establishing mandatory riparian buffers, the legislation was revised to require DEEP to hire staff to provide training to inland wetlands commissions on the importance of protecting riparian areas. This change reflects the work of the Riparian Buffers Working Group, where the RWA successfully secured a representative to ensure utility perspectives were included in the final recommendations.

H.B. 5153: AN ACT CONCERNING MINOR REVISIONS TO DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION RELATED STATUTES (*support, passed*)

- Water Discharge Permits: Clarifies that, for any DEEP-issued water discharge permit or related regulations, the term “discharges from public or private drinking water treatment systems” explicitly covers wastewater generated during routine potable-water system work. This includes: (a) draining water storage tanks or water lines for maintenance or hydrostatic testing; (b) raw or treated water released from process sampling points, including online analytical equipment; (c) leakage or bleed-off from treatment equipment; and (d) periodic hydrant flushing.

General Permits: Broadens DEEP’s authority to use general permits in place of individual permits when the agency determines—both individually and cumulatively—that an activity has minimal environmental impact, and states that determination in the public notice. The expanded general-permit authority does not apply to: (1) solid waste activities already under an individual permit, (2) resources recovery facilities, (3) biomedical waste facilities, (4) solid waste disposal areas, or (5) municipal solid waste composting facilities.

Open Space & Watershed Acquisition Program: Streamlines the program by resolving administrative barriers to conservation closings, allowing conservation language to be placed directly in deeds with DEEP easement protections, and enabling projects to close more quickly and efficiently.

H.B. 5518: AN ACT CONCERNING THE DEPARTMENT OF PUBLIC HEALTH'S RECOMMENDATIONS REGARDING VARIOUS REVISIONS TO THE ENVIRONMENTAL HEALTH AND DRINKING WATER STATUTES (*concerns, passed*)

- The legislation strengthens public health safeguards by standardizing drinking water monitoring and aligning state contaminant thresholds with federal EPA standards. While state law currently requires water bottlers to conduct annual source testing for PFAS and unregulated substances, this bill directs DPH to publish a formal monitoring schedule with specific acceptable levels. This framework ensures consistent oversight and strict adherence to federal environmental standards across all approved water sources.

To ensure the integrity of these testing processes, the bill significantly enhances state oversight of environmental laboratories. It eliminates current DPH discretion, replacing the existing laboratory registration framework with a strict mandate for full DPH certification for all testing facilities. Furthermore, the legislation expands regulatory accountability to encompass both laboratory owners and operators, requiring each certification to explicitly define authorized analytes and approved testing methodologies.

Finally, the measure addresses industry workforce needs by creating a supplemental pathway for operator certification. DPH is now authorized to certify apprentice operators for water treatment, distribution, and small water systems. To qualify, individuals must complete a Department of Labor-registered apprenticeship program and pass the required state examination. This new pathway effectively expands the talent pipeline

without altering or disrupting the state's existing operator training and certification programs.

H.B. 5464: AN ACT IMPLEMENTING RECOMMENDATIONS FROM THE DEPARTMENT OF TRANSPORTATION (*opposed, problematic language stripped*)

- Proposed statutory changes would have required utilities to obtain CTDOT encroachment permits for any work occurring within the State Right of Way (SROW), even in situations where the utility neither owned the affected infrastructure nor had any contractual relationship with the contractor or property owner. Because SROW boundaries often extend several feet onto private property, many customer-owned curb stops, laterals, and service lines fall within the SROW. Under the proposal, utilities would have been responsible for signing the permits and, by extension, assuming liability for ensuring that installation, paving, backfill, and other construction activities on privately owned infrastructure met CTDOT standards.

These provisions were ultimately removed by the legislature's Transportation Committee after significant concerns were raised by utilities and other stakeholders. However, despite the legislative outcome, CTDOT has reportedly continued to request utility signatures on encroachment permits, an issue that may require continued monitoring and coordination with affected utilities.

S.B. 321: AN ACT CONCERNING REQUIREMENTS FOR CERTAIN EXCAVATION PROJECTS (*monitored, passed*)

- Sets advance notice requirements for telecommunication service providers or broadband Internet access providers building any underground facility in the state's public highways, streets, or other public rights-of-way. Under the bill, the amount of advance notice and who it must go to (the Public Utilities Regulatory Authority (PURA) and the Department of Transportation (DOT)) depends on the project's length and location.

H.B. 5333: AN ACT CONCERNING THE REGULATION OF STRIPED BASS FISHING, A WORKING GROUP CONCERNING THE WILDLIFE CONSERVATION PROGRAM, AND PROCEDURES CONCERNING THE ESTABLISHMENT OF FISH PASSAGES (*concerns, passed*)

- Amended to establish the non-harvested species conservation program working group, which includes a water utility representative, staffed by DEEP. The amendment clarifies how donations to the non-harvested program must be deposited and allocated. The amendment makes procedural changes regarding petitioning DEEP to require a safe, timely, and effective fish passage to be installed at any hydroelectric dam that is not subject to federal regulation.

S.B. No. 335: AN ACT CONCERNING UTILITY CHARGES FOR RESIDENTIAL DWELLING UNITS
(concerns, passed)

- Prohibits residential rental agreements from requiring that tenants pay for utilities if there is no separate meter used to measure utilities delivered exclusively to their dwelling unit. (Existing law also prohibits rental agreements from requiring that tenants pay a heat or utilities surcharge if these costs are otherwise included in the rental agreement.)

The governor has yet to act on bills supported by the RWA and passed by both legislative chambers. In Connecticut, if the legislature has adjourned, the governor has 15 calendar days to sign or veto a delivered bill; otherwise, it automatically becomes law without a signature.

Water Quality
PFAS Program & Emerging Issues Update
Environmental Health & Safety Committee
May 2026



Water quality - PFAS - Update

- Current monitoring follows required data collection cadence
- Only South Cheshire Well Field will require treatment based on current regulatory limit requirements
- Powder Activated Carbon treatment pilot has been approved by CTDPH; installation in progress
- Class Action Suits Continue – RWA updates water chemistry and expense data quarterly
- Lab working on additional PFAS certifications
- PFAS regulation under review by EPA; expected to modify initial requirements.

Water quality - Emerging Issues

Topic	Description	RWA Impact
Affordability	Water Sector requesting permanent establishment of Low-Income Household Water Assistance Program	2020-2023, RWA received @ \$1M (program expired in 2023). Return would assist RWA customers.
Cybersecurity	Industry-specific requirements via Water Risk & Resiliency Organization as preferred option	RWA active in this area; WRRO plan would allow for utility flexibility
Fluoridation	HHS developed taskforce to review community fluoridation benefits	None; CT to codify fluoridation practices to be followed
PFAS – CERCLA Exemption	Congressional Act proposed to indemnify water/wastewater utilities	Expected to pass with bipartisan support
LCRI Regulation	Under review by EPA; AWWA and others granted a Judicial Review	Some form of regulation will apply; depends on EPA and DC District Court
M/DBPR	Revision to existing regulation; can add compounds, set min Cl2 residual	May establish tougher compliance standards, increase distribution system management practices

RWA Environmental, Health, and Safety Committee FY2027 Work Plan

The Committee shall review, provide oversight of and monitor (a) the RWA's environmental, health and safety policies, practices and actions; (b) trends and emerging issues at the legislative, regulatory and judicial levels concerning environmental, health and safety issues that affect the RWA, along with its positions and responses with respect thereto; and (c) Maintain an explicit, visible and communicated commitment to Business Continuity/Emergency Preparedness.

Aug '26

- Invasive Plant and Aquatic Species Update (memo)
- Forestry Management Update (memo)
- Raw Water Supply / Minimum Stream Flows / Safe Yield / Available Water (Executive Session Presentation & Discussion on use of the available water supply)

Nov '26

- Haz Waste Update (memo including 2026 usage numbers, financials and look into the future)
- Deer Management Update (memo showing trends and recommendations for changes)
- RWA (possibly compare & contrast with AWA) Best Practices in Safety (Executive Session Presentation & Discussion covering employee, physical assets, and risks)

March '27

- Emerging Issues in Water Quality (memo - including PFAS, LCRR, micro-plastics)
- Distribution System Asset Management (memo - including age & condition of piping & appurtenances, valve exercising program, hydrant exercising program)
- Water Supply Dam Update (Executive Session Presentation & Discussion including Dam Safety, condition, and Lake Whitney Dam update)

May '27

- Proposed FY28 Work Plan (memo)
- Interim Legislative Update (memo)
- Water Quality Update (Executive Session Presentation & Discussion including any issues with water quality and possibly include AWA within same discussion)

South Central Connecticut Regional Water Authority

April 23, 2026

Minutes

The regular meeting of the South Central Connecticut Regional Water Authority (“RWA” or “Authority”) took place on Thursday, April 23, 2026, at 90 Sargent Drive, New Haven, Connecticut, and via remote access. Chair Borowy presided.

Authority Present – Messrs. Borowy, Cort, Curseaden, and Ricozzi, and Mss. LaMarr and Sack(R)

Management – Mss. Kowalski and Calo(R), and Messrs. Lakshminarayanan, Singh, and Hill(R)

RPB – Mr. Clifford(R)

Staff – Mrs. Slubowski

1. CALL TO ORDER

Chair Borowy called the meeting to order at 12:30 p.m.

1.1 SAFETY MOMENT

He reviewed the safety moment distributed to members.

2. PUBLIC COMMENT

Chair Borowy offered the opportunity for members of the public to comment. There were no members of the public present at the meeting.

3. MEET AS PENSION & BENEFIT COMMITTEE

At 12:30 p.m., on motion made by Mr. Curseaden and seconded by Mr. Ricozzi, the Authority voted to recess the meeting to meet as the Pension & Benefit Committee.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

At 2:00 p.m., the Authority meeting was reconvened.

4. ACT ON MATTERS ARISING FROM COMMITTEE MEETING

Following the Pension & Benefit Committee meeting, the Authority noted that the RWA continues to meet established goals for its pension plans and the Voluntary Employees’ Contributory Welfare Trust (“VEBA”). The Committee further noted that, relative to peer organizations, RWA’s performance remains strong. After discussion, Ms. LaMarr moved for adoption of the following resolutions, as proposed:

1. Salaried

RESOLVED, that the Authority approves a contribution of \$2,049,289 to the South-Central Connecticut Regional Water Authority’s Salaried Employees’ Retirement Plan, effective for the actuarial valuation of January 1, 2026; and

FURTHER RESOLVED, that the Authority approves the use of the PubG-2016 Above Median Employee and Annuitant with Scale MP-2021 generational improvements (M/F), effective for the actuarial valuation of January 1, 2026.

2. *Union*

RESOLVED, that the Authority approves a contribution of \$555,424 to the South-Central Connecticut Regional Water Authority's Union Employees' Retirement Plan, effective for the actuarial valuation of January 1, 2026; and

FURTHER RESOLVED, that the Authority approves the use of the PubG-2016 Total Employee and Healthy Annuitant with Scale MP-2021 generational improvements (M/F), effective for the actuarial valuation of January 1, 2026.

3. *VEBA*

RESOLVED, that the Authority approves a contribution of \$1,719,952 to the South-Central Connecticut Regional Water Authority's Retired Employees' Contributory Welfare Trust, effective for the actuarial valuation of January 1, 2026; and

FURTHER RESOLVED, that the Authority approves the use of the mortality table for the South Central Connecticut Regional Water Authority's Retired Employees' Contributory Welfare Trust, the PubG.H.-2016 Employee, Healthy Annuitant, and Contingent Survivor with Scale MP-2021 generational improvements (M/F), effective as of the actuarial valuation of January 1, 2026.

4. *Additional Contribution*

RESOLVED, that based on the recommendation of its Pension & Benefit Committee, the South Central Connecticut Regional Water Authority authorizes an increase of \$500,000 as a combined contribution to the South Central Connecticut Retirement Plan and the South Central Connecticut Regional Water Authority Salaried Employees' Retirement Plan during fiscal year 2026.

Mr. Curseaden seconded the motion. The Chair called for the vote and the Authority voted unanimously to approve the resolution.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

5. CONSENT AGENDA

On motion made by Ms. LaMarr and seconded by Ms. Sack, the Authority voted to approve, adopt, or receive as appropriate the following items in the Consent Agenda, as amended:

5.1 Minutes of March 26, 2026 regular meeting and March 30, 2026 special meeting

5.2 Capital Budget Authorization for May 2026

RESOLVED, that the Senior Vice President, Chief Financial Officer & Head of Corporate Development is authorized to submit to the Trustee one or more requisitions in an aggregate amount not to exceed \$17,500,000 for the month of May 2026 for transfer from the Construction Fund for capital expenditures. Each such requisition shall contain or be accompanied by a certificate identifying such requisition and stating that the amount to be

withdrawn pursuant to such requisition is a proper charge to the Construction Fund. Such requisitions are approved notwithstanding the fact that amounts to be withdrawn for a particular project may exceed the amount indicated for such month and year in the current Capital Improvement Budget but will not cause the aggregate amount budgeted for fiscal year 2026 for all Capital Improvement Projects to be exceeded. In the absence of the Senior Vice President, Chief Financial Officer & Head of Corporate Development, the Controller is authorized to sign in her place.

5.3 Capital Budget Transfer Notifications – May 2026

5.4 Monthly Financial Report – March 2026

5.5 Accounts Receivable update - March 2026

5.6 Land Use Committee Special Topic – Land Grant Update

The Authority acknowledged the importance of Capital Budget Transfers (*Item 5.3*) but raised concerns regarding costs and the ability to effectively monitor transfers.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

[BREAK FROM 2:05 P.M. TO 2:15 P.M.]

6. FINANCE

6.1 TYPE B3 AMENDMENTS

Ms. Kowalski, the RWA’s Senior Vice President, Chief Financial Officer and Head of Corporate Development, stated the need for board approval to move four projects from the existing capital account to the Project Reserve. She stated that delays are due various matters, including a confidential matter and permitting, which have caused the projects to be pushed to FY 2027.

After discussion, Mr. Ricozzi moved for adoption of the following resolution, for the reasons stated in the memorandum dated April 17, 2026 from Ms. Kowalski:

RESOLVED that the Authority approved the transfer of \$1,817,000 from the Ford Street Tank No. 1 Painting & Stairs project account; \$1,153,825 from the York Hill Tank No. 1 Painting & Stairs project account; \$950,000 from the Lake Whitney Dam & Spillway Improvements project account; and \$740,000 from the Municipal Pipe project account; to the project reserve capital budget account.

Ms. LaMarr seconded the motion. The Chair called for the vote and the Authority voted unanimously to approve the resolution.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

6.2 CONSIDER AND ACT ON RWA REFINANCING AND FINANCING RESOLUTIONS

Ms. Kowalski requested approval of the following RWA refinancing and financing resolutions.

Mr. Curseaden moved for approval of the following refinancing resolution:

WHEREAS, Section 22 of Special Act 77-98, as amended, of the Connecticut General Assembly (the “Act”) provides, in pertinent part, that bonds shall be authorized by a resolution of the South Central Connecticut Regional Water Authority (the “Authority”) which shall provide for the terms and conditions of the bonds, and may provide for any matter which in any way affects the security or protection on the bonds; and

WHEREAS, Section 22 of the Act further provides, in pertinent part, that the Authority has the power, without the approval of the Representative Policy Board to refund any bonds by the issuance of new bonds; and

WHEREAS, Section 9 of the Act provides that the Authority may delegate to one or more of its members, officers, agents or employees, such powers and duties as it may deem proper; and

WHEREAS, on December 18, 2025, the Authority authorized the issuance, sale and delivery of the Water System Revenue Refunding Bonds, Fortieth Series (the “Fortieth Series Bonds”), and now desires to approve an official statement providing for the public offering and sale of the Fortieth Series Bonds.

NOW THEREFORE, BE IT RESOLVED, that for the purpose of providing for the public offering and sale of the Fortieth Series Bonds and further setting forth information relating to the Fortieth Series Bonds, an official statement, substantially in the form attached hereto as **Exhibit A** (the “Official Statement”) is hereby approved, with such changes, omissions, insertions and revisions as the Chairperson or Vice Chairperson and President and Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development shall deem advisable or shall be necessary to provide information concerning the Fortieth Series Bonds; and such officers, in the name of the Authority, are hereby authorized to deem the Official Statement final when appropriate and are further authorized and directed to execute such Official Statement and any amendment or supplement thereto on and after the sale of the Fortieth Series Bonds.

Ms. LaMarr seconded the motion. The Chair called for the vote and the Authority voted unanimously to approve the resolution.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

Authority members noted that the financing resolution needed further clarification. At 2:45 p.m., on motion made by Ms. LaMarr and seconded by Mr. Curseaden, the Authority voted to go into executive session pursuant to C.G.S. Section 1-200(6)(E) to discuss matters covered by Section 1-210(b)(5)(B), pertaining to commercial and financial information. Present in executive session were Authority members, Messrs. Clifford, Lakshminarayanan, Singh, and Hill, and Mss. Kowalski, Calo, and Slubowski.

Borowy	Aye
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Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

At 2:57 p.m., the Authority came out of executive session. After discussion, Mr. Curseaden moved for approval of the following financing resolutions, as submitted, under the stipulation that management report to the board any use of funds for operating expenses related to Blue Drop, should the transaction not proceed, and/or emergency capital projects.

WHEREAS, Section 22 of the of Special Act 77-98, as amended, of the Connecticut General Assembly (the “Act”) provides in pertinent part, that bonds shall be authorized by a resolution of the South Central Connecticut Regional Water Authority (the “Authority”), subject to the approval of the Representative Policy Board of the South Central Connecticut Regional Water District (“RPB”), which shall provide for the terms and conditions of the bonds, including the interest rates on the bonds and may provide for any matter which in any way effects the security or protection on the bonds; and

WHEREAS, Section 23 of the Act provides, in pertinent part, that the Authority shall have the power to issue bond anticipation notes in the same manner as the bonds; and

WHEREAS, Section 9 of the Act provides that the Authority may delegate to one or more of its members, officers, agents or employees, such powers and duties as it may deem proper; and

WHEREAS, the Authority proposes to issue its Water System Revenue Bonds (“Bonds”) and its bond anticipation notes in anticipation of the proceeds of the Bonds (the “Notes”) in accordance with the Act and the Water System Revenue Bond Resolution, General Bond Resolution, adopted by the Authority and approved by the RPB on July 31, 1980, as amended and supplemented (the “General Bond Resolution”); and

WHEREAS, the Authority wishes to establish the general terms and provisions of the Authority’s Bonds in the aggregate principal amount not to exceed \$5,000,000 to finance or refinance the cost of certain capital improvements to the water system and to fund operating expenses which may be issued in one or more series (the “Projects”) and, subject to RPB’s approval of the general terms and provisions of the Bonds, provide for the issuance, sale and delivery of Notes to finance temporarily a portion of the Projects.

NOW THEREFORE, BE IT RESOLVED: That the Authority hereby establishes the general terms and provisions of the Authority’s Bonds (the “Bonds”) which may be on a parity with or subordinate to bonds which are to be issued under its Water System Revenue Bond Resolution, General Bond Resolution adopted by the Authority and approved by the Representative Policy Board on July 31, 1980, as amended (the “General Bond Resolution”).

1. The Bonds shall not exceed Five Million Dollars (\$5,000,000) in aggregate principal amount.
2. The Bonds may be issued as obligations in one or more series pursuant to the General Bond Resolution and a supplemental resolution to be adopted by the Authority for each series of Bonds, each of which shall specify the amount of the Bonds, the purposes for which the Bonds are to be issued, the date or dates, maturities, sinking fund installments if any, interest rates, series, denominations, form, redemption prices, security provisions, whether taxable or tax-exempt, and such other details of the Bonds as the Authority shall determine in accordance with the limits established by the General Bond Resolution and hereby.

3. The purposes of the Bonds shall be to finance or refinance the cost of certain capital improvements to the water system of the Authority as set forth in the Authority's capital improvement plan (the "Projects"), to fund operating expenses and to provide funds for deposit to the Capital Contingency Fund, Debt Reserve Fund, and Operating Reserve Fund, as necessary pursuant to the General Bond Resolution and as permitted by the Internal Revenue Code of 1986, as amended, if applicable, and to pay costs of issuance.
4. The Bonds may be sold by negotiation as serial or term bonds with stated maturities and may be sold in a private or direct placement to a bank or the State of Connecticut.
5. The Authority reasonably expects to incur expenditures (the "Expenditures") in connection with the Projects of which a general functional description is contained in the Plan. If the Bonds are issued as tax-exempt, the Authority reasonably expects to reimburse itself for the cost of Expenditures with respect to the Projects with the proceeds of tax-exempt debt to be issued by the Authority within eighteen (18) months after the date of any Expenditure or the date the Project is placed in service or abandoned, whichever is later. The maximum principal amount of such debt with respect to the Projects is not expected to exceed \$5,000,000.
6. The form of this resolution entitled "Resolution Approving the Proposed Issuance of Bonds" a copy of which shall be filed with the records of the Authority, shall be submitted to the Representative Policy Board for its approval in accordance with Section 22 of Special Act No. 77-98, as amended.

BE IT FURTHER RESOLVED that:

1. Temporary notes of the Authority may be issued by the Authority in the amount of \$5,000,000 in anticipation of the receipt of the proceeds from the sale of such Bonds.

BE IT FURTHER RESOLVED that should the foregoing be approved by the RPB:

1. The Authority hereby approves the resolution authorizing the issuance of the Notes (the "Note Resolution") in one or more series substantially in the form attached hereto as Exhibit A, with such changes, omissions, insertions and revisions as the Chairperson or Vice Chairperson and President and Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development shall deem advisable and which shall be as set forth in a Certificate of Determination for the appropriate series of Notes attached thereto.
2. The Chairperson or Vice Chairperson and President and Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development be authorized (i) to issue, sell and deliver the Notes in an amount for each not to exceed \$5,000,000, and (ii) to determine the amount, date, date of maturity, interest rate, whether taxable or tax-exempt, form and other details of each series of Notes, pursuant to the Act and the General Bond Resolution or any other provisions of law thereto enabling.
3. The Chairperson or Vice Chairperson and President and Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development, or any one of them, are hereby authorized to execute and deliver such documents as may be necessary or desirable to issue, sell, secure and deliver the Notes, including but not limited to, an Official Statement if the Notes are publicly offered, a Contract of Purchase, a Tax Regulatory Agreement if the Notes are tax-exempt, and a Continuing Disclosure Agreement and to take such actions or to designate other officials or employees of the Authority to take such actions and execute such documents in connection with the issuance, sale, security and delivery of the Notes as are determined necessary or advisable

and in the best interests of the Authority and that the execution of such documents shall be conclusive evidence of such determination.

4. The Chairperson or Vice Chairperson and President and Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development, or any one of them, are authorized to apply for and accept federal and state grants to finance the project and federal and state loans to finance the Project, and enter into any grant or loan agreement prescribed by a federal agency or by the State, and that the Chairperson or Vice Chairperson and President and Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development, or any one of them, are authorized to take any other actions necessary to obtain such grants or loans or to implement such grant or loan agreements. Any grant proceeds may be used to pay project costs or principal and interest on bonds, notes, or temporary notes or obligations.

Ms. LaMarr seconded the motion. The Chair called for the vote and the Authority voted unanimously to approve the resolution.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Nay

7. REPORTS ON RPB COMMITTEE MEETINGS

Authority members reported on recent meetings of the Representative Policy Board ("RPB") committees. Ms. LaMarr reported on the joint budget review meeting of the RPB Consumer Affairs Committee and the RPB Land Use Committee held earlier in the month and formally noted for the record that the RPB affords thoughtful consideration to the RWA budget and process.

8. BUSINESS UPDATES

Mr. Lakshminarayanan had no updates to report.

8.2 AWA UPDATE

At 2:58 p.m., Mr. Clifford withdrew from the meeting, and on motion made by Mr. Ricozzi and seconded by Ms. LaMarr, the Authority voted to go into executive session pursuant to C.G.S. Section 1-200(6)(E) to discuss matters covered by Section 1-210(b)(5)(A)(B), pertaining to trade secrets and commercial and financial information. Present in executive session were Authority members, Messrs. Lakshminarayanan, Singh, and Hill, and Mss. Kowalski, Calo, and Slubowski.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

At 3:50 p.m., the Authority came out of executive session. No votes were taken in, or as a result of executive session. On motion made by Ms. LaMarr and seconded by Mr. Ricozzi, the Authority voted unanimously to adjourn the meeting.

Borowy	Aye
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Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

Respectfully submitted,

Catherine E. LaMarr, Secretary

(R) = Attended remotely.

Attachments:

1. SCCRWA Resolution Approving the Issuance of Bonds
2. SCCRWA Resolution approving the Issuance of Bonds and Temporary Notes in Lieu Thereof

UNAPPROVED

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203.562.4020
<http://www.rwater.com>

MEMORANDUM

TO: David J. Borowy
Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Mario Ricoszi
Suzanne C. Sack

FROM:  Rochelle Kowalski
Senior Vice President, Chief Financial Officer & Head of Corporate Development

DATE: May 22, 2026

SUBJECT: Capital budget authorization request for June 2026

Attached for your meeting on May 28, 2026, is a copy of the resolution authorizing expenditures against the capital improvement budget for June 2026. The amount of the requested authorization for funds held by the trustee is \$4,700,000.

In addition, approximately \$30,000 is expected to be used for the HSV Enhancements & CMS Upgrade project from the Growth Fund.

This would result in projected expenditure through June 2026 of \$4,700,000 or approximately 10.5% of the total 2027 fiscal year capital budget, including State and Redevelopment.

Attachment

RESOLVED

That the Senior Vice President, Chief Financial Officer & Head of Corporate Development is authorized to submit to the Trustee one or more requisitions in an aggregate amount not to exceed \$4,700,000 for the month of June 2026 for transfer from the Construction Fund for capital expenditures. Each such requisition shall contain or be accompanied by a certificate identifying such requisition and stating that the amount to be withdrawn pursuant to such requisition is a proper charge to the Construction Fund. Such requisitions are approved notwithstanding the fact that amounts to be withdrawn for a particular project may exceed the amount indicated for such month and year in the current Capital Improvement Budget but will not cause the aggregate amount budgeted for fiscal year 2027 for all Capital Improvement Projects to be exceeded. In the absence of the Senior Vice President, Chief Financial Officer & Head of Corporate Development, the Controller is authorized to sign in her place.

REGIONAL WATER AUTHORITY
REVIEW OF FINANCIAL DATA
April 30, 2026 (FY 2026)

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION

Operating Revenues

FY26 revenue for water, including wholesale and fire service, is over budget by \$3,254k (approx. 2.6%).
 Metered water revenue is over budget by \$2,878k (approx. 2.6%)

Total net other revenue is \$791k over budget due to other water and proprietary expenses being lower than budget.

Operating Expenses

Operating and Maintenance Expenses are currently under budget due to the following:

	Apr-26
Payroll is over budget due to O&M/non-O&M mix. Total gross payroll is under budget.	\$ 324,000
Employee Benefits are over budget due to the O&M/non-O&M mix. Total gross employee benefits are under budget.	225,000
Administrative Building is under budget primarily due lower custodial and telecommunications expense as well as other utility related expenses.	(110,000)
General & Administrative is under budget due recruiting fees and other lower than anticipated costs.	(355,000)
Pump Power is under budget due to lower than anticipated costs.	(456,000)
Chemicals Expense is over budget primarily due higher usage.	306,000
Postage is under budget due to a vendor credit and lower than anticipated costs.	(219,000)
Collection Expense is over budget primarily due to payment processing fees.	69,000
Business Improvement is under budget primarily due to lower than anticipated costs.	(367,000)
Insurance is over budget due to O&M/non-O&M mix and other factors.	468,000
Public/Customer Information is under budget due to lower than anticipated costs and timing.	(66,000)
Outside Services is under budget across multiple areas.	(1,503,000)
Central Lab/Water Quality is under budget primarily due lower than anticipated costs associated with internal services.	(62,000)
Environmental Affairs is under budget primarily due to timing.	(54,000)
Training is under budget due to lower than anticipated costs.	(221,000)
Info. Technology Licensing & Maintenance Fees are under budget due to lower than anticipated costs and timing.	(84,000)
Maintenance & Repairs are under budget due to lower than anticipated costs and timing.	(466,000)
All Other	(135,000)
<u>Interest Income</u>	(2,706,000)
Interest Income is above budget primarily due to higher investment earnings.	

PROJECTED MAINTENANCE TEST

The projected coverage is 1.37 with no shortfall.

REGIONAL WATER AUTHORITY
 STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
 FOR THE MONTHS ENDING APRIL 30, 2026

	FY 2025 Actual	FY 2026 Budget	FY 2026 Actual	(Under)Over Budget
Operating revenues				
Metered water revenues	\$ 108,488	\$ 112,025	\$ 114,903	\$ 2,878
Fire service	12,712	13,100	13,188	88
Wholesale	1,022	816	1,105	289
Other revenue - water	4,604	3,671	3,577	(94)
Other revenue - proprietary	15,394	15,815	14,992	(823)
Total operating revenues	142,220	145,427	147,765	2,338
Operating expenses				
Operating and maintenance expense	64,309	67,907	65,201	(2,706)
Expense associated with other revenue - water	2,552	2,050	1,543	(507)
Expense associated with other revenue - proprietary	7,263	8,267	7,067	(1,201)
Provision for uncollectible accounts	37	458	432	(27)
Depreciation and amortization	23,837	24,521	24,522	1
Payment in lieu of taxes	8,184	8,835	8,427	(408)
Amortization Pension Outflows/Inflows	199	1,604	1,604	(0)
Amortization OPEB Outflows/Inflows	(523)	(52)	(52)	0
Total operating expenses	105,857	113,590	108,743	(4,847)
Operating income	36,362	31,837	39,022	7,186
Nonoperating income and (expense)				
Interest income	8,958	5,927	8,095	2,169
(Loss) Gain on disposal of assets	468	(916)	(360)	556
Realized and unrealized (losses) gains on investments			-	-
Interest expense	(19,776)	(19,850)	(19,461)	389
Amortization of bond discount, premium, issuance cost and deferred losses	2,705	2,771	2,741	(30)
Amortization of Goodwill			-	-
Intergovernmental revenue	1,755		8,087	8,087
Contributions to related entities			-	-
Total nonoperating income and (expense) before capital contribution	(5,891)	(12,069)	(898)	11,171
Income (expense) before contributions	30,471	\$ 19,768	38,124	\$ 18,357
Capital contributions	1,930		4,959	
Change in net assets	32,401		43,083	
Total net assets - beginning of fiscal year	322,492		359,744	
Total net assets - end of reporting month	354,893		\$ 402,827	

	Budget FY 2026 @114%	Projected FY 2026 @114%	(Under)Over FY 2026 @114%
FY 2026 MAINTENANCE TEST (Budget vs. Projected)			
Revenue Collected:			
Water sales	135,906	142,278	6,372
Interest Income	3,658	4,683	1,025
BABs Subsidy	616	616	-
Other Net	9,759	9,984	225
Common Non-Core	(300)	(5)	295
Total	149,639	157,557	7,918
Less:			
Operating and maintenance expenses	(74,062)	(73,772)	290
Depreciation	(10,750)	(10,750)	-
PILOT (A)	(9,623)	(8,851)	772
Net Avail for Debt Service (B)	\$ 55,204	\$ 64,184	\$ 8,980
Debt Service Payments (C)	\$ 47,494	46,725	\$ (769)
Debt Service @ 114% (D)	\$ 54,143	53,267	\$ (876)
Difference (B-D)	\$ 1,061	\$ 10,917	
RSF, Growth and/or General Fund (D)	-		
Coverage	116%	137%	

REGIONAL WATER AUTHORITY
 OPERATING AND MAINTENANCE EXPENSE
 APR 30, 2026

PERIOD ENDING APR 30, 2026

	FY 2025	FY 2026	FY 2026	(Under)
	Actual	Budget	Actual	Over
1 Payroll	\$ 24,615	\$ 25,301	\$ 25,624	\$ 324
2 Employee Benefits	7,719	8,901	9,126	225
Pension Contributions	2,650	2,422	2,422	0
3 Administrative Building	973	1,113	1,003	(110)
4 General & Administrative	1,410	1,413	1,058	(355)
5 Transportation	896	946	920	(26)
6 Tools & Stores	362	402	406	5
7 Utilities & Fuel	2,081	2,130	2,157	27
8 Material From Inventory	275	327	318	(9)
9 Pump Power Purchased	3,844	4,338	3,881	(456)
10 Chemicals	2,962	2,950	3,256	306
11 Road Repairs	174	293	244	(49)
14 Postage	638	693	474	(219)
15 Printing & Forms	28	67	31	(36)
17 Collection Expense	920	1,063	1,133	69
18 Business Improvement	232	600	233	(367)
19 Public/Customer Information	279	244	178	(66)
20 Outside Services	4,058	3,979	2,476	(1,503)
21 Insurance Premiums	1,904	1,955	2,423	468
22 Worker's Compensation, pre-Churchill	55	41	6	(35)
23 Damages	72	69	67	(1)
24 Training & Cont. Education	454	447	226	(221)
25 Authority Fees	130	170	185	15
26 Consumer Counsel	51	55	53	(2)
27 RPB Fees	221	229	264	35
28 Organizational Dues	87	116	74	(42)
29 Donations	35	41	29	(13)
34 Central Lab/Water Quality	336	362	300	(62)
40 Environmental Affairs	166	197	143	(54)
44 Info. Technology Licensing & Maintenance Fees	3,346	3,315	3,231	(84)
45 Maintenance and Repairs	3,123	3,514	3,048	(466)
46 Regulatory Asset Amortization	214	214	214	0
	<u>\$ 64,309</u>	<u>\$ 67,907</u>	<u>\$ 65,201</u>	<u>\$ (2,706)</u>

South Central Regional Water Authority

**Analysis of Accounts Receivable ("A/R")
(S000 omitted)**

Total Accounts Receivable Aging (in days)

	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025	Sept 2025	Aug 2025	July 2025	June 2025	May 2025	Apr 2025
Under 30	6,811	7,331	8,099	6,847	7,107	8,314	7,898	7,627	9,126	7,765	7,165	\$ 9,489	\$ 7,090
31-60	1,464	1,701	1,471	1,339	1,615	1,778	1,737	1,664	1,685	2,226	1,958	1,039	1,897
61-90	754	729	703	761	793	884	981	729	1,030	1,098	1,067	664	942
91-180	1,075	1,141	1,281	1,272	1,359	1,053	1,458	1,357	1,423	1,495	1,486	1,038	1,218
181-360	1,124	1,240	1,159	1,182	1,189	1,169	1,155	1,061	1,211	1,213	1,166	974	1,065
More than 1 year	2,842	3,006	2,815	2,860	2,836	2,937	2,898	2,782	2,859	3,032	3,071	2,929	3,077
Sub Total	14,070	15,148	15,528	14,261	14,899	16,135	16,127	15,220	17,334	16,829	15,913	16,133	15,289
Interest due	1,500	1,512	1,572	1,451	1,471	1,468	1,166	1,414	1,385	1,319	1,192	1,231	1,378
Total Gross A/R plus interest *****	\$ 15,570	\$ 16,660	\$ 17,100	\$ 15,712	\$ 16,370	\$ 17,603	\$ 17,293	\$ 16,634	\$ 18,719	\$ 18,148	\$ 17,105	\$ 17,364	\$ 16,667

Aged Accounts Receivable Focus of Collection Efforts

	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025	Sept 2025	Aug 2025	July 2025	June 2025	May 2025	Apr 2025
Greater than 60 days:													
A/R	\$ 7,116	\$ 7,442	\$ 7,333	\$ 7,354	\$ 7,487	\$ 7,325	\$ 7,651	\$ 7,156	\$ 7,190	\$ 8,157	\$ 7,982	\$ 6,767	\$ 7,533
Less: Multi-Tenants	(1,792)	(1,855)	(2,169)	(1,947)	(2,429)	(1,993)	(1,941)	(1,931)	(1,854)	(1,835)	(1,692)	(1,470)	(2,357)
Receiverships***	(1,588)	(1,391)	(1,437)	(1,433)	(1,430)	(1,400)	(1,407)	(1,420)	(1,390)	(1,569)	(1,556)	(1,120)	(1,741)
Liens	(1,389)	(1,616)	(1,680)	(1,649)	(1,192)	(1,269)	(1,261)	(1,104)	(1,154)	(1,940)	(1,962)	(1,951)	(1,500)
Total	\$ 2,347	\$ 2,580	\$ 2,047	\$ 2,325	\$ 2,436	\$ 2,663	\$ 3,042	\$ 2,701	\$ 2,792	\$ 2,813	\$ 2,772	\$ 2,226	\$ 1,935
	33%	35%	28%	32%	33%	36%	40%	38%	39%	34%	35%	33%	26%

Collection Efforts

	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025	Sept 2025	Aug 2025	July 2025	June 2025	May 2025	Apr 2025
Shuts *	\$ 66	\$ 46	\$ 13	\$ 63	\$ 14	\$ 31	\$ 28	\$ 76	\$ 67	\$ 8	\$ -	\$ -	\$ -
Red Tags **	64	25	59	36	4	2	7	-	-	-	-	-	-
Receivers	101	23	1	12	14	3	12	5	14	6	6	26	25
Top 100 Collection Calls	452	534	628	632	127	178	137	-	-	-	-	444	190
Other ⁽¹⁾	1,039	873	703	902	1,740	1,462	1,717	1,714	1,885	1,814	724	434	723
Total	\$ 1,722	\$ 1,501	\$ 1,404	\$ 1,645	\$ 1,899	\$ 1,676	\$ 1,901	\$ 1,795	\$ 1,966	\$ 1,828	\$ 730	\$ 904	\$ 938

* Number of shuts

** Number of Red tags

***Receivership, Stipulated & Bankruptcy

****Reflects SAP AR as of 03/21/2025. Payments received between 03/22/25 to 03/31/25 are not reflected.

*****April, May, June, July, Aug., Sept., & Oct. 2025 A/R is under review and subject to change.

⁽¹⁾ Includes: Notices and letters and legal initiatives.

Authority Board Strategic Planning Committee

FY2027 Work Plan

In partnership with CEO and executive leadership, the Strategic Planning Committee steers the organization's long-term future by approving and monitoring the strategic plan to ensure strict alignment with mission, vision, values, and key performance indicators.

June 2026

- Consent Agenda: FY2026 Strategic Plan Results Report

January 2027

- Consent Agenda: FY2027 Six-Month (June-November 2026) Strategic Plan Results Report
- Discussion: FY2028 Strategic Planning Process & Key Focus Areas

Fiscal 2027 Strategic Plan

Area of Excellence: Fiscal Management

MISSION & OUTCOMES

GOALS, STRATEGIES & MEASURES

RWA ensures stability and adaptability in a changing economic and regulatory landscape through responsible financial management.

Outcomes to Business

- ***Reduced debt reliance***
- ***Diversified revenue***
- ***Optimal resource management***
- ***Rate mitigation***

Goal #1 Ensure long-term financial sustainability and affordability.

- Strategy #1: Maintain a Debt Service Coverage Ratio above 1.14x, and ensure no draws are made from the Rate Stabilization Fund.
- Strategy #2: Maintain at least 150-200 days cash on hand at the end of FY2027, prior to year-end disposition.

Goal #2 Drive financial growth while ensuring compliance and accuracy.

- Strategy #1: Achieve a commercial business revenue target that meets or exceeds the FY2027 budget.
- Strategy #2: Ensure 100% submission of accurate, documented, and reconciled year-end financial templates across all departments. Utilize audit coaching and other support to guarantee a clean FY2027 audit with zero material weaknesses.

Goal #3 Enhance operational resilience through prudent spending and budgeting.

- Strategy #1: Maintain a budget variance for overtime of no more than 5% by end of FY2027.
- Strategy #2: Use a zero-based budgeting approach for FY2028 to uncover cost-saving opportunities.

Area of Excellence: Customer Service

MISSION & OUTCOMES

GOALS, STRATEGIES & MEASURES

RWA provides the highest standards of customer service.

Outcomes to Business

- ***Improved productivity and reduced costs***
- ***Enhanced customer experience***
- ***Increased revenue and retention***
- ***Reduced support volume***

Goal #1 Transform customer service into a digital-first, high-efficiency operation.

- Strategy #1: Begin processes to streamline customer service by automating workflows, going paperless by FY2028, and reducing processing time by 20%.
- Strategy #2: Implement a 4-hour, self-service online booking system with automated notifications to shift 25% of scheduling to digital and reduce call volume by 15%.
- Strategy #3: Launch AI support in FY2027 with 24/7 automation and daytime live chat (8am–5pm) to cut top call drivers by 10%.

Goal #2 Update all customer accounts to ensure accuracy of contact information and account type.

- Strategy #1: Boost communication reliability and emergency readiness by mandating contact verification to ensure 70% of active residential accounts have verified email/mobile records.
- Strategy #2: Redesign and publish updated, consistent SOPs for all core customer service functions.
- Strategy #3: Implement a standardized program using post-call surveys for monthly trend reporting, targeted coaching, and measurable customer satisfaction improvements.

Goal #3 Maximize revenue assurance and operational efficiency.

- Strategy #1: Expand Code 10 outreach (letters, visits, terminations) by 15% to accelerate collections and reduce aged debt.
- Strategy #2: By FY2028, map all courtesy credits directly to their specific charge accounts (e.g., late fees, interest) rather than a general "Customer Relations" account to improve tracking and root cause analysis.
- Strategy #3: Standardize a workflow for the call center and field crews to screen 70% of calls and work orders for AMI/FlexNet anomalies (leaks, zero usage, etc.), routing issues for investigation within 5 business days.

Area of Excellence: Water Quality & Infrastructure

MISSION & OUTCOMES

GOALS, STRATEGIES & MEASURES

RWA provides exceptionally safe drinking water and develops enduring infrastructure that evolves with the needs of our customers.

Outcomes to Business

- *Enhanced water quality*
- *Operational excellence and risk mitigation*
- *System resilience and improved infrastructure efficiency and reliability*
- *Data-driven decision making*

Goal #1 Provide safe, reliable, and compliant drinking water through advanced treatment technologies.

- Strategy #1: 100% compliance with all drinking water quality standards (e.g., Lead & Copper Rule, EPA requirements) to avoid fines and maintain public trust.
- Strategy #2: Pilot dechlorinated backwash water to extend life of reactivated carbon as a future treatment option.
- Strategy #3: Complete Phase II of piloting hydrogen peroxide to reduce total organic carbon and disinfection by products.

Goal #2 Reduce the number of customer water quality complaints.

- Strategy #1: Install at least 2 new sample stations targeted in areas with higher customer complaints.
- Strategy #2: Optimize flushing in at least 3 problematic areas.

Goal #3 Continue public outreach and educational efforts to improve customer knowledge of drinking water quality.

- Strategy #1: Conduct at least 2 annual online surveys to locate unreported water quality issues with a focus on contacting indirect consumers.
- Strategy #2: Increase public awareness of bi-annual annual water quality report by increasing contact with at least 50,000 non-account users.

Goal #4 Leverage data-driven insights and enhance system resilience.

- Strategy #1: Increase data-driven maintenance by at least 10% and track reduction in emergency repair costs.
- Strategy #2: Reduce chemical consumption and improve water quality by leveraging AI data analysis in FY2027, enacting optimizations by FY2028.
- Strategy #3: Implement at least 3 high-priority Cybersecurity Maturity Model Certification best practice goals in FY2027. Perform external Cyber Audit review to implement recommendations/security controls across all network infrastructure to enhance system resilience.

Area of Excellence: Organizational Development & Culture

MISSION & OUTCOMES

GOALS, STRATEGIES & MEASURES

RWA is a premier employer dedicated to a safe working environment.

Outcomes to Business

- *Operational continuity*
- *Increased productivity*
- *Enhanced workplace safety*
- *Improved employee engagement*

Goal #1 Improve talent sustainability and knowledge preservation.

- Strategy #1: Achieve 100% compliance in updating job descriptions older than 10 years.
- Strategy #2: Reduce the average Time to Hire for all roles from 90 days to 45 days.
- Strategy #3: Launch a Bridge Program to capture unwritten operational knowledge using Scribe and Individual Development Plans, ensuring next-gen staff are fully equipped to prevent service interruptions as senior experts retire.

Goal #2 Enhance HR data efficiency and automation.

- Strategy #1: Implement new cloud-based HRIS in FY2027, on time and within budget, achieving organization-wide adoption of self-service tools to automate workflows and enhance data accuracy.
- Strategy #2: Execute a comprehensive pre-launch campaign to guarantee staff understand the benefits of the new HRIS (self-service, time-savings, data accuracy) and are fully trained prior to go-live.

Goal #3 Reduce safety incident rates and workplace hazards through training.

- Strategy #1: Implement OSHA 30 training for critical positions: 50% completion in FY2027, 100% by FY2028.
- Strategy #2: Achieve 100% safety training participation across all departments, totaling 1,400 hours.
- Strategy #3: Implement a company-wide safety communication initiative to ensure 100% of employees are trained on hazard identification and near misses; report hazards and near misses within 24 hours and receive feedback on actions taken within 72 hours of submission.

Area of Excellence: Environmental Sustainability

MISSION & OUTCOMES

GOALS, STRATEGIES & MEASURES

RWA champions sustainability by minimizing our natural resource footprint and maximizing innovation.

Outcomes to Business

- ***Operational cost reductions***
- ***Improved risk management and long-term resilience***
- ***Innovative solutions for the future***
- ***Environmental stewardship***

Goal #1 Reduce unaccounted for water loss and electric usage.

- Strategy #1: Calibrate system flow meters at all critical facilities including wellfields and reduce apparent water loss by 12% by the end of FY2028.
- Strategy #2: Conduct annual electric usage audits to establish a baseline by the end of FY2027 and continue implementing identified energy-saving measures to enhance operational efficiency.

Goal #2 Enhance watershed resilience.

- Strategy #1: Improve early detection and treatment response to cyanotoxins by implementing rapid testing of raw water entering treatment plants at least monthly from April through November and deploying one new technology to support chlorophyll analysis within the treatment process.
- Strategy #2: Develop a standard operating procedure for sampling and analysis of microplastics in raw and finished water using available water industry standards.
- Strategy #3: Improve watershed pollutant load reduction by increasing upstream non-point source pollution capture by 15% through optimized management and operations of constructed stormwater treatment systems.
- Strategy #4: Evaluate the feasibility of at least one capital improvement project or technology that modernizes raw water quality sampling to improve efficiency and maintain high data quality and representativeness.

FY2027 Global Metrics

Benchmarks for employee discretionary bonus program

KPI	Description	Target
Customer Sentiment	<ul style="list-style-type: none">Achieve positive customer sentiment score of 60% or higher to drive customer trust and service excellence.	≥ 60%
Water Quality Compliance	<ul style="list-style-type: none">Ensure 100% regulatory compliance with all disinfection byproducts samples.	100%
Safety	<ul style="list-style-type: none">Maintain a Total Recordable Incident Rate (TRIR) of 2.3 or lower to ensure a high standard of workplace safety.	≤ 2.3
Coverage	<ul style="list-style-type: none">Maintain a net revenue that is at least 1.18 times the amount of annual debt service, ensuring all financial commitments are fully met without a deficit.	≥ 118%
Operating Savings	<ul style="list-style-type: none">Implement efficiency and productivity initiatives to realize a \$535,000 reduction in annual operating expenses.	\$535,000
Capital Efficiencies	<ul style="list-style-type: none">Ensure 96% of capital expenditures drive efficiency and tangible value for our customers.	96%

South Central Connecticut Regional Water Authority
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To: South Central Connecticut Regional Water Authority
David J. Borowy, Chair
Kevin J. Curseaden
Catherine E. LaMarr
Mario Ricozzi
Suzanne C. Sack
Dr. Todd Court

Cc: Sunny Lakshminarayanan, *Interim President and Chief Executive Officer*

From: Joshua Tracy, *Forester*
Casey Cordes, *Forester*

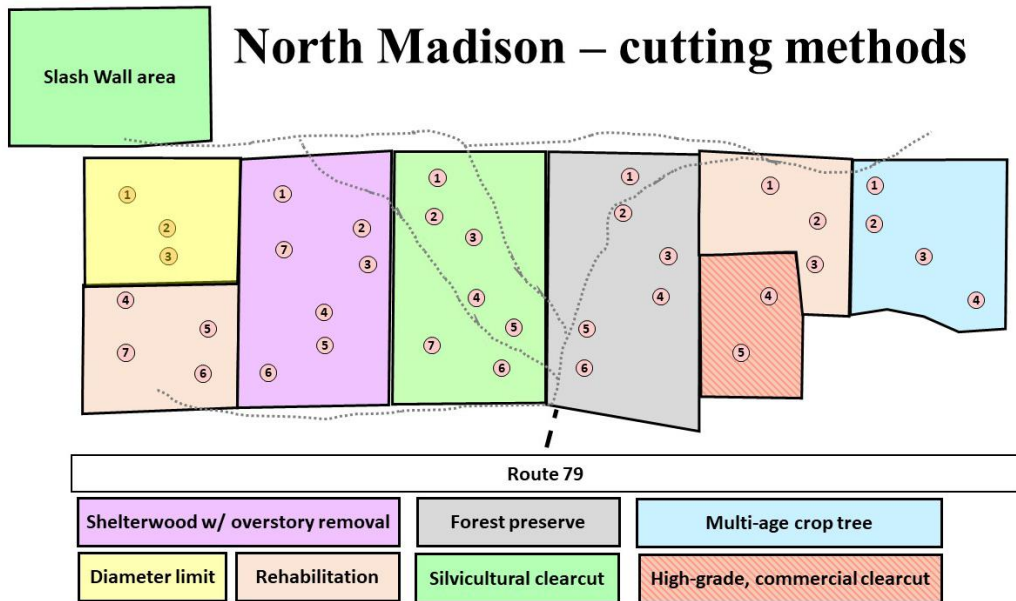
Date: 22 May 2026

Subject: **Cutting Methods and Slash Wall Study- North Madison**

Cutting methods Study

Dr. George Stephens (Connecticut Agricultural Experiment Station, CAES) established the study in Bridgewater, Morris, and North Madison in the early 1980s and Dr. Jeffrey S. Ward maintained and monitored the plots during his tenure as CAES forest ecologist. Plot sizes ranged from 4-7 ac for each harvest method. Please note that the diameter limit and high-grading guidelines are not silvicultural treatments, but reflective of harvests common on private forestlands that prioritize resource extraction. All harvests were conducted as part of commercial logging jobs.

Originally designed to compare and contrast the impact of distinct cutting practices on new regeneration, the scope of the research has expanded to changes in stand volume growth, aboveground carbon storage and sequestration, and more recently in a partnership with NRCS-CT – belowground carbon and soil property dynamics. In 2021, a new forestry management practice – slash walls – was established to examine the impact of deer browse.



Slashwall Harvests

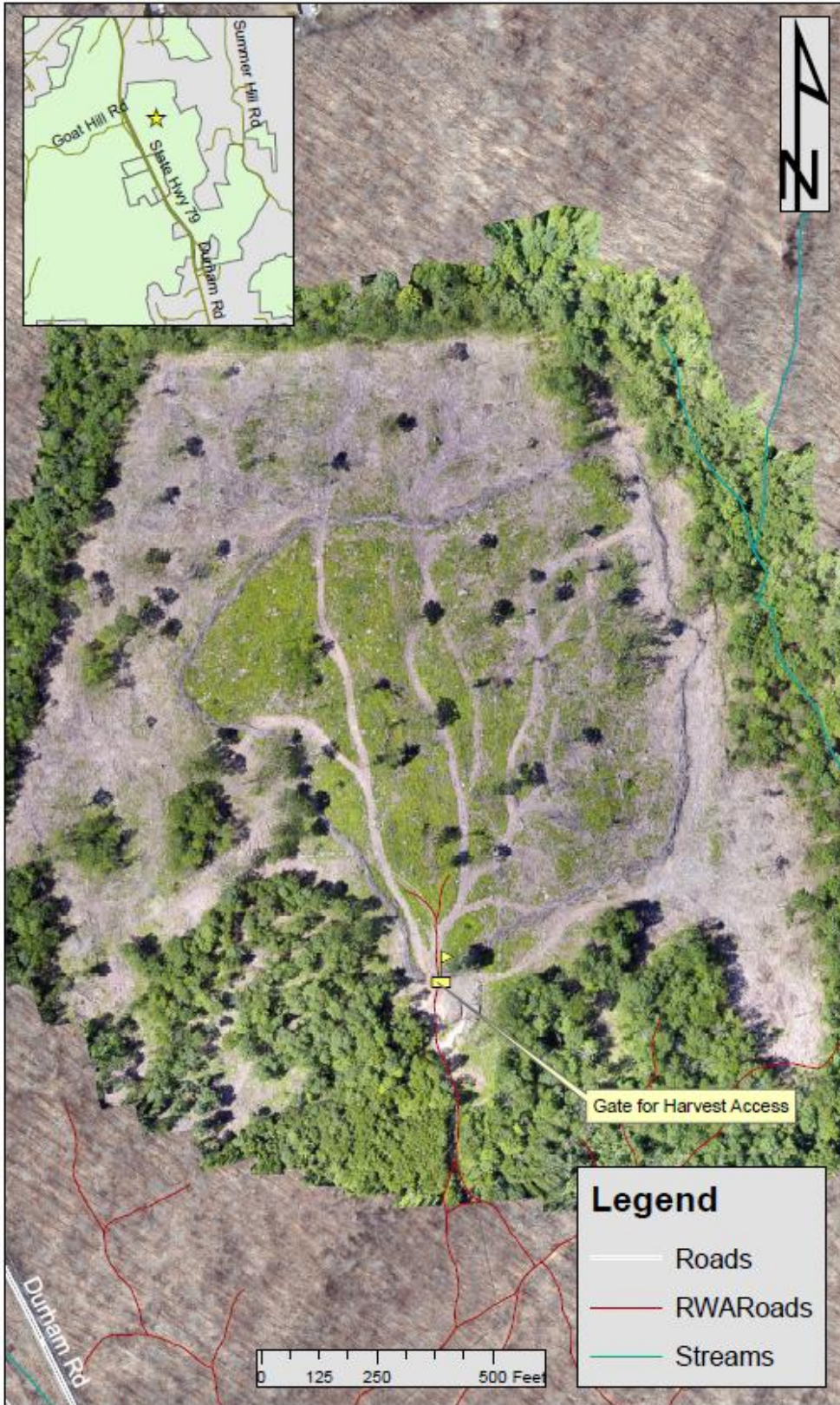
Slash Walls utilize low-value or non-merchantable logging residues to form barriers around regenerating stands capable of excluding deer for a decade or more while the young trees grow beyond their reach. These walls are constructed to be 12 feet tall to a 2" branch, and 20 feet wide (at the base). They are a cost-effective alternative to deer fencing constructed out of low-grade logging material that aims to promote tree regeneration through white-tailed deer exclusion. North Madison is one of five slash wall study areas in Connecticut and Massachusetts that is being monitored by CAES scientists to study their effectiveness in protecting regenerating tree seedlings following forest harvests in areas with excessively high browse pressure. The hope is that the height of regenerating trees will surpass the browse line by the time the slash walls naturally degrade, which greatly limits maintenance costs.

To date, data collected by scientists at CAES suggests that the slash walls are working. In a recent publication assessing the effects of slash walls on regeneration originating from stump sprouts (Ward, Ward and Barsky 2025), they found that red oak, shagbark hickory, and sugar maple sprouts all had a higher growth and survival rate inside the walls than outside. They have also been monitoring the effects of the slash walls on seed-origin regeneration. Preliminary data suggests that after three years, the density of tall (≥ 4.5 ft) seedlings is 40% higher inside the slash walls than outside and includes a higher proportion of oaks and hickories inside (6%, 184/ac) than outside slash wall (<1%, 10/ac). Non-native species also account for 7% of seedlings outside the slash walls but <1% inside.



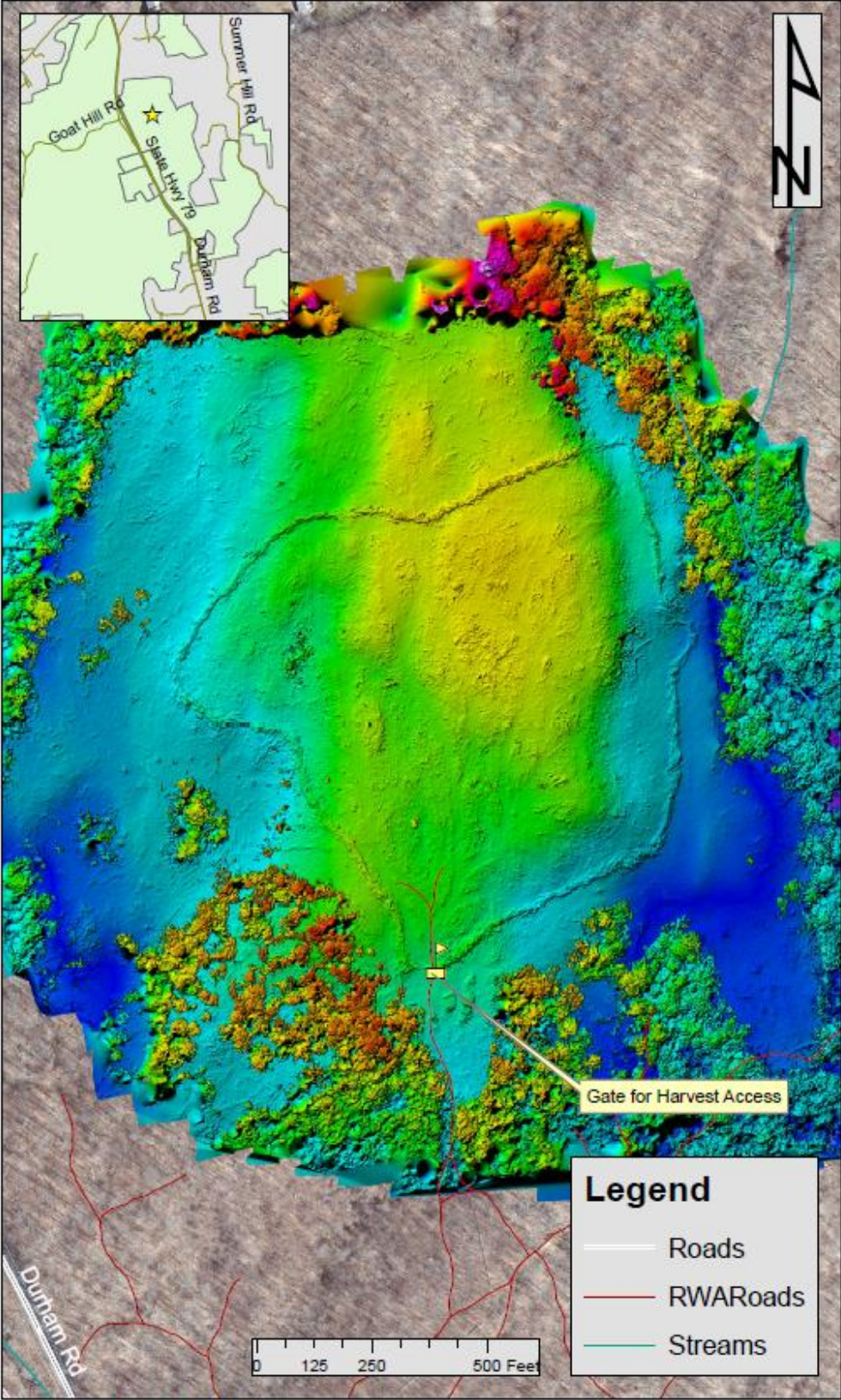
Casey Cordes standing in front of slashwall, Seymour CT.

Slash Wall Harvest- Madison, CT (Ortho)



Map Created By:
Joshua Tracy
Invasive Species management Technician
6/22/2022

Slash Wall Harvest- Madison, CT (Color Topography)



Map Created By:
Joshua Tracy
Invasive Species management Technician
6/22/2022

South Central Connecticut Regional Water Authority

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TO: South Central Connecticut Regional Water Authority
David Borowy, Chair
Todd Cort
Kevin Curseaden
Catherine E. LaMarr
Mario Ricozzi
Suzanne Sack

FROM: Prem Singh, VP Customer Care & Chief Information Digital Officer

DATE: May 28, 2026

SUBJECT: Revisions to Rules and Regulations for Water Services

South Central Connecticut Regional Water Authority's (RWA) *Rules and Regulations for Water Service and its Rules, Regulations and Rates Governing the Extension of Water Mains* were last revised in Jan 2025. Several reworded changes are recommended with no significant impact to customers and are hereby submitted to the CAC & Authority for approval in "track changes" mode to highlight the changes.

Revisions are detailed below with only two highlighted changes incorporated:

A. Rules and Regulations for Water Service:

1. Pg. 4 Introduction: Incorporated sentence in last paragraph where customers are responsible for complying with Rules & Regulations.
2. Pg. 5 General Principles Item 5: Reworded paragraph about owner providing tenant water without prior permission.
3. Pg. 6 General Principles Item 10: Reworded paragraph stating RWA provides water monitoring tools as a courtesy and do not create any liability.
4. Pg. 19 Cross Connection Control Item 7: Reworded backflow prevention device discretionary use.
5. Pg. 21 Billing Item 1: Reworded billing of used or wasted water except with exceptions as described in RWA's One-Time Waste Adjustment Policy.
6. Pg. 21 Billing Item 4: Reworded seasonal metered service billing and customer water meter removal responsibility.
7. Pg. 23 Billing Item 8: Reworded incurring interest rate per month and customers responsibility of collections cost.
8. Pg. 23 Billing Item 9: Reworded if meter fails to register, it will be repaired and customer charged based on average daily consumption.

9. Pg. 24 Billing Item 10: Reworded unmetered service charge.
 10. Pg. 25 Billing Item 12: Reworded RWA billing the property owner directly if tenant fails to pay.
 11. Pg. 25 Billing Item 15: Reworded shared service billing is responsibility of property owner.
 12. Pg. 25 Adjustment of Bills Item 1: Reworded adjusting billing if meter in service has over registered more than 2%.
 13. Pg. 26 Adjustment of Bills Item 2: Reworded adjusting billing if meter found not to register; will use historical consumption.
 14. Pg. 27 Adjustment of Bills Item 4: Reworded billing customer who has been undercharged due to incorrect meter reading.
 - 15. Pg. 28 Termination of Service Item 1C: Incorporated that customer is responsible for following Rules and Regulations, maintaining customer-owned service lines and reasonable access to RWA equipment.**
 16. Pg. 28 Termination of Service Item 4B-J: Reworded RWA right to refuse service with 7 days written notice if customer tampers with pipes or meters.
 17. Pg. 29 Termination of Service Item 5A-C: Reworded RWA right to refuse service with 15 days written notice if customer is delinquent by 33 days from billing date.
 18. Pg. 31 Termination of Service Item 7: Reworded conditions under which service will not be terminated.
 19. Pg. 36 Applications for Service Item 1: Reworded application requirement might include multiple forms of identification.
 20. Pg. 39 Applications for Service Item 15: Reworded need to apply to convert from seasonal to year-round service.
- B. Rules, Regulations, and Rates Governing the Extension of Water Mains: No changes.

In Line with the 2025 review and approval of the Rules and Regulations, management recommends that the approval process include the Representative Policy Board, although not explicitly required in the enabling legislation. Inclusion of all parties in the process allows us to fend off future challenges to the document and address any concerns that are raised as part of the approval process.

The revised May 22nd, 2026, date on the Rules and Regulations for Water Service will be aligned with the effective rate change date on or around July 1st, 2026.

CC: Sunny Lakshminarayanan
Rochelle Kowalski
Jeffrey Donofrio, Esq.

Rules and Regulations for Water Service



July 2026



Our STARS Values
Service | Teamwork | Accountability |
Respect | Safety

***SOUTH CENTRAL CONNECTICUT REGIONAL
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REVISED AUGUST 26, 1980

REVISED NOVEMBER 13, 1980

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REVISED JULY 12, 1984

REVISED FEBRUARY 11, 1987

REVISED JULY 14, 1988

REVISED FEBRUARY 14, 1991

REVISED SEPTEMBER 26, 1991

REVISED APRIL 9, 1992

REVISED FEBRUARY 18, 1998

REVISED MAY 7, 2013

REVISED FEBRUARY 18, 2016

REVISED SEPTEMBER 28, 2017

REVISED JANUARY 5, 2022

REVISED DECEMBER 5, 2022

REVISED MAY 22, 2026

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for WATER SERVICE

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INTRODUCTION

The South Central Connecticut Regional Water Authority (RWA) has adopted the following Rules and Regulations to ensure uniformity and fair practice for all customers, consistent with the protection of the health and safety of the communities it serves. These Rules and Regulations are intended to inform the public of the administrative procedures, technical requirements, and rates and charges applicable to obtaining connection to and maintaining water service from the RWA's water supply system.

These Rules and Regulations, including any amendments or additions, constitute an integral part of the rates, terms and conditions governing water service provided by RWA pursuant to its statutory authority. The terms, conditions and policies set forth herein have been approved by the Regional Water Authority and its Representative Policy Board pursuant to Section 14 of Special Act No. 77-98, as amended, and are binding on all RWA customers, including applicants for service and former customers.

Customers are responsible for complying with these Rules & Regulations as a condition of receiving and maintaining water service.

GENERAL PRINCIPLES

1. The RWA may modify these Rules and Regulations at any time.
2. The RWA's regulations regarding the extension of water mains are available as a separate document.
3. Services performed after hours or on weekends or holidays are subject to special charges.
4. During drought conditions, or when it is in the best interest of the public, the RWA may curtail or suspend entirely the use of water for non-essential purposes. The RWA shall not be liable for any losses incurred as a result of the curtailment or suspension of service.
5. Without prior written permission from the RWA, no owner, tenant, or other party may supply water, whether metered or unmetered, to any other person or permit any connection for the purpose of supplying water to other premises. This prohibition applies regardless of whether the premises are owned by the same individual or by another party.
6. Customers must allow employees or duly authorized representatives of the RWA, when identified by proper badges, uniforms or written authority, to have access to their premises at all reasonable hours for the purpose of reading, testing, installing, changing and removing meters, inspecting all plumbing connections, fixtures and mains, collecting water samples for testing, and other purposes as are necessary under these Rules or when it appears that there is a violation of these Rules and Regulations.
7. All owners, lessees, agents, tenants, and users of water service must keep their pipes and fixtures in good working order and protected from frost, and make their water meters accessible.
8. Customers shall avoid all unnecessary waste of water. Under emergency conditions, and with the consent of the RWA, water may be left running to prevent freezing of the customer's pipes. The customer must pay for the water used for these purposes, and take corrective action to protect the pipes before the next winter season. Customers shall be responsible for all water used for this purpose and must take corrective action to protect pipes before the next winter season.
9. Air conditioning or refrigeration installations totaling over a three-ton capacity must have water conserving equipment to reuse the water.
10. The RWA may offer water consumption monitoring and usage alert tools to customers at no cost. Customers retain sole responsibility for monitoring water usage at their location. These tools are provided as a courtesy only and do not create any legal duty or liability on the part of the RWA.

(A) **DEFINITIONS**

“Abandonment” - the condition in which water service to a building has been discontinued at the Owner’s request for a period of at least one year and the Owner has made no commitment as to possible future use.

“ANSI” - American National Standards Institute.

“Applicant” - any person or entity, such as a developer, municipality, or business, requesting water service from the RWA.

“ASSE” - American Society of Sanitary Engineers.

“Automatic Fire Sprinkler System” - a plumbing system designed to spray water to extinguish fires.

“AWWA” - The American Water Works Association.

“Backflow” - the reversal of the normal flow of water or other liquids caused by back pressure or back siphonage.

“Backflow Preventer (BFP)” - a device installed on a service pipe that protects the public water supply from backflow.

“CBYD” - Call Before You Dig.

“Combination Service Line” - a single service connection which is separated at the curb line to provide an individual curb valve and/or valve for both domestic usage and fire protection. Separate service pipes for fire and domestic usage are required from the curb valve to the place of use.

“Combined Service” - an existing service –which provides both fire and domestic service through a single service pipe. New installations of Combined Services are not permitted.

“Common Enclosure” - property under common ownership which is bounded by one or more of the following: property lines, public streets, public highways or RWA mains installed in easements on private or public property.

“Corporation Stop” - the device used by the RWA to connect the service pipe to the main.

“Cross Connection” - as defined in the State of Connecticut Cross Connection Control Manual “means any actual or potential connection between a public water system and any other source or system through which it is possible to introduce into the water system any contaminating, polluting agent.”

“CT DPH” - Connecticut Department of Public Health.

“Curb Box” - a vertical pipe or casting with a cover placed over the curb valve and extending to the ground surface to allow access to the valve

“Curb Valve” - the valve located on the service pipe between the main and customer’s place of use located in the vicinity of the curb and public right-of-way line.

“Customer” - the party (owner or lessee) contracting for water service to or for a premises.

“Domestic Service” - all service or use other than fire service.

“Drought” - a prolonged period of abnormally low precipitation resulting in water shortages.

“Facilities Charge” - a charge made for the purpose of collecting a fair contribution from the Applicant toward the capital cost of the utility system which has been paid by existing customers.

“Fire Flow Test” - the measurement of flow from a hydrant performed by the RWA in accordance with generally accepted engineering practices.

“Fire Hydrant” - a device, connected to a hydrant lateral and provided with the necessary valves and outlets, to which a fire hose(s) may be connected for the purpose of extinguishing fires.

“Fire Service” - a water connection used to extinguish fires as well as test fire equipment.

“Frontage” - the length of a building lot’s perimeter boundary that abuts or is adjacent to the right-of-way of either existing or proposed public or private streets.

“Hydrant Lateral” - the pipe and fittings that run between the main and the fire hydrant.

“Hydrant Permit” - a written permit granted by the RWA for the temporary use of a hydrant.

“Inch Foot Rate” - the rate used to compute the annual fire service charge billed to municipalities within the RWA’s water district, as further defined in Section E, Public Fire Service.

“Jobbing Bill” - a bill rendered for services and materials other than metered water service.

“Lawn Sprinkler” - an underground piping system which is used to irrigate lawns and other forms of vegetation.

“Main(s)” - water pipes owned by or to be owned by the RWA used for the purpose of conveying water to the customer’s service connection.

“Meter Setting” - the pipe, valves, fittings, and appropriate spread necessary for the installation of a meter on a service pipe and provided by the owner at his expense.

“Meter Vault/Pit” - a subsurface enclosure that protects water meters and other facilities installed outside of buildings.

“N.F.P.A.” - National Fire Protection Association.

“OSHA” - Occupational Safety and Health Administration.

“Owner” - the person, persons, or legal entity holding title to the property or premises connected or proposed to be connected to the public water system.

“PHC” - Public Health Code of the State of Connecticut.

“Premises” - refers to but is not limited to the following:

- A building or combination of buildings in one common enclosure under common ownership occupied by one family, one company or one educational or institutional entity.
- Each unit of a multi-unit building under common ownership where such unit is equipped with its own separate water service pipe.
- A single building under common ownership.
- A single plot of land in one common enclosure used as a park or recreational area.
- A common interest ownership condominium complex, a building or buildings within a condominium complex, or a single unit within a condominium complex. The definition is dependent upon the number of service pipes and at the discretion of the RWA.

“Pressure Reducing Valve (PRV)” - A valve for reducing water pressure to a preset value.

“Private Fire Protection” - private water mains, fire pipes and other appurtenances installed on private property for the purpose of fire protection at particular premises.

“Proportionate Share” - a charge made for the purpose of reimbursing the RWA or main extension applicant for the installation cost of the water main fronting the property to be served.

“Public Fire Protection” - the public water mains, hydrants, and appurtenances installed for the purpose of fire protection in a public right-of- way.

“Remote Meter Reading Device” - a receptacle that is installed and can be read at a location some distance from where the meter is located, or a

metering system where meters or meter appurtenances send data to a central collection system.

“RWA” – South Central Connecticut Regional Water Authority

“Service Connection” - the portion of the service pipe from the main to and including the curb valve, at or adjacent to the street line or the customer’s property line and such other valves, fittings, etc. as the Authority may require at or between the main and the corporation stop, but not including the curb box.

“Service Pipe” - the portion of pipe that runs between the curb stop and the customer’s place of use and includes private fire lines.

“Siamese Connection” - an inlet equipped with one or more couplings to which a fire hose can be attached and through which water can be delivered by a fire department pumper to an automatic fire sprinkler system.

“Subsidiary Meter” – a water meter installed downstream of the RWA-owned meter that is read by the customer for individual billing purposes.

“Summer Season” - refers to the period from April 1 to November 1.

“Tap” - the process of drilling and threading a water main to accept a corporation stop.

“Termination” - the discontinuance of water service as defined by these regulations.

“USC” - University of Southern California USC Foundation for Cross Connection Control

(B) SERVICE PIPE OWNERSHIP

RWA OWNERSHIP AND RESPONSIBILITIES

1. Service connections installed between October 18, 1966 and August 25, 1980 are the property of the RWA. See the definition of “service connection” in Section A, above.
2. The RWA will maintain all service connections from the connection at the main up to and including the curb valve for those connections located in accepted public streets or in properly executed and recorded easements.
3. The RWA will own and maintain all water mains and appurtenances located in accepted public streets or in properly executed and recorded easements.
4. Only authorized employees of the RWA will be allowed to tap or make connections to its water mains.
5. The RWA will furnish and install all new service connections larger than 2 inches in diameter. The customer will be responsible for the

cost of this work.

6. Except for repairs, no one may turn on water service into any building or part of a building or do any work in connection with any service pipe without consent from the RWA.
7. If the RWA determines a service connection should be replaced or repaired, the RWA will perform the repair or replacement at its expense. The expenses would include costs necessarily incurred in respect to the repair or replacement such as the cost of excavation, backfill, removal and replacement of paving for walks, curbs, roadways, etc.

OWNER RESPONSIBILITIES

1. Service connections installed prior to October 18, 1966 and after August 25, 1980 are the property of the customer but are maintained by the RWA. See the definition of “service connection” in Section A, above.
2. The customer will furnish and install all new service connections of 2 inches in diameter or smaller. The RWA will tap the main, furnish and install the corporation stop, install the meter, and inspect the installation. The customer contracting for service will be responsible for the cost of the permit, excavation, backfill, removal and replacement of pavement for walks, curbs, etc., necessarily incurred in installing new services.
3. The customer will furnish, install, own and maintain the necessary curb box and that section of the service pipe from the curb valve to the place of use and must keep them in good repair. The RWA has the right to access the curb box as necessary.
4. Replacement service connections will be considered as new service connections when the following conditions apply:
 - a. An existing service connection ceases to be adequate to meet the owner’s water demands due to increased use by the owner;
 - b. A larger service connection or a relocation of the service connection is requested by the owner;
 - c. A service connection is initially installed to the curb line only, and subsequently cannot be located in order to make a connection to it.

The owner will be responsible for all of the charges applicable to a new service connection as shown on the RWA’s current Rate Schedule.

5. The property owner will maintain, replace, and repair summer season service lines connected to summer season mains.

6. RWA will review and determine whether a service should be year-round or seasonal.
7. Customers who wish to convert from seasonal to year-round service should submit the request to RWA (see 6 above) and if approved, must lower service to a minimum depth of 4-1/2 feet below ground level, with the installation of a meter vault.
8. When a premise is permanently abandoned, the property owners must immediately notify the RWA so that the service connection can be removed. The RWA will assume the cost for removing the service connection. Restoration of abandoned service will be considered a new service installation.
9. The customer will maintain all pressure reducing valves.
10. All water services located in a private right-of-way, whether serving one or more properties, are considered private services or service connections and are the responsibility of the owner of the right-of-way.
11. The customer must comply with any mandatory water restrictions issued by the RWA. Mandatory restrictions will be imposed in accordance with RWA's Drought Response Plan contained in its Water Supply Plan. Customers not complying with mandatory water use restrictions are subject to 1) RWA's Mandatory Water Restriction Charge and 2) termination of water service in accordance with regulations.

(C) DOMESTIC SERVICE

1. All domestic services will monitor consumption with an RWA meter. Separate service pipes are required for domestic and fire use. Combined service pipes are not allowed for any new application for service.
2. Each service will have a curb box and a full way curb valve without drain.
3. One service pipe will service each premise. Any other arrangement must be approved in advance by the RWA. Where more than one building is involved, there must be independent curb valves for each building.

4. The location of the service pipe and connection must be approved by the RWA before the excavation is started.
5. If a premise is subdivided so that more than one premise results, additional service pipes will be required for the additional premise(s) as provided in the RWA's Rules and Regulations. These additional service pipe(s) will be installed by the owner at the time the additional premises are created or within thirty (30) days of being notified by the RWA that additional service(s) is required.
6. All new domestic services shall have a meter located in a meter pit, installed in accordance with RWA requirements.

(D) PRIVATE FIRE SERVICE

1. Except for extinguishing fires or testing fire equipment, no branch connection will be made on, or water taken from, a private fire service or a public or private fire hydrant without written permission from the RWA. Water taken from hydrants under a hydrant permit will be paid for in accordance with established rates and must comply with RWA Cross Connection Control Policies as provided in Section G of these rules.
2. Fire services and automatic fire sprinkler systems (including residential) will be designed and installed in accordance with the provisions of the National Fire Protection Association (NFPA) Code and other applicable codes, including proper backflow protection, and will require separate fire and domestic service lines.
3. Domestic water use may include residential life safety automatic sprinkler systems to one- and two-family dwelling units in accordance with the provisions of the National Fire Protection Association (NFPA) Code Section 13D, "Sprinkler Systems for One- and Two-Family Dwellings and Mobile Homes." The entire service will be metered. The meter will be sized for the minimum allowable flow demand per NFPA 13D. The water service will not be a fire service.
4. All sprinkler systems or private fire services will be metered or equipped with a detector check valve with metered bypass. An alarm system may be substituted when approved by the RWA. The type of meter or alarm will be determined by the RWA.
5. Detector check valves with metered bypass and meter vault are required on private fire hydrants or fire services when the service pipe or lateral is over 200 feet in length.
6. The customer is responsible for the ownership, inspection, maintenance and repair of private fire hydrants. Hydrants shall be

maintained per the requirements of AWWA M17: "Installation, Maintenance, and Field Testing of Fire Hydrants." Customers may contract with the RWA to perform maintenance services; such service fees will be negotiated between the parties on a case-by-case basis. The RWA shall have the right to inspect and operate private fire hydrants. If the hydrant is found to be inoperable or leaking, the Fire Marshal's office will be notified and the owner will have 30 days to make repairs. The RWA shall be notified when repairs are completed.

7. Water used through fire service meters will be charged at meter rates, but there will be no charge for water used in case of fire.
8. A reduced pressure principle backflow preventer (BFP) must be installed on all new service lines to fire sprinkler systems with a Siamese connection.
9. Effective January 1, 1999, a reduced pressure principle BFP will be installed on all lines to existing fire sprinkler systems equipped with a Siamese connection. Prior to this date, a double check valve assembly BFP may be allowed if approved by the RWA.
10. The customer will own and maintain reduced pressure principle BFPs and double check valve assembly BFPs. The customer and RWA may enter into an agreement for the RWA to conduct the annual testing of a service for a fee.

(E) PUBLIC FIRE SERVICE

Except as otherwise provided by the terms of any acquisition of another water system.

1. Public fire service, when provided by the RWA, will be billed at the established inch-foot rate. Only mains 6 inches and larger, in service as of the last day of the year, will be used in computing the inch-foot charge for the next year.
2. The inch-foot calculation shall be performed as follows: the number of inch-feet will be calculated by multiplying the length of each pipe in feet by its diameter in inches. All inch-feet for 6-inch through 12-inch mains will be charged directly to the town in which the main is located. Inch-feet for mains larger than 12-inches will be apportioned to the town in proportion to the inch-foot allocation for 12-inch mains.
3. The requirements of the municipal fire departments will determine the need for and location of public fire hydrants.
4. The RWA will own and maintain the fire hydrant laterals, but the applicant will be responsible for the cost.

5. Public fire hydrants may be owned by the municipality or by the RWA. When the hydrant is owned by the RWA, the installation cost will be the expense of the applicant. When it is owned by the municipality, the installation cost will be the responsibility of the municipality or the applicant as directed by the municipality.
6. When hydrants are owned by the RWA, an annual charge at the established rate will be made to the municipality to cover the capital and maintenance costs of the hydrants. Maintenance costs will include repairs, replacement, preventive maintenance and painting. The RWA is not responsible for snow removal and grass cutting around public hydrants. Municipally-owned hydrants shall be inspected and maintained by the municipality in accordance with the requirements of AWWA M17. The RWA shall have the right to inspect municipally-owned hydrants, and maintain, repair or replace municipally-owned hydrants if found to be leaking or operationally defective without prior notice to the customer. Municipally-owned hydrants can be replaced by the RWA at the expense of the municipality. Repairs to municipally-owned hydrants, if made by the RWA, will be charged at cost plus applicable overheads. The RWA retains the right to utilize public fire hydrants for distribution system operations regardless of ownership. The RWA will advise the municipality of these uses. Municipalities who own their own hydrants may contract with the RWA for maintenance services.
7. The charge for the installation of fire hydrants on the same side of the street as the main (short side) when connected to existing mains will be the average unit cost of installing hydrants and laterals on existing mains during the previous year. The charge for the installation of fire hydrants (including laterals) on the opposite side of the street from the main (long side) when connected to existing mains will be the actual cost of the installation. Refer to the definition of hydrant lateral in Section A above.
8. The RWA will add to the cost of new main extensions a charge to cover the cost of fire hydrant installations (including laterals). This charge will be included in the cost on a per-foot-of-main-installed basis. The per-foot charge applied will be based upon the prior year cost of fire hydrant installation (including laterals) on new main extensions. Refer to the definition of hydrant lateral in Section A above.
9. Use of public fire hydrants is for the purpose of fire suppression and the RWA's operations. Any other use will be by mutual agreement between the municipality and the RWA.

10. Use of water from a fire hydrant for purposes other than extinguishing fires will only occur pursuant to obtaining a hydrant permit at the discretion of the RWA and must comply with the RWA's Cross Connection Control Policies.
11. Fire flow tests will only be performed by the RWA on public hydrants in order to estimate available flow across the RWA's distribution system. These tests indicate the flow conditions only at the specific time and location of the test. Flow test data, therefore, should not be applied to other sites or locations.
12. If requested, the RWA will conduct a fire flow test at the requesting person's expense.
13. Tampering with a hydrant without prior authorization by the RWA is considered theft of service and will be subject to the tampering of hydrant fee for first and subsequent offenses. See the RWA Miscellaneous Rates section of the Rate Schedule for further information.

(F) PRESSURE AND CONTINUITY OF SUPPLY

1. Although the RWA is committed to providing reliable water service, we cannot guarantee a specific or uniform pressure or an uninterrupted supply of water. Customers, therefore, are cautioned to provide sufficient storage of water where an absolutely uninterrupted supply must be ensured, such as for steam boilers, domestic hot water systems, gas engines, etc.
2. It is the intention of the RWA to give notice in advance of work that will necessitate any interruption of the supply or change in pressure. The RWA, however, is not liable for any damage to person or property sustained as a result of this interruption in service or change in pressure unless due to the RWA's negligence. Property owners, therefore, should regulate their installations connected with the water supply system so that damage will not occur if water is shut off or pressure changes without notice.
3. In case of accident, or for the purpose of making connections, alterations, re-pairs, changes or for other reasons, the RWA may at any time shut off the water in the mains.
4. The RWA may also, whenever the public welfare may require it, restrict the use of water in order to reserve a sufficient supply for public fire service or for other emergencies.
5. Under normal operating conditions, the RWA will endeavor to maintain pressures within its distribution system between 25 and

6. 125 p.s.i. For premises where pressures will be below 35 p.s.i. at the point where the service enters the building, execution of a low pressure agreement will be required. In these cases and in cases where the customer requires higher pressures than available, a booster pump system should be installed on the water service on the customer side of the meter at the customer's expense. Future maintenance of such booster pump system will be the customer's responsibility.
7. For new premises where pressures may exceed 115 p.s.i. at the point where the service pipe enters the building, execution of a high pressure agreement will be required. In these cases, a pressure reducing valve (PRV) should be installed by the customer. Future maintenance of the PRV will be the customer's responsibility.
8. When the RWA finds it necessary to increase pressures in the distribution system and the increased pressures result in a customer's pressure exceeding 80 p.s.i. at the point where the service line enters the building, the RWA will offer to provide a PRV to the customer at no cost. Installation, future maintenance, and replacement of the PRV will be the customer's responsibility. In those cases where the increased pressure will result in the customer's pressure exceeding 115 p.s.i. at the point where the service line enters the building, the RWA will furnish and install a PRV at no cost to the customer. Future maintenance and replacement of the PRV will be the customer's responsibility.

(G) CROSS CONNECTION CONTROL

1. All state regulations governing Cross Connection Control currently located within Section 19 of the Public Health Code (PHC) of the State of Connecticut as issued by the Connecticut Department of Public Health (CTDPH) must be adhered to strictly.
2. In addition to requirements of the PHC, the RWA may require a backflow preventer (BFP) on a water service line when additional protection of its distribution system is required. The following list provides examples, not limited to those listed, of the types of premises where additional protection may be required:
 - Sites with auxiliary water sources
 - Sites maintaining active private wells
 - Sites where toxic/objectionable materials may be used in conjunction with RWA-supplied water
 - Sites with existing fire sprinkler systems tapped off domestic lines

- Sites maintaining fire services
 - Sites maintaining irrigation systems
 - Sites with connection to shipboard potable water systems
 - Water service connection to offshore customers
 - Sites where cross connection inspections are not possible due to intricate restrictions or where a repeated history of establishment or re-establishment of cross connections has been documented
 - Temporary water services
 - Water haulers
 - Mobile/portable washing units
3. Upon request, the RWA will provide information regarding the specific requirements for the type of BFP and for the specific circumstances pertaining to various facilities including those mentioned above. Installation of BFPs is the responsibility of the customer.
 4. All BFPs must be approved by AWWA, ANSI, ASSE or USC and installed in strict accordance with CTDPH and manufacturers' specifications. Improperly installed BFPs are unacceptable and will be recorded as violations. Reduced pressure zone type backflow preventers are prohibited from underground/vault installations without positive gravity drainage.
 5. All BFPs must be installed at the point of entry where the service enters the building, not more than 5 feet from the finished floor, in well-lit areas and be readily accessible for inspection and testing. Particular attention should be paid to confined spaces, hazardous environments, flooding potential, freezing temperatures or other mechanical damage due to vandalism or other causes. Where such situations are present, every effort should be made to relocate BFPs away from these hazardous environments. BFPs should be set horizontally, and may only be set vertically upon review and approval by RWA.
 6. All projects involving the use of BFPs must be submitted to the RWA for approval prior to installation. The submission must include mechanical and plumbing drawings and text describing the proposed BFP. A letter will be sent to the tap applicant stating the RWA's requirements for Backflow Prevention for that specific project. The tap applicant must contact the RWA's Cross Connection Department after installation of the backflow device is complete in order to have the backflow device installation tested and inspected.
 7. Containment backflow prevention devices shall be implemented on the domestic water service and the internal domestic or process

water supply. These devices are necessary to protect the entire public water supply system from contamination by non-potable sources that could reverse flow due to pressure changes.

- a. At the RWA's discretion, backflow prevention devices used to separate a premise from the RWA's water distribution system, referred to as containment backflow prevention device, will be installed on the domestic water service to a premise. The appropriate type of containment device will be determined by the RWA. Only the RWA meter and an appropriate control valve can be located upstream of the containment valve; all other plumbing components must be located downstream of the containment valve. This valve will be installed within the foundation of a building wherever possible and be available for testing by the RWA. If necessary, for the continued availability of water, a bypass around the containment valve is permitted; however, it too must include a backflow prevention device of the same type as the primary backflow prevention device.
 - b. At the RWA's discretion, backflow prevention devices used for the purpose of separating an internal area within a business, due to reasons including security or presence of a hazard, will be installed on the water supply line to that area. The type of backflow prevention device will be determined by the RWA depending on the degree of hazard present. There shall be no domestic water use downstream of this device (eyewash/safety shower, bathrooms, sinks, water fountains, etc.). If necessary, for the continued availability of water, a bypass around the primary valve is permitted, however, it too must include a backflow prevention device of the same type as the primary backflow prevention device. These devices should be installed in such a way that they are accessible for inspection and testing without the aid of a ladder.
8. All testable BFPs must be tested annually by a CTDPH-certified and RWA-recognized backflow prevention device tester. All results must be submitted in writing to the RWA immediately following completion of the test. The RWA will exclude individuals who fail to
 9. provide this information from our list of recognized testers and will notify the CTDPH. RWA testing services are available at the rate approved in the most recent rate schedule.
 10. The RWA may at any time, with cause, and at its own expense, check and test any BFPs in the water system. If annual tests have not been performed per paragraph 8 above, the RWA will charge the BFP owner for the test at the rate found in the RWA's rate schedule.

CTDPH-mandated plumbing inspections and routine BFP testing will be conducted during normal RWA business hours. Special arrangements can be made in advance as needed.

11. If a continuous water supply is necessary within a premise, the property owner must make alternate arrangements to provide for the continuation of flow during times of BFP testing, repair or replacement. This arrangement may include a parallel installation or the installation of a bypass around the BFP. All such bypasses are required to also include a BFP of equal or superior type to ensure equal or superior protection.

(H) BILLING

1. All metered water, whether used or wasted, will be billed in accordance with RWA's established Rate Schedule, except under conditions for billing adjustments as described in the RWA's One-Time Waste Adjustment Policy.
2. All bills for service are payable upon receipt.
3. Regular annual metered service will be billed monthly, at the discretion of the RWA.
4. Seasonal metered service will be billed a minimum of twice per year. A seasonal service charge will be billed upon meter installation for the summer season. A water usage charge will be billed when the meter is removed at the end of the summer season. Additionally, it is the customer's responsibility to call for removal. Failure to do so may lead to additional charges if meter becomes inoperable. The RWA may bill at shorter intervals for water usage at its discretion.
5. Fire service will be billed in accordance with RWA's regularly established Rate Schedule. Private fire service connections will be billed monthly in arrears. Public fire service will be billed semi-annually for the preceding six-month period.
6. Miscellaneous non-water charges, as approved in the RWA's rate schedule, may be included on all metered bills, private fire service bills and jobbing bills.
7. Jobbing bills are rendered upon completion of projects. Anyone requesting the RWA to perform jobbing work may be required to make a deposit in advance for the estimated cost of the work.
8. Effective April 1, 2025, amounts unpaid after 28 days from the billing date will incur interest at 1.5% per month, as set by the Representative Policy Board. Unpaid invoices constitute an automatic lien on the premises served, taking precedence over all other liens or

encumbrances except taxes, and may be foreclosed in the same manner as a lien for taxes.

9. Customers are responsible for all costs incurred by the RWA in collection activities.
10. If a meter fails to register, it will be repaired or replaced. Customers will be charged based on average daily consumption for a similar period.
11. New or existing premises receiving unmetered service prior to meter installation will be charged a fee for unmetered use under Connecticut General Statute §16-259a. Charges will be estimated based on actual meter registration. An Unmetered Active Service Fee may also apply.
12. Meter billings may not be combined into one cumulative billing.
13. Unless otherwise notified, the RWA will bill the owner of the premise for its rates and services. If tenants are billed directly and fail to pay, any unpaid amount after 90 days, due to shut-off, or upon termination of service due to the tenant vacating the premises, shall be billed to the property owner and become immediately due. In the event the RWA subsequently receives payment from the tenant on past balance, any payments previously made by the owner for those charges shall be refunded or credited to the owner. Failure by the owner to make payment shall constitute an automatic lien on the premises.
14. With each billing invoice the RWA will notify customers of the availability of information describing their rights as customers.
15. When multiple tenants share a single service, and any fail to pay or comply with RWA rules, the property owner must provide access to RWA equipment or establish separate services for each tenant.
16. The RWA may, in its sole discretion, require a customer to make a cash deposit. Simple interest, at the rate designated in the Connecticut General Statutes, Section 16-262j, will be applied with the deposit to any amount due from the customer. Deposits that are no longer required will cease to draw interest on the date service is terminated, the date notice is sent to the customer's last known address, or upon return of the deposit.

(I) ADJUSTMENT OF BILLS

Bills that are incorrect due to meter or billing errors will be adjusted as follows:

1. Whenever a meter in service is tested and found to have over-

registered by more than two percent (2%), the RWA will adjust the customer's bill for the excess amount paid, as determined below: If the period during which the meter over-registration occurred can be definitively determined, the adjustment will be based on that period.

- a. If the period cannot be definitively determined, it will be assumed that the over-registration existed for one-half of the time since the meter was last tested. If more than one customer received service through the fast meter during the applicable period, a refund will be issued to the current customer only for the period during which they received service through that meter.
2. Whenever a meter in service is found not to register, the RWA will estimate water charges based on historical consumption for the same period during the previous year. Billing adjustments resulting from a meter register jump or abrupt increase in registration caused by trauma to the meter will be calculated on the basis that the meter should have been registering at one hundred percent (100%) accuracy. The adjustment amount will be based on the difference between the prior meter's registration error and the accurate registration of the replacement meter.
 3. When a customer has been overcharged as a result of incorrect meter reading, incorrect bill calculation, incorrect meter connection or other similar reasons, the amount of the overcharge will be adjusted, refunded or credited to the customer.
 4. When a customer has been undercharged due to incorrect meter reading, incorrect bill calculation, incorrect meter connection, or other similar reasons, the amount of the undercharge may be billed to the customer in accordance with Connecticut General Statute §16-259a. Pursuant to CGS §16-259a, total consumption billing is permitted when the customer, by affirmative act, causes inaccurate billing or fails to provide reasonable access to the premises during normal business hours.

(j) TERMINATION OF SERVICE

1. The RWA may refuse or discontinue service without notice in the event of:
 - a. A hazardous condition;
 - b. Illegal or unauthorized provision of service;
 - c. A cross connection which represents a public health threat.
2. The customer is responsible for complying with these Rules and

Regulations, maintaining all customer-owned service lines, plumbing, and equipment, providing reasonable access to RWA equipment, and taking timely corrective action when notified by the RWA of conditions requiring repair or compliance.

3. Whenever there is a local and/or state-wide drought declared, the RWA will require that all leaks found on a customer's service line be repaired within 72 hours to avoid disconnection of water service.
4. The RWA may refuse or discontinue service with seven (7) days written notice for any of the following reasons:
 - a. Fraud or material misrepresentation in obtaining service;
 - b. Customer tampering with pipes, meters, or other RWA equipment;
 - c. Failure to make payment under an agreement to amortize an unpaid account balance and/or failure to keep the account current as charges continue to accrue;
 - d. Use of equipment by the customer in a manner that adversely affects RWA equipment or service to others after the customer has been given an opportunity to correct the condition;
 - e. Non-compliance with these Rules and Regulations, except as provided in Subsection J-1 above;
 - f. Furnishing service in violation of any municipal, state, or federal law, ordinance, or order;
 - g. Failure to furnish service, equipment, permits, certificates, or rights-of-way specified by the RWA as a condition of obtaining service, or withdrawal of such permissions;
 - h. Failure of a non-residential customer to fulfill any obligation under their contract with the RWA;
 - i. Failure to take corrective action to repair a leak on the service line that is the responsibility of the customer under these Rules and Regulations;
 - j. Failure to comply with mandatory water restrictions.
5. The RWA may refuse or discontinue service with fifteen (15) days written notice for the following reasons:
 - a. Non-payment of a delinquent bill after reasonable collection efforts, provided termination does not violate any applicable provision of the Connecticut General Statutes.
 - A termination notice will be sent following a monthly invoice for delinquent balances.

- A delinquent account is defined as unpaid utility service for more than thirty-three (33) days from the billing date.
 - b. Failure of the customer to provide reasonable access to RWA equipment;
 - c. Failure of the customer to maintain required plumbing provisions in accordance with these Rules and Regulations.
- 6. Each notice regarding termination of service will contain the grounds for termination and an explanation of the customer's rights with respect to termination of service.
- 7. **Conditions Under Which Service Will Not Be Terminated.** The RWA will not terminate service under the following circumstances:
 - a. During periods when a resident of the household is seriously ill, provided the customer submits a registered physician's certificate within fifteen (15) days of the mailing of the termination notice. The certificate must be renewed every fifteen (15) days or by the expiration date specified by the physician. In such cases, the customer must make reasonable arrangements to amortize any unpaid balance and keep the account current in accordance with the Connecticut General Statutes.
 - b. During a billing dispute, provided the customer notifies the RWA within fifteen (15) days of the mailing of a termination notice. Customers may request a copy of the Important Notice for Residential Customers – Customer Rights.
 - c. When the customer makes a payment of at least twenty percent (20%) of the outstanding balance and enters into a reasonable amortization agreement. Customers may utilize this option once in any twelve (12) month period. Default on such agreement will result in issuance of a new termination notice.
 - d. When an owner, agent, lessor, or manager of a residential dwelling is billed directly for service furnished to a building in which they are not the exclusive occupant, unless provisions have been made for occupants to receive service in their own name without liability for charges incurred while the owner was billed. If such provisions are not feasible, the RWA will not terminate service but may pursue remedies permitted under the Connecticut General Statutes.
 - e. For delinquency on any Friday, Saturday, Sunday, legal holiday, the day before a legal holiday, or at any time when the RWA's business office is closed or within one (1) hour of office closing.

(K) METERS AND METER TESTING

1. The RWA will determine type, size, location of the meter, meter and reading device to be installed.
2. The RWA will not install subsidiary meters. Property owners will install, own, maintain and read subsidiary meters, if desired. Subsidiary meters will be installed downstream of the RWA's meter(s) and bills will be computed based on the RWA's meter(s) only. Property owners considering subsidiary meter installation shall obtain approval in advance from the RWA.
3. The RWA will own, install, maintain and remove meters and associated remote reading devices. The customer will pay for a replacement meter or meter reading device required due to freezing, hot water, faulty connections, tamper, theft or customer's negligence. However, if after a RWA inspection of the meter vault/pit it finds the meter and vault/pit to be installed and maintained to RWA specifications, then the RWA may waive the frozen meter charge.
4. The customer will provide an accessible and protected location for the meter. The location will be subject to the approval of the RWA according to the following criteria:
 - a. For all services, meters must be set in external vaults. When the main is located within an easement which is also the primary travel way through a development, the edge of easement will be considered the same as a street line when determining the need for a meter vault.
 - b. Exterior settings will be in vaults built and installed to RWA specifications at the customer's expense. Meter vaults and pits will be owned and maintained by the property owner and will be installed on the customer's property as close to the street line as possible. Meter vaults in disrepair or dangerous conditions must be repaired to the Authority's standards; vaults that prevent access due to its condition may be subject to a penalty for non-access.
 - c. Unless otherwise approved by the RWA, all meters will be in meter pits located by the front property line. RWA may allow these meters to be located inside a building, only if no suitable location is available outside the building. If allowed by the RWA, a meter may be located inside a building when it is equipped with an external remote reading device. If RWA allows a meter to be inside the building being served, then the meter shall be located within a basement with normal walking height and width. Normal

walking height is a minimum unobstructed vertical height of 6-1/2 feet as measured from floor to ceiling. Normal width is a minimum unobstructed horizontal width of 2-1/2 feet as measured perpendicular to the line of the pathway and over the full walking height. Also, an inside setting must provide adequate accessibility, protection against freezing or other damage to the meter, where no goods subject to water damage are stored and where walls and floors are not susceptible to water damage. Such inside settings will be located at a point where the service pipe enters the building.

- d. Under no circumstances should meters be installed in crawl spaces, garages, finished basements, closets, entryways, finished levels, or hazardous or dangerous environments.
 - e. If the RWA finds that alterations, renovations, obstructions or other conditions have made the meter installation non-compliant as defined in Section K, the customer will be required to relocate the meter to a meter vault at the customer's expense as directed by RWA.
5. The customer is responsible for maintaining piping on either side of the meter, which includes service line from the curb valve to the point of entry to the building to ensure that it is in good condition and that it is valved on both sides of the meter so that the meter may be removed or replaced conveniently and without draining the interior piping. The customer is responsible for any repairs or changes to piping necessary to comply with these requirements.
 6. When meters 1-1/2 inches and larger are installed, a bypass around the meter with valve, locking device and seal will be installed so the meter can be changed, tested, or repaired without shutting off the water supply to the premise. In all cases where water cannot be shut off temporarily, these requirements will prevail and will be at the customer's expense. All meters sized 3 inches or larger which are installed in a basement or sub-basement shall have a dry pipe installed from the 2 inch test tee and piped to the outside of the building. The piping shall be either 2 inch copper tubing or 2 inch plastic schedule 80. Where the tubing exits the building, a 2 inch female or male thread shall be installed with a cap or plug. A drain valve shall be installed on the dry pipe above the test tee so water can be drained after use to prevent freezing. The pipe should exit the building at street level in an area that is accessible to RWA personnel so a fire hose can be connected to it in order to test the meter in accordance with industry standards.

7. If a customer has reason to believe that a meter is not registering accurately, the RWA will, upon written request, test the meter. The RWA will test the meter at no charge if the meter has not been tested in the previous year. The customer or authorized representative may be present during the test based on pandemic protocols.
8. Swimming pools or other facilities which might require considerable quantities of water may be required to be separately metered and to have separate services. Customers are not permitted to fill pools with water directly from hydrants. The RWA may pursue appropriate enforcement action and may assess a usage fee based on estimated metered consumption.
9. Meters are set and sealed by RWA employees. No one other than authorized employees of the RWA may break a seal or disconnect, open, adjust or otherwise interfere with a meter.
10. Plumbing must be arranged at the customer's expense so that each meter will be independent of any other meter.
11. Meter yokes 5/8 inch through 1 inch must be installed on all new services and on all replacement services.
12. Copper setters 5/8 inch through 1 inch must be installed on all new and replacement services where a meter vault is required.
13. Custom setters 1-1/2 inches and 2 inches must be installed on all new and replacement services installed inside the building or in a vault.
A meter will not be placed in service or remain in service if:
 - a. at the minimum test flow rate the meter registers less than 95% or more than 101.5% of the water passed through it; or
 - b. at the intermediate and maximum test flow rates, it over-registers or under-registers more than 1-1/2%.
14. The RWA will adopt a periodic test schedule for its meters in accordance with applicable meter testing requirements.
15. All meters removed for periodic complaint tests, or for any other reason, will be tested in the condition in which they are found in order to determine the average meter error. Tests will be made at the intermediate and maximum rates of flow and the average meter error will be one-half the algebraic sum of the errors of the two tests.
16. The RWA will maintain meter testing equipment which will be checked annually by the State of Connecticut, Department of Consumer Protection, Division of Weights and Measures.

17. Test meters used for comparative testing in the field will be tested at least once every thirty (30) days when being used.
18. Meter testing will be in accordance with test procedures contained in AWWA Meter Specifications or Manual M6 - Water Meters.
19. The decision of what type, style, and location for a remote reading device is solely within the discretion of the RWA. Meter readings supplied by the customer will not be accepted for billing purposes. Any customer who refuses the installation of an electronic remote reading device shall be required to pay a manual meter reading charge for each billing period.

(L) APPLICATIONS FOR SERVICE

1. The owner of the premises to be supplied, or their authorized agent, must apply for water service at the RWA's office. The RWA may require a government issued ID such as a driver's license or state-issued identification card. By submitting an application, the applicant, directly or through an authorized agent, agrees to take water from the RWA subject to the RWA's Rules and Regulations. All applicable charges, as detailed in the RWA's Rates, Fees, and Charges for Water Service, must be paid at the time of application. The RWA will not accept an application for service from any customer with a delinquent water account until such account has been paid in full. The customer is responsible for notifying the RWA to request discontinuance of service or to report a change in ownership. The customer shall remain responsible for all charges until the RWA receives such notice and service is discontinued in accordance with these Rules and Regulations.
 - a. To ensure adequate pressure and volume for all users and comply with health and safety standards the number of manifolded services will be limited to four (4) services on a single supply line. Any other arrangement must be approved in advance by the RWA.
2. Applications for taps must be made at least five (5) days, excluding weekends and holidays, prior to the time of installation. Arrangements for the installation of the tap must be made at least two (2) days in advance of the desired schedule date. Arrangements should be completed and confirmed before any excavation work is started. Scheduling of work will be at the discretion of the RWA.
3. Applications for service connections 4 inches and larger should be made sufficiently in advance of the required installation date so that the RWA can prepare an estimated cost of installation and contracts.

Applicant shall submit estimated water demands for proposed developments when applying for new service. Upon receipt of the signed contract with the required estimated payment, the RWA will schedule the work.

4. A facilities charge will be paid by each applicant for domestic or combined water service. The charge will be based on the number of meters and the size of the meters to be installed.
5. A service connection charge and meter installation charge will be paid for each new service connection.
6. When, within one year of the date of a meter installation that was subject to a facility charge, a customer requests an increase in meter size or number of meters, the customer will pay an additional charge
7. which will be the difference between the original charge and the charge for the new meters being installed.
8. Each applicant for each domestic or combination water service connected to a main that was installed at the RWA's expense will pay a proportionate share. A maximum of 200 feet will be used to determine the proportionate share for each service connected to the main.
9. A proportionate share will also be paid by applicants for each domestic water service connected to a main extension which was installed under the terms of an Advance Payment Extension Contract as defined in the Rules, Regulations and Rates Governing the Extension of Water Mains.
10. At the time of application the applicant must furnish the RWA with the information necessary to properly review the size of the service pipe and tap for conformance with the RWA standards. If services are improperly sized, they will not be accepted.
11. Where service is to be installed to the curb valve only, the applicant and his/her assigns will be responsible for permanently maintaining the location of the service lateral and the curb box. He/she will be responsible for installing the service pipe from curb box to place of use and insuring it is properly inspected and approved by the RWA.
12. Should the location of the service connection not be permanently maintained, and if after the reasonable assistance of the RWA, it cannot be located in such a way as to make connection to it, the applicant or his/her assigns will be responsible for its replacement. Replacement service connections in this circumstance will be considered new service connections and the applicant, or his/her assigns will be responsible for all of the charges applicable to a new service connection.

13. If the applicant's water service demands exceed the capacity of an existing main, the RWA may require, at the applicant's expense, the replacement/ upgrade of the main to maintain adequate service.
14. Applications for the extension of water mains should be made at the RWA office and are subject to the provisions of the RWA's Rules, Regulations and Rates Governing the Extension of Water Mains.
15. Applications for use of water for construction purposes must be made to the RWA before such use, and such uses will be charged at the RWA's scheduled rates. Permits or receipted bills will be issued and must be shown on the job site upon request of authorized RWA employees. All water use must be metered.
16. Applicants for water service will pay for the extensions of water mains to limits defined in the Rules, Regulations and Rates Governing the Extension of Water Mains. If mains exist to those limits, the applicant will pay the proportionate share of the cost of the extension(s) according to such Rules.
17. Customers or their authorized agents seeking to convert from seasonal to year-round service must apply to the RWA and shall be responsible for all applicable charges associated with such conversion.

(M) TECHNICAL STANDARDS AND PROCEDURES FOR THE INSTALLATION OF 1- INCH THROUGH 2-INCH WATER SERVICES

1. Except where service pipes are not intended for use during freezing weather and are actually drained during such periods, all service pipes will be laid a minimum of 4-1/2 feet below the finished grade in order to prevent freezing. If at any time the grade is changed so that any part of the service pipe is covered by less than 4-1/2 feet, the owner will be responsible for lowering the pipe before freezing weather occurs. Where the service pipe from the curb to the building is not laid to depth, a separate drain valve with curb box must be provided adjacent to and on the house side of the curb valve.
2. Service pipes are to be installed on undisturbed ground and left uncovered so that a representative of the RWA can make an inspection to see that the installation conforms to these Rules and Regulations. The customer will schedule the inspection prior to backfilling the service connection excavation. Backfill material should be compacted around and one foot over the pipe. When excavation is in rock, there should be no projecting rock within 6 inches of the outside of the pipe side, top and bottom. The bottom 6 inches of the trench should be refilled with sand and properly compacted before

the pipe is laid. All excavated rock should be disposed of and the trench refilled with sand, gravel or other suitable material.

3. The contractor shall be responsible for ensuring the stability and safety of the trench and adjacent structures by using such trench support and bracing as deemed necessary by applicable OSHA standards or RWA standards – whichever are more restrictive. Copies of the most current RWA standards are available at RWA headquarters.
4. If a safe trench and work area are not maintained by the contractor, Authority personnel will refuse to work until the trench or area is made safe. In this event, the excavator will bear the responsibility and liability for maintaining a safe and adequate trench excavation at all times and at any depth.
5. Since the contractor must maintain all excavations in proper condition for carrying on the work, the contractor will do all bailing, draining or pumping which may be necessary to keep the trenches or other excavations free of water, and will not, under any conditions, allow groundwater to enter the pipes.
6. In accordance with the application Regulations of Connecticut State Agencies, the contractor will notify “CALL BEFORE YOU DIG” (1-800-922-4455 or “811”) prior to the start of any excavation.
7. Clearances, wherever possible, should not be less than 12 inches where service pipes cross other underground utilities.
8. No service pipe should cross any portion of a subsurface sewage disposal system or be installed less than 10 feet away from any portion of a subsurface sewage disposal system.
9. Service pipes must be installed perpendicular to the water main from tap to curb valve and in a straight line from the curb valve to the point of entrance to the building. Any deviation from this requirement must be approved in writing by the RWA before installation.
10. The scheduling of a tap may require up to several weeks’ notice from the applicant. The length of the notice period is dependent upon materials availability and the availability of RWA field crews. Applicants are advised to inquire about current scheduling at the time of application. When taps are required on concrete pressure pipe, the excavation should be made in accordance with plans furnished by the RWA. Extreme care must be used in order not to damage concrete pipe while excavating.
11. Service pipes will not be in the same trench with other underground facilities, except as approved by the RWA and in accordance with the requirements of the Connecticut Public Health Code.

12. Service pipes will enter the property to be served through its frontage along an RWA-owned main located in either a public street or an approved easement and will not cross intervening or enter onto adjacent properties.
13. Lots to be serviced must have a minimum of ten (10) feet of deeded frontage along a public or private right-of-way which contains a water main extension.
14. In accordance with current state laws, rules and regulations, blue warning tape is required on all water service installations. Warning tape will be installed no less than 12 inches and no more than 18 inches above each service pipe.
15. Copper trace wire or blue warning tape manufactured for trace application shall be installed above plastic pipe to assist with future identification of the pipe's location.
16. For underground service pipe 2 inches and smaller, all new fittings from the corporation stop in the main up to and including the service valve on the upstream (street) side of the meter shall be flared, iron pipe size (I.P.S.) thread or compression connection fittings. Interior exposed fittings shall be flared or Iron Pipe Size (I.P.S.) thread connections only.
17. In all cases, soldered connections will not be allowed anywhere along the service line from the corporation stop at the main up to the point where the service enters the place of use and within the meter setting.
18. In no case will connections be allowed on the service pipe upstream of a water meter.
19. Check valves, pressure-reducing valves, backflow prevention devices, booster pumps and other such equipment must be located downstream of the meter.
20. In cases where the length of service pipe exceeds 50 feet from the curb valve to the building, the RWA may allow the use of polyethylene tubing with prior review and approval as well as the conditions outlined in Section N.
21. Polyethylene tubing may not be used within 500 feet of any landfill area or any area with underground fuel tanks.

(N) MATERIAL SPECIFICATIONS

1. Service Pipe
Size

- Service pipes must have a minimum 1-inch nominal inside diameter; 2-1/2 and 3-inch diameter pipe is prohibited.

Material

- Service pipes 1-inch through 2-inches in diameter must be of Type K soft temper copper tubing and must conform to the latest revision standard specification for seamless copper water tube, ASTM B43-58.
- Where allowed in advance by the RWA and only located on the customer side of a meter vault or pit, plastic service pipes 1 inch through 2-inches in diameter shall be polyethylene pressure tubing, Pressure Class 200 p.s.i., SDR 9, CTS. It should conform to the latest revision of AWWA Standard C901 and should be marked with the size, material and pressure class. Plastic piping must transition to copper tubing from the meter vault towards entering the building. Trace wire made of copper 18 gauge is required for all plastic service lines. Trace wire must be wrapped around the polyethylene tubing and be clamped to copper tubing exiting meter vault and entering building. Cross-linked polyethylene (PEX) piping is not acceptable for underground installation.
- Service pipes 4-inches in diameter or larger should be of ductile iron cement lined minimum Class 52 or a class with a wall thickness suitable for pressures and loads encountered. Ductile iron pipe should conform to the latest revision of AWWA Standard C151. Ductile iron pipe should be furnished with a double cement-mortar lining. The lining should conform to the latest revision of AWWA Standard C104.

2. Fittings

- Fittings for underground copper service pipe shall be flared, iron service size (I.P.S.) thread or compression fittings that conform to the latest revision of AWWA Standard C800.
- Fittings for underground polyethylene tubing should be of the mechanical type with stainless steel inserts, conforming to the latest revision of AWWA Standard C901.
- Fittings for service pipes 4 inches and larger should be restrained mechanical joint, or push-on joint only. Flanged fittings should not be used underground. All fittings should be made of double cement mortar lined ductile iron and should conform to the latest revision of AWWA Standard C110.

2. Valves

Curb Valves

- Curb valves 2-inches and smaller should be Teflon (PTFE) coated

ball type without drain and 90° stops. Brass components should conform to the latest revision of AWWA Standard C800/ASTM B-62 (85-5-5-5).

- Curb valves 4-inches and larger should be resilient seated, fusion bonded, epoxy coated M.J. gate valves and should open right (clockwise). Valves should conform to the latest revision of AWWA Standard C-509. Interior and exterior fusion bonded epoxy coating should conform to the latest revision of AWWA Standard C-550.
- Buried valves must have a 2-inch square operating nut. Service Valves
- Service valves 2-inches and smaller should be PTFE coated ball type, without drain, furnished with locking provision and integral meter saddles as approved by the RWA. Brass components should conform to the latest revision of AWWA Standard C800/ASTM B62 (85-5-5-5). The use of gate valves is prohibited.
- Service valves 4-inches and larger should be resilient seated, fusion bonded, epoxy coated flanged gate valves and should open right (clockwise). Valves should conform to the latest revision of AWWA Standard C-509. Interior and exterior fusion bonded epoxy coating should conform to the latest revision of AWWA Standard C-550. Non-buried service valves will have an operating wheel.
- Outside stem and yoke (OS & Y) valves are required on fire services 4 inches and larger.

3. Curb Boxes

- Curb boxes for 1-inch curb valves must be of the Buffalo Screw Type with 2-1/2-inch diameter shaft. Whenever a curb box is exposed to vehicular traffic, it must be of the Roadway Type.
- Curb boxes for 1-1/2-inches and larger curb valves should be of the Buffalo Type with 5-1/4-inch diameter shaft.
- All curb boxes should be of cast iron and fitted with a cast iron cover marked with the word "WATER" and installed with the cover flush with the finished grade.
- Plastic curb boxes and curb box covers are prohibited.

4. Meter Vaults and Manholes

- Meter vault and manhole specifications and diagrams along with piping arrangements will be furnished on request by the RWA.
- Plastic meter pit top sections are prohibited.
- Composite covers or hatch doors are required for meter pits/vaults and must be pre-approved by the RWA.

5. Meter Setters

- Meter setters for 5/8-inch through 1-inch meters, basement installation, should be Ford Series 500 or RWA-approved equal.
- Meter setters for 5/8-inch through 1-inch meters, vault installations, should be Ford Series 70 or RWA-approved equal.
- Meter setters for 1-1/2-inch meters, vault or basement settings, should be Ford custom setters VVB66-B or RWA-approved equal.
- Meter setters for 2-inch meters, vault or basement settings, should be Ford Custom setters VVB77-B or RWA-approved equal.

6. Other

- Warning tape will be plastic or metallized plastic, blue in color, a minimum of 4-inches in width and have the words "CAUTION - WATER LINE BURIED BELOW" imprinted on it in black letters.
- Trace wire will be made of copper 18 gauge.
- Backflow prevention device (BFP) will be manufactured in accordance with the latest revision of AWWA Standard C511 and pre-approved for use by the RWA.

RULES, REGULATIONS and RATES GOVERNING the EXTENSION of WATER MAINS



Our **STARS** Values

Service | Teamwork | Accountability | Respect | Safety

***SOUTH CENTRAL CONNECTICUT
REGIONAL WATER AUTHORITY (RWA)***



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I. DEFINITIONS

“Applicant” - the party requesting service, the provision of which requires an Extension.

“Deferred Extension” – in cases where the Applicant can connect to an existing main, the RWA may defer installation of all, or a portion, of an Extension required by its regulations until further extension is determined to be required on the street by RWA.

“Extension” - the linear footage of water main required in order to service property(ies) according to the terms and conditions set forth by these rules.

“Extension Contract” - an Advanced Payment Extension Contract executed by the RWA and the Applicant providing for the installation of an Extension pursuant to which the cost of such Extension is paid by the Applicant to the RWA as described in these Rules. The Contract shall define the period in which the Extension is to be installed and the duration of the contract.

“Main(s)” - water pipes owned by or to be owned by the RWA, used for the purpose of conveying water to the Taker's service connections.

“Proportionate Share” – a charge made for the purpose of reimbursing the RWA or main extension applicant for the installation cost of the water main fronting the property to be served.

“Proportionate Share Agreement” - the agreement for payment of a proportionate share of an Extension Contract.

“Proportionate Share Applicant” - the party entering into a Proportionate Share Agreement with the RWA for water service to a property which is subject to the proportionate share provisions of these Rules and Regulations.

“RWA” - the South Central Connecticut Regional Water Authority.

“Taker” - any party connected directly to an existing Extension by a service line on which an Extension Contract is in effect.

II. GENERAL PRINCIPLES

1. Title of ownership in an Extension is vested in the RWA.
2. An Extension is under the sole control and jurisdiction of the RWA. This includes the right to connect additional customers without the consent of the Applicant; to make further extensions beyond or running laterally from the Extension; or to connect the Extension with any other portion of the distribution system of the RWA. The RWA may take these actions without incurring any obligations to the Applicant or Takers receiving service from the Extension except as provided.
3. All Extensions will be installed, owned and maintained by the RWA. Installations will be performed by the RWA with its own resources, or by

- an approved subcontractor hired by the RWA.
4. If the property to be supplied by an Extension is at such an elevation that pressure will be below 35 p.s.i. or above 115 p.s.i. where the service enters the building, the Applicants for such an Extension will be obligated to execute either a Low Pressure Agreement or a High Pressure Agreement with the RWA prior to final acceptance by the RWA of the application. These Agreements will be recorded in the Land Records of the municipality in which the property is situated. In the case of an application for service to new construction or developments, the RWA may, in its sole discretion, require the Applicant to pay for any main replacement in, or main extension of, the RWA's existing distribution system in order to provide service within the p.s.i. levels described above.
 5. Water mains will be extended from the existing service area or pressure zone in accordance with the RWA's long-range plans for service area coverage, which are designed to assure an optimum range of pressures throughout the RWA's distribution system, and to minimize construction of new pumping stations and storage facilities. Extensions will not necessarily be initiated at the nearest water main. Applicants will be responsible for the cost of the entire Extension regardless of the distance required.
 6. If additional facilities, such as storage tanks and booster pumps, are required to provide adequate service to an Extension and only that Extension, the costs associated with the planning, design and construction of such facilities will be charged to the Applicant. The facilities will be constructed in accordance with the RWA's Standards for the Development of Satellite Water Systems. These standards are available as a separate document.
 7. If additional facilities as described above will benefit RWA's existing system or will be required to serve additional extensions by future applicants, the RWA will construct the facilities at its expense.
 8. Extensions will be made to streets that are accepted and maintained by the municipality or in rights of way granted to the RWA. Extensions will also be made in new streets subject to the following: (a) the street will be constructed to line and grade conforming to the plan and profile as accepted by and recorded with the municipality; (b) a bond has been posted with the municipality by the owner, to insure satisfactory completion of said street according to the specifications of the municipality; and (c) there is written confirmation of all the items listed above by the proper municipal authority.

9. Private rights of way for mains must be located within the access road to the area being served.
10. Mains will be located to the extent possible within the paved portion of a street or right of way.
11. The Applicant for water service is responsible for all new main extensions required to provide service to a proposed subdivision, development, etc. This responsibility includes approach mains, "loop" mains and replacement/upgrade of mains required to connect the project to the RWA's water distribution system and to meet and maintain minimum design pressure, needed fire flow and water quality criteria.
12. The RWA will determine the required length, size, material, routing and location of an Extension, based in general on the following principles:
 - (a) The terminal point of an Extension installed in streets not within a development will be the property line beyond the last Taker to be serviced by the Extension, including the installation of a main required to cover the entire frontage of a subdivision tract.
 - (b) An Extension installed in streets within a development or subdivision will include all mains required to cover buildings to be served by the development or subdivision to intersecting streets.
 - (c) The size of main to be installed will be based on the existing and future needs of the RWA's water system and/or prevailing municipal fire ordinances, and the costs will be allocated as provided in Section II-17 of these Rules. The minimum size for new mains will be 8-inch diameter, except in state roads, where the minimum size for new mains will be 16-inch diameter.
 - (d) Phased construction within a development or subdivision may be allowed upon prior written approval by the RWA.
13. With the exception of new developments, when an Extension passes corner properties having access to a main on an adjacent street, the RWA will install at its expense that corner property's portion of the Extension from the existing main to the far property line. If this distance is sub-dividable in accordance with the zoning regulations of the municipality, the RWA will only install the corner property's portion of the Extension from the existing main to the subdivided line. In no case, however, will the distance contributed by the RWA exceed 150 feet.
14. Where the Rules and Regulations require main extensions as shown on the site plan approved by the municipal Planning and Zoning agency, but

on streets which will not be constructed, the RWA may defer installation of the Extension until an additional main is required in the street.

15. Each Extension will terminate at the farthest property line of the last potential Taker to be served by such Extension. In cases where the Applicant can connect to an existing main, the RWA may defer installation of all, or a portion, of an Extension required by its regulations until further extension is required on the street. At the time of application, the RWA will notify the Applicant of its obligation to pay for the installation of a deferred extension. The Applicant shall pay, prior to the installation of the deferred extension, the estimated cost of the deferred extension based on the deferred length times the RWA's average cost of installation extensions of the required size.
16. When an Extension is made in unfinished streets, the Applicant is responsible for damages to the main and all such fixtures and appurtenances such as hydrants, gate boxes, blow-off boxes, etc., including its relocation, if damaged, or if relocation is necessitated by acts or omissions of the Applicant or his agents. If, after the Extension is installed, the grade is changed to reduce the required minimum cover of the mains, fixtures or appurtenances, then the Applicant will pay the cost of lowering the mains to the depth required to correct this deficiency. This responsibility will remain in force until such time as the street is officially accepted by the proper municipal authority.
17. The RWA will determine the size of the main required for each Extension. In those municipalities with fire ordinances in effect which specify the size of water mains, the RWA will comply with the ordinance requirements except where the size stipulated in the ordinance would have a detrimental effect on the RWA's system. In such a case the main will be sized for the overall best interest of the water system. The Fire Marshal in the affected municipality will be advised of the deviation from the ordinance. Costs will normally be based on sizes not larger than 8-inches, unless it is necessary to install main larger than 8-inches to satisfy the requirements of the Applicant. In that case, the cost will be based on the cost of the main size actually required.
18. Lots to be serviced must have a minimum of ten (10) feet of deeded frontage along a public or private right-of-way to contain the Extension.
19. The cost of each Extension installed by the RWA will be the actual cost of the main, encompassing labor and equipment used, plus overhead at the RWA's prevailing overhead rates for the main size required. If pavement excavation and replacement or repairs are required for the Extension, the additional costs will be added to the cost of the

Extension. Cost of deferred extensions will be the deferred length times the RWA's average cost of installing extensions of the required size.

20. All requests for the installation of public fire hydrants must be made directly to the municipality and are subject to order by the municipality.
21. When the RWA installs fire hydrants in connection with an Extension, the RWA will add a charge to cover the installation of the fire hydrant (including laterals) as a cost per foot. The per foot charge applied is based upon the prior year cost of fire hydrant installation (including laterals) on new main extensions.

III. APPLICATIONS

1. Applications for Extensions will be accepted only from the owner of public record of the property which the Extension will serve.
2. Applications must be made at the RWA offices and will not be processed until all information requested by the RWA is supplied.
3. The Applicant, if a development is involved, will be required to furnish with the application one (1) reproducible subdivision map of the property to be supplied. This map must be a facsimile of the one approved and filed with the proper municipal authority. The applicant, if requested by the RWA, will furnish plan and profile drawings of the street(s) in which the main is to be installed as approved by and filed with the municipality. Only maps, plans, profiles or other drawings prepared and stamped by an engineer or surveyor licensed in the State of Connecticut will be accepted.
4. The Applicant of a development or subdivision must notify the RWA at the time of application if phased construction is proposed. Phasing should be indicated on a property map supplied to the RWA.
5. Application for the Extension will automatically expire ninety (90) days from the date of application if, within this period, all conditions required for acceptance of such application are not fulfilled. Upon cancellation of an Extension application, the Applicant may renew the application and will be subject to the Rules and Regulations in effect at the date of renewal.
6. Contract proposals for Extensions will automatically expire ninety (90) days from the date transmitted to the Applicant, if within this period the RWA has not received all fully executed required documents, including but not limited to the Extension Contract, High or Low Pressure Agreements and easements, and payments due at the time of contract execution. Upon expiration of a contract proposal, the Applicant may

request the proposal be subject to the Rules and Regulations in effect at the date of the renewal.

IV. ADVANCE PAYMENT EXTENSION CONTRACT

1. An Applicant, on execution of an Extension Contract, will deposit with the RWA an amount equal to the RWA's estimated cost of the Extension. Any additional amount which may result from increased costs, as determined by the RWA, must be paid on demand to the RWA. The RWA may delay installation of any Extension or service taps in an Extension until the additional amount has been received.
2. Upon completion of the Extension, the RWA will determine the actual cost of the extension. If the actual cost is less than the deposit, the RWA will refund the difference to the Applicant. If the estimated cost is less than the actual cost, then an additional charge will be made to the Applicant, payable within fifteen (15) days of being invoiced. If not paid within thirty (30) days after the due date, the applicant will be assessed interest at a rate of 1.5% per month. The RWA may delay the installation of any service taps in such Extension until the additional amount has been received.
3. No interest will be paid by the RWA on any or all of the amounts paid by the Applicant.
4. If an Extension under an Extension Contract is installed in a street or highway which property is abutted by other than that of the Applicant, the RWA agrees to require that the other property owners, before making any connection for water service, will pay their proportionate share of the cost of the extension. These property owners, referred to as "Proportionate Share Applicants", will pay their share in full at the time of their application for water service. When received by the RWA, these amounts will be refunded to the Applicant, unless the Extension was installed at the expense of the RWA, in which case the RWA will retain any funds so collected. Total refunds on the Extension Contract to the Applicant will not exceed the total amount paid by the Applicant. The Proportionate Share Applicant for water service will be subject to all of the terms, conditions, and provisions of the contract.
5. The Applicant will be entitled to receive the proportionate share amounts collected by the RWA from the Proportionate Share Applicant for a ten (10) year period starting from the date of the completion of the main installation. Liability for payment of refunds for proportionate sharing will cease at the end of that ten (10) year period, and any part of the amount paid by the Applicant not refunded within the contract period will be the property of the RWA.

6. The Applicant or a Proportionate Share Applicant may not assign the Extension Contract or the Proportionate Share Agreement or any money due by reason of the terms of these agreements, without the written consent of the RWA.
7. The proportionate share provisions of the Extension Contract will not apply to existing RWA customers whom the RWA decides to connect to the newly installed extension.
8. All Takers connected to extensions installed in accordance with the terms of an Extension Contract will be subject to the applicable rates, rules, regulations, terms and conditions of service of the RWA. However, when the municipality does not pay the fire service charge for a particular Extension, these charges will be paid on a pro rata basis by all Takers on the Extension.
9. The RWA reserves the right to require that an Extension Contract cover all mains needed to supply a real estate development or subdivision in its entirety.

V. INSTALLATION

1. Extensions will be scheduled for construction after the Applicant has fully complied with all conditions and contractual obligations.
2. Each main will be installed in a public street that has been approved by a municipality, or in a private right of way if given the written consent of the RWA. In the case of a right of way, the Applicant must provide an executed Right of Way Agreement and related documentation as specified by the RWA, prior to the main installation.
3. Extensions will normally be scheduled for construction in the order in which all requirements have been met. However, the RWA may vary such scheduled in order to integrate timing with other previously approved projects, in consideration of weather conditions, or based on the availability of materials or the immediacy of need.
4. It is the responsibility of the Applicant to erect and maintain stakes to indicate correct street lines and grades, lot lines and hydrant locations in order to facilitate proper installation of the mains and appurtenances as determined by the RWA.

Rules and Regulations for Water Service



July 2026



Our STARS Values

Service | Teamwork | Accountability | Respect | Safety

SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY

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INTRODUCTION

The South Central Connecticut Regional Water Authority (RWA) has adopted the following Rules and Regulations to ensure uniformity and fair practice ~~to for~~ all customers, consistent with the protection of the health and safety of the communities ~~we it serves~~. These ~~Rules and R~~egulations are intended to inform the public ~~as to of~~ the administrative procedures, technical requirements, and rates and charges ~~for applicable~~ to obtaining connection to and maintaining ~~water~~ service from the RWA's water supply ~~system~~.

These Rules and Regulations, including ~~any~~ amendments or additions, ~~thereto,~~ ~~are constitute~~ an integral part of the rates, terms and conditions governing water service provided by RWA pursuant to its statutory authority. The terms, conditions and policies set forth ~~in these herein Rules and Regulations~~ have been approved by the Regional Water Authority and its Representative Policy Board pursuant to Section 14 of ~~S~~pecial Act No. 77-98, as amended, and are binding on ~~every all~~ RWA customers, ~~(including applicants for service and former customers)~~.

~~Customers are responsible for complying with these Rules & Regulations as a condition of receiving and maintaining water service.~~

Commented [LK1]: Bridgette Hoskie

GENERAL PRINCIPLES

1. The RWA may modify these Rules and Regulations at any time.
2. The RWA's regulations regarding the extension of water mains are available as a separate document.
3. Services performed after hours or on weekends or holidays are subject to special charges.
4. During drought conditions, or when it is in the best interest of the public, the RWA may curtail or suspend entirely the use of water for non-essential purposes. The RWA ~~will~~shall not be liable for any losses incurred ~~because as a result~~ of the curtailment or suspension of service.
5. ~~Without special written permission from the RWA, no owner or tenant may supply water, whether metered or otherwise, to other persons. Individuals, moreover, may not permit any connection to be made on their premises for the supply of water to other premises. This prohibition applies whether or not the other premises are owned by the same individual or another party. Without prior written permission from the RWA, no owner, tenant, or other party may supply water, whether metered or unmetered, to any other person or permit any connection for the purpose of supplying water to other premises. This prohibition applies regardless of whether the premises are owned by the same individual or by another party.~~
6. Customers must allow employees or duly authorized representatives of the RWA, when identified by proper badges, uniforms or written authority, to have access to their premises at all reasonable hours for the purpose of reading, testing, installing, changing and removing meters, inspecting all plumbing connections, fixtures and mains, collecting water samples for testing, and other purposes as are necessary under these Rules or when it appears that there is a violation of these Rules and Regulations.
7. All owners, lessees, agents, tenants, and users of water service must keep their pipes and fixtures in good working order and protected from frost, and make their water meters accessible.
8. Customers ~~should~~shall avoid all unnecessary waste of water. Under emergency conditions, and with the consent of the RWA, water may be left running to prevent freezing of the customer's pipes. The customer must pay for the water used for these purposes, and take corrective action to protect the pipes before the next winter season. ~~Customers shall be responsible for all water used for this purpose and must take corrective action to protect pipes before the next winter season.~~
9. Air conditioning or refrigeration installations totaling over a three-ton capacity must have water conserving equipment to reuse the water.

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10. ~~The RWA offers free water consumption monitoring and usage alert tools to customers. However, the customer has the sole responsibility to monitor their water consumption at the customer's location. The RWA provides this alert system as a courtesy only, and providing this courtesy service to its customers in no way creates legal liability on the part of RWA.~~

The RWA may offer water consumption monitoring and usage alert tools to customers at no cost. Customers retain sole responsibility for monitoring water usage at their location. These tools are provided as a courtesy only and do not create any legal duty or liability on the part of the RWA.

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(A) **DEFINITIONS**

"Abandonment" - the condition in which water service to a building has been discontinued at the Owner's request for a period of at least one year and the Owner has made no commitment as to possible future use.

"ANSI" - American National Standards Institute.

"Applicant" - any person or entity, such as a developer, municipality, or business, requesting water service from the RWA.

"ASSE" - American Society of Sanitary Engineers.

"Automatic Fire Sprinkler System" - a plumbing system designed to spray water to extinguish fires.

"AWWA" - The American Water Works Association.

"Backflow" - the reversal of the normal flow of water or other liquids caused by back pressure or back siphonage.

"Backflow Preventer (BFP)" - a device installed on a service pipe that protects the public water supply from backflow.

"CBYD" - Call Before You Dig.

"Combination Service Line" - a single service connection which is separated at the curb line to provide an individual curb valve and/or valve for both domestic usage and fire protection. Separate service pipes for fire and domestic usage are required from the curb valve to the place of use.

"Combined Service" - an existing service –which provides both fire and domestic service through a single service pipe. New installations of Combined Services are not permitted.

"Common Enclosure" - property under common ownership which is bounded by one or more of the following: property lines, public streets, public high-ways or RWA mains installed in easements on private or public property.

"Corporation Stop" - the device used by the RWA to connect the service pipe to the main.

"Cross Connection" - as defined in the State of Connecticut Cross Connection Control Manual "means any actual or potential connection between a public water system and any other source or system through which it is possible to introduce into the water system any contaminating, polluting agent."

"CTDPH" - Connecticut Department of Public Health.

“Curb Box” - a vertical pipe or casting with a cover placed over the curb valve and extending to the ground surface to allow access to the valve

“Curb Valve” - the valve located on the service pipe between the main and customer’s place of use located in the vicinity of the curb and public right-of-way line.

“Customer” - the party (owner or lessee) contracting for water service to or for a premises.

“Domestic Service” - all service or use other than fire service.

“Drought” - a prolonged period of abnormally low precipitation resulting in water shortages.

“Facilities Charge” - a charge made for the purpose of collecting a fair contribution from the Applicant toward the capital cost of the utility system which has been paid by existing customers.

“Fire Flow Test” - the measurement of flow from a hydrant performed by the RWA in accordance with generally accepted engineering practices.

“Fire Hydrant” - a device, connected to a hydrant lateral and provided with the necessary valves and outlets, to which a fire hose(s) may be connected for the purpose of extinguishing fires.

“Fire Service” - a water connection used to extinguish fires as well as test fire equipment.

“Frontage” - the length of a building lot’s perimeter boundary that abuts or is adjacent to the right-of-way of either existing or proposed public or private streets.

“Hydrant Lateral” - the pipe and fittings that run between the main and the fire hydrant.

“Hydrant Permit” - a written permit granted by the RWA for the temporary use of a hydrant.

“Inch Foot Rate” - the rate used to compute the annual fire service charge billed to municipalities within the RWA’s water district, as further defined in Section E, Public Fire Service.

“Jobbing Bill” - a bill rendered for services and materials other than metered water service.

“Lawn Sprinkler” - an underground piping system which is used to irrigate lawns and other forms of vegetation.

"Main(s)" - water pipes owned by or to be owned by the RWA used for the purpose of conveying water to the customer's service connection.

"Meter Setting" - the pipe, valves, fittings, and appropriate spread necessary for the installation of a meter on a service pipe and provided by the owner at his expense.

"Meter Vault/Pit" - a subsurface enclosure that protects water meters and other facilities installed outside of buildings.

"N.F.P.A." - National Fire Protection Association.

"OSHA" - Occupational Safety and Health Administration.

"Owner" - the person, persons, or legal entity holding title to the property or premises connected or proposed to be connected to the public water system.

"PHC" - Public Health Code of the State of Connecticut.

"Premises" - refers to but is not limited to the following:

- A building or combination of buildings in one common enclosure under common ownership occupied by one family, one company or one educational or institutional entity.
- Each unit of a multi-unit building under common ownership where such unit is equipped with its own separate water service pipe.
- A single building under common ownership.
- A single plot of land in one common enclosure used as a park or recreational area.
- A common interest ownership condominium complex, a building or buildings within a condominium complex, or a single unit within a condominium complex. The definition is dependent upon the number of service pipes and at the discretion of the RWA.

"Pressure Reducing Valve (PRV)" - A valve for reducing water pressure to a preset value.

"Private Fire Protection" - private water mains, fire pipes and other appurtenances installed on private property for the purpose of fire protection at particular premises.

"Proportionate Share" - a charge made for the purpose of reimbursing the RWA or main extension applicant for the installation cost of the water main fronting the property to be served.

"Public Fire Protection" - the public water mains, hydrants, and appurtenances installed for the purpose of fire protection in a public right-of-way.

"Remote Meter Reading Device" - a receptacle that is installed and can be read at a location some distance from where the meter is located, or a

metering system where meters or meter appurtenances send data to a central collection system.

“RWA” – South Central Connecticut Regional Water Authority

“Service Connection” - the portion of the service pipe from the main to and including the curb valve, at or adjacent to the street line or the customer’s property line and such other valves, fittings, etc. as the Authority may require at or between the main and the corporation stop, but not including the curb box.

“Service Pipe” - the portion of pipe that runs between the curb stop and the customer’s place of use and includes private fire lines.

“Siamese Connection” - an inlet equipped with one or more couplings to which a fire hose can be attached and through which water can be delivered by a fire department pumper to an automatic fire sprinkler system.

“Subsidiary Meter” – a water meter installed downstream of the RWA-owned meter that is read by the customer for individual billing purposes.

“Summer Season” - refers to the period from April 1 to November 1.

“Tap” - the process of drilling and threading a water main to accept a corporation stop.

“Termination” - the discontinuance of water service as defined by these regulations.

“USC” - University of Southern California [USC Foundation for Cross Connection Control](#)

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(B) SERVICE PIPE OWNERSHIP

RWA OWNERSHIP AND RESPONSIBILITIES

1. Service connections installed between October 18, 1966 and August 25, 1980 are the property of the RWA. See the definition of “service connection” in Section A, above.
2. The RWA will maintain all service connections from the connection at the main up to and including the curb valve for those connections located in accepted public streets or in properly executed and recorded easements.
3. The RWA will own and maintain all water mains and appurtenances located in accepted public streets or in properly executed and recorded easements.
4. Only authorized employees of the RWA will be allowed to tap

or make connections to its water mains.

5. The RWA will furnish and install all new service connections larger than 2 inches in diameter. The customer will be responsible for the cost of this work.
6. Except for repairs, no one may turn on water service into any building or part of a building or do any work in connection with any service pipe without consent from the RWA.
7. If the RWA determines a service connection should be replaced or repaired, the RWA will perform the repair or replacement at its expense. The expenses would include costs necessarily incurred in respect to the repair or replacement such as the cost of excavation, backfill, removal and replacement of paving for walks, curbs, roadways, etc.

OWNER RESPONSIBILITIES

1. Service connections installed prior to October 18, 1966 and after August 25, 1980 are the property of the customer but are maintained by the RWA. See the definition of "service connection" in Section A, above.
2. The customer will furnish and install all new service connections of 2 inches in diameter or smaller. The RWA will tap the main, furnish and install the corporation stop, install the meter, and inspect the installation. The customer contracting for service will be responsible for the cost of the permit, excavation, backfill, removal and replacement of pavement for walks, curbs, etc., necessarily incurred in installing new services.
3. The customer will furnish, install, own and maintain the necessary curb box and that section of the service pipe from the curb valve to the place of use and must keep them in good repair. The RWA has the right to access the curb box as necessary.
4. Replacement service connections will be considered as new service connections when the following conditions apply:
 - a. An existing service connection ceases to be adequate to meet the owner's water demands due to increased use by the owner;
 - b. A larger service connection or a relocation of the service connection is requested by the owner;
 - c. A service connection is initially installed to the curb line only, and subsequently cannot be located in order to make a connection to it.

The owner will be responsible for all of the charges applicable to a new service connection as shown on the RWA's current Rate Schedule.

5. The property owner will maintain, replace, and repair summer season service lines connected to summer season mains.
6. RWA will review and determine whether a service should be year-round or seasonal.
7. Customers who wish to convert from seasonal to year-round service should submit the request to RWA (see 6 above) and if approved, must lower service to a minimum depth of 4-1/2 feet below ground level, with the installation of a meter vault.
8. When a premise is permanently abandoned, the property owners must immediately notify the RWA so that the service connection can be removed. The RWA will assume the cost for removing the service connection. Restoration of abandoned service will be considered a new service installation.
9. The customer will maintain all pressure reducing valves.
10. All water services located in a private right-of-way, whether serving one or more properties, are considered private services or service connections and are the responsibility of the owner of the right-of-way.
11. The customer must comply with any mandatory water restrictions issued by the RWA. Mandatory restrictions will be imposed in accordance with RWA's Drought Response Plan contained in its Water Supply Plan. Customers not complying with mandatory water use restrictions are subject to 1) RWA's Mandatory Water Restriction Charge and 2) termination of water service in accordance with regulations.

(C) DOMESTIC SERVICE

1. All domestic services will monitor consumption with an RWA meter. Separate service pipes are required for domestic and fire use. Combined service pipes are not allowed for any new application for service.
2. Each service will have a curb box and a full way curb valve without drain.
3. One service pipe will service each premise. Any other arrangement must be approved in advance by the RWA. Where more than one building is involved, there must be independent curb valves for each building.

4. The location of the service pipe and connection must be approved by the RWA before the excavation is started.
5. If a premise is subdivided so that more than one premise results, additional service pipes will be required for the additional premise(s) as provided in the RWA's Rules and Regulations. These additional service pipe(s) will be installed by the owner at the time the additional premises are created or within thirty (30) days of being notified by the RWA that additional service(s) is required.
6. All new domestic services shall have a meter located in a meter pit, installed in accordance with RWA requirements.

(D) PRIVATE FIRE SERVICE

1. Except for extinguishing fires or testing fire equipment, no branch connection will be made on, or water taken from, a private fire service or a public or private fire hydrant without written permission from the RWA. Water taken from hydrants under a hydrant permit will be paid for in accordance with established rates and must comply with RWA Cross Connection Control Policies as provided in Section G of these rules.
2. Fire services and automatic fire sprinkler systems (including residential) will be designed and installed in accordance with the provisions of the National Fire Protection Association (NFPA) Code and other applicable codes, including proper backflow protection, and will require separate fire and domestic service lines.
3. Domestic water use may include residential life safety automatic sprinkler systems to one- and two-family dwelling units in accordance with the provisions of the National Fire Protection Association (NFPA) Code Section 13D, "Sprinkler Systems for One- and Two-Family Dwellings and Mobile Homes." The entire service will be metered. The meter will be sized for the minimum allowable flow demand per NFPA 13D. The water service will not be a fire service.
4. All sprinkler systems or private fire services will be metered or equipped with a detector check valve with metered bypass. An alarm system may be substituted when approved by the RWA. The type of meter or alarm will be determined by the RWA.
5. Detector check valves with metered bypass and meter vault are required on private fire hydrants or fire services when the service pipe or lateral is over 200 feet in length.
6. The customer is responsible for the ownership, inspection, maintenance and repair of private fire hydrants. Hydrants shall be

maintained per the requirements of AWWA M17: "Installation, Maintenance, and Field Testing of Fire Hydrants." Customers may contract with the RWA to perform maintenance services; such service fees will be negotiated between the parties on a case-by-case basis. The RWA shall have the right to inspect and operate private fire hydrants. If the hydrant is found to be inoperable or leaking, the Fire Marshal's office will be notified and the owner will have 30 days to make repairs. The RWA shall be notified when repairs are completed.

7. Water used through fire service meters will be charged at meter rates, but there will be no charge for water used in case of fire.
8. A reduced pressure principle backflow preventer (BFP) must be installed on all new service lines to fire sprinkler systems with a Siamese connection.
9. Effective January 1, 1999, a reduced pressure principle BFP will be installed on all lines to existing fire sprinkler systems equipped with a Siamese connection. Prior to this date, a double check valve assembly BFP may be allowed if approved by the RWA.
10. The customer will own and maintain reduced pressure principle BFPs and double check valve assembly BFPs. The customer and RWA may enter into an agreement for the RWA to conduct the annual testing as a service for a fee.

(E) PUBLIC FIRE SERVICE

Except as otherwise provided by the terms of any acquisition of another water system.

1. Public fire service, when provided by the RWA, will be billed at the established inch-foot rate. Only mains 6 inches and larger, in service as of the last day of the year, will be used in computing the inch-foot charge for the next year.
2. The inch-foot calculation shall be performed as follows: the number of inch-feet will be calculated by multiplying the length of each pipe in feet by its diameter in inches. All inch-feet for 6-inch through 12-inch mains will be charged directly to the town in which the main is located. Inch-feet for mains larger than 12- inches will be apportioned to the town in proportion to the inch-foot allocation for 12-inch mains.
3. The requirements of the municipal fire departments will determine the need for and location of public fire hydrants.
4. The RWA will own and maintain the fire hydrant laterals, but the applicant will be responsible for the cost.

5. Public fire hydrants may be owned by the municipality or by the RWA. When the hydrant is owned by the RWA, the installation cost will be the expense of the applicant. When it is owned by the municipality, the installation cost will be the responsibility of the municipality or the applicant as directed by the municipality.
6. When hydrants are owned by the RWA, an annual charge at the established rate will be made to the municipality to cover the capital and maintenance costs of the hydrants. Maintenance costs will include repairs, replacement, preventive maintenance and painting. The RWA is not responsible for snow removal and grass cutting around public hydrants. Municipally-owned hydrants shall be inspected and maintained by the municipality in accordance with the requirements of AWWA M17. The RWA shall have the right to inspect municipally-owned hydrants, and maintain, repair or replace municipally-owned hydrants if found to be leaking or operationally defective without prior notice to the customer. Municipally-owned hydrants can be replaced by the RWA at the expense of the municipality. Repairs to municipally-owned hydrants, if made by the RWA, will be charged at cost plus applicable overheads. The RWA retains the right to utilize public fire hydrants for distribution system operations regardless of ownership. The RWA will advise the municipality of these uses. Municipalities who own their own hydrants may contract with the RWA for maintenance services.
7. The charge for the installation of fire hydrants on the same side of the street as the main (short side) when connected to existing mains will be the average unit cost of installing hydrants and laterals on existing mains during the previous year. The charge for the installation of fire hydrants (including laterals) on the opposite side of the street from the main (long side) when connected to existing mains will be the actual cost of the installation. Refer to the definition of hydrant lateral in Section A above.
8. The RWA will add to the cost of new main extensions a charge to cover the cost of fire hydrant installations (including laterals). This charge will be included in the cost on a per-foot-of-main-installed basis. The per-foot charge applied will be based upon the prior year cost of fire hydrant installation (including laterals) on new main extensions. Refer to the definition of hydrant lateral in Section A above.
9. Use of public fire hydrants is for the purpose of fire suppression and the RWA's operations. Any other use will be by mutual agreement between the municipality and the RWA.

10. Use of water from a fire hydrant for purposes other than extinguishing fires will only occur pursuant to obtaining a hydrant permit at the discretion of the RWA and must comply with the RWA's Cross Connection Control Policies.
11. Fire flow tests will only be performed by the RWA on public hydrants in order to estimate available flow across the RWA's distribution system. These tests indicate the flow conditions only at the specific time and location of the test. Flow test data, therefore, should not be applied to other sites or locations.

If requested, the RWA will conduct a fire flow test at the requesting person's expense.
12. Tampering with a hydrant without prior authorization by the RWA is considered theft of service and will be subject to the tampering of hydrant fee for first and subsequent offenses. See the RWA Miscellaneous Rates section of the Rate Schedule for further information.

(F) PRESSURE AND CONTINUITY OF SUPPLY

1. Although the RWA is committed to providing reliable water service, we cannot guarantee a specific or uniform pressure or an uninterrupted supply of water. Customers, therefore, are cautioned to provide sufficient storage of water where an absolutely uninterrupted supply must be ensured, such as for steam boilers, domestic hot water systems, gas engines, etc.
2. It is the intention of the RWA to give notice in advance of work that will necessitate any interruption of the supply or change in pressure. The RWA, however, is not liable for any damage to person or property sustained as a result of this interruption in service or change in pressure unless due to the RWA's negligence. Property owners, therefore, should regulate their installations connected with the water supply system so that damage will not occur if water is shut off or pressure changes without notice.
3. In case of accident, or for the purpose of making connections, alterations, re-pairs, changes or for other reasons, the RWA may at any time shut off the water in the mains.
4. The RWA may also, whenever the public welfare may require it, restrict the use of water in order to reserve a sufficient supply for public fire service or for other emergencies.
5. Under normal operating conditions, the RWA will endeavor to maintain pressures within its distribution system between 25 and

125 p.s.i. For premises where pressures will be below 35 p.s.i. at the point where the service enters the building, execution of a low pressure agreement will be required. In these cases and in cases where the customer requires higher pressures than available, a booster pump system should be installed on the water service on the customer side of the meter at the customer's expense. Future maintenance of such booster pump system will be the customer's responsibility.

For new premises where pressures may exceed 115 p.s.i. at the point where the service pipe enters the building, execution of a high pressure agreement will be required. In these cases, a pressure reducing valve (PRV) should be installed by the customer. Future maintenance of the PRV will be the customer's responsibility.

6. When the RWA finds it necessary to increase pressures in the distribution system and the increased pressures result in a customer's pressure exceeding 80 p.s.i. at the point where the service line enters the building, the RWA will offer to provide a PRV to the customer at no cost. Installation, future maintenance, and replacement of the PRV will be the customer's responsibility. In those cases where the increased pressure will result in the customer's pressure exceeding 115 p.s.i. at the point where the service line enters the building, the RWA will furnish and install a PRV at no cost to the customer. Future maintenance and replacement of the PRV will be the customer's responsibility.

(G) CROSS CONNECTION CONTROL

1. All state regulations governing Cross Connection Control currently located within Section 19 of the Public Health Code (PHC) of the State of Connecticut as issued by the Connecticut Department of Public Health (CTDPH) must be adhered to strictly.
2. In addition to requirements of the PHC, the RWA may require a backflow preventer (BFP) on a water service line when additional protection of its distribution system is required. The following list provides examples, not limited to those listed, of the types of premises where additional protection may be required:
 - Sites with auxiliary water sources
 - Sites maintaining active private wells
 - Sites where toxic/objectionable materials may be used in conjunction with RWA-supplied water
 - Sites with existing fire sprinkler systems tapped off domestic lines

- Sites maintaining fire services
 - Sites maintaining irrigation systems
 - Sites with connection to shipboard potable water systems
 - Water service connection to offshore customers
 - Sites where cross connection inspections are not possible due to intricate restrictions or where a repeated history of establishment or re-establishment of cross connections has been documented
 - Temporary water services
 - Water haulers
 - Mobile/portable washing units
3. Upon request, the RWA will provide information regarding the specific requirements for the type of BFP and for the specific circumstances pertaining to various facilities including those mentioned above. Installation of BFPs is the responsibility of the customer.
 4. All BFPs must be approved by AWWA, ANSI, ASSE or USC and installed in strict accordance with CTDPH and manufacturers' specifications. Improperly installed BFPs are unacceptable and will be recorded as violations. Reduced pressure zone type backflow preventers are prohibited from underground/vault installations without positive gravity drainage.
 5. All BFPs must be installed at the point of entry where the service enters the building, not more than 5 feet from the finished floor, in well-lit areas and be readily accessible for inspection and testing. Particular attention should be paid to confined spaces, hazardous environments, flooding potential, freezing temperatures or other mechanical damage due to vandalism or other causes. Where such situations are present, every effort should be made to relocate BFPs away from these hazardous environments. BFPs should be set horizontally, and may only be set vertically upon review and approval by RWA.
 6. All projects involving the use of BFPs must be submitted to the RWA for approval prior to installation. The submission must include mechanical and plumbing drawings and text describing the proposed BFP. A letter will be sent to the tap applicant stating the RWA's requirements for Backflow Prevention for that specific project. The tap applicant must contact the RWA's Cross Connection Department after installation of the backflow device is complete in order to have the backflow device installation tested and inspected.
 7. ~~The RWA is not responsible for any damages due to a backflow preventer function, malfunction, leakage or failure. The RWA is not~~

7. responsible for damage due to thermal expansion, Containment backflow prevention devices shall be implemented on the domestic water service and the internal domestic or process water supply. These devices are necessary to protect the entire public water supply system from contamination by non-potable sources that could reverse flow due to pressure changes.

a) At the RWA's discretion, backflow prevention devices used to separate a premise from the RWA's water distribution system, referred to as containment backflow prevention device, will be installed on the domestic water service to a premise. The appropriate type of containment device will be determined by the RWA. Only the RWA meter and an appropriate control valve can be located upstream of the containment valve; all other plumbing components must be located downstream of the containment valve. This valve will be installed within the foundation of a building wherever possible and be available for testing by the RWA. If necessary, for the continued availability of water, a bypass around the containment valve is permitted; however, it too must include a backflow prevention device of the same type as the primary backflow prevention device.

b) At the RWA's discretion, backflow prevention devices used for the purpose of separating an internal area within a business, due to reasons including security or presence of a hazard, will be installed on the water supply line to that area. The type of backflow prevention device will be determined by the RWA depending on the degree of hazard present. There shall be no domestic water use downstream of this device (eyewash/safety shower, bathrooms, sinks, water fountains, etc.). If necessary, for the continued availability of water, a bypass around the primary valve is permitted, however, it too must include a backflow prevention device of the same type as the primary backflow prevention device. These devices should be installed in such a way that they are accessible for inspection and testing without the aid of a ladder.

8. All testable BFPs must be tested annually by a CTDPH-certified and RWA-recognized backflow prevention device tester. All results must be submitted in writing to the RWA immediately following completion of the test. The RWA will exclude individuals who fail to provide this information from our list of recognized testers and will

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notify the CTDPH. RWA testing services are available at the rate approved in the most recent rate schedule.

9. The RWA may at any time, with cause, and at its own expense, check and test any BFPs in the water system. If annual tests have not been performed per paragraph 8 above, the RWA will charge the BFP owner for the test at the rate found in the RWA's rate schedule. CTDPH-mandated plumbing inspections and routine BFP testing will be conducted during normal RWA business hours. Special arrangements can be made in advance as needed.
10. If a continuous water supply is necessary within a premise, the property owner must make alternate arrangements to provide for the continuation of flow during times of BFP testing, repair or replacement. This arrangement may include a parallel installation or the installation of a bypass around the BFP. All such bypasses are required to also include a BFP of equal or superior type to ensure equal or superior protection.

(H) BILLING

~~1. All metered water, whether used or wasted, will be billed in accordance with RWA's regularly established Rate Schedule except under the conditions for billing adjustments as described in the RWA's policy regarding a one-time waste adjustment. All metered water, whether used or wasted, will be billed in accordance with RWA's established Rate Schedule, except under conditions for billing adjustments as described in the RWA's One-Time Waste Adjustment Policy.~~

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2. All bills for service are payable upon receipt.
3. Regular annual metered service will be billed monthly, at the discretion of the RWA.
4. ~~Seasonal metered service will be billed a minimum of twice per year; a seasonal service charge will be billed upon the installation of the meter for the summer season and a water usage charge will be billed when the meter is removed at the end of the summer season. RWA may bill at lesser intervals for water usage if it chooses to do so. Seasonal metered service will be billed a minimum of twice per year. A seasonal service charge will be billed upon meter installation for the summer season. A water usage charge will be billed when the meter is removed at the end of the summer season. Additionally, it is the customer's responsibility to call for removal. Failure to do so may lead to additional charges if meter becomes inoperable.~~

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The RWA may bill at shorter intervals for water usage at its discretion.

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5. Fire service will be billed in accordance with RWA's regularly established Rate Schedule.
Private fire service connections will be billed monthly in arrears.

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Public fire service will be billed semi-annually for the preceding six-month period.

6. Miscellaneous non-water charges, as approved in the RWA's rate schedule, may be included on all metered bills, private fire service bills and jobbing bills.
7. Jobbing bills are rendered upon completion of projects. Anyone requesting the RWA to perform jobbing work may be required to make a deposit in advance for the estimated cost of the work.

~~8. Those amounts outstanding 30 days after the billing date will be charged interest beginning on the billing date until paid. Interest will be charged at the rate of one and one half percent (1.5%) each month as set by the Representative Policy Board. Any invoices not paid when due will constitute an automatic lien on the premises served and a charge against the owner. The lien will take precedence over all other liens or encumbrances except taxes and may be foreclosed upon in the same manner as a lien for taxes.~~

~~Effective April 1, 2025, amounts which are due and unpaid after 28 days from the billing date will be charged interest at the rate of one and one half percent (1.5%) each month as set by the Representative Policy Board. Any invoices not paid when due will constitute an automatic lien on the premises served and a charge against the owner. The lien will take precedence over all other liens or encumbrances except taxes and may be foreclosed upon in the same manner as a lien for taxes.~~

8. Effective April 1, 2025, amounts unpaid after 28 days from the billing date will incur interest at 1.5% per month, as set by the Representative Policy Board.

Unpaid invoices constitute an automatic lien on the premises served, taking precedence over all other liens or encumbrances except taxes, and may be foreclosed in the same manner as a lien for taxes.

Customers are responsible for all costs incurred by the RWA in collection activities.

9. ~~The customer will be responsible for all costs incurred by the RWA in connection with any collection activities on a delinquent account.~~

~~10.9. In cases where a meter fails to register, the meter will be changed or repaired. The customer will be charged the average daily consumption as recorded for a similar period. If a meter fails to register, it will be repaired or replaced. Customers will be charged based on average~~

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daily consumption for a similar period.

11. ~~In cases where new or existing premises are occupied without a meter or are found to be receiving unmetered service, the customer will be charged a fee for unmetered use for the period prior to the meter installation in accordance with Connecticut General Statute 16~~
10. ~~259a. The fee will be estimated based on the actual registration of the meter. An Unmetered Active Service Fee may be applied to the account. New or existing premises receiving unmetered service prior to meter installation will be charged a fee for unmetered use under Connecticut General Statute §16-259a. Charges will be estimated based on actual meter registration. An Unmetered Active Service Fee may also apply.~~
- 12.11. ~~Individual m~~Meter billings may not be combined into one cumulative billing.
13. ~~Unless otherwise notified, the RWA will directly bill the owner of the premises for its rates and charges. In cases where a tenant is billed~~

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12. directly, failure to pay rates and charges when due will constitute an automatic lien upon the premises served and a charge against the owner of the property. Amounts owing from tenants after (90) days shall be billed to the owner and are thereafter immediately due and payable. In the event the RWA subsequently receives payment from the tenant for such amounts, such payments made by the owner will be refunded to the owner of the premises. Unless otherwise notified, the RWA will bill the owner of the premise for its rates and services. If tenants are billed directly and fail to pay, any unpaid amount after 90 days, due to shut-off, or upon termination of service due to the tenant vacating the premises, shall be billed to the property owner and become immediately due. In the event the RWA subsequently receives payment from the tenant on past balance, any payments previously made by the owner for those charges shall be refunded or credited to the owner. Failure by the owner to make payment shall constitute an automatic lien on the premises.

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14. With each billing invoice the RWA will notify customers of the availability of information describing their rights as customers.

15. When two or more tenants, each paying his/her own bill, are supplied from the same service pipe, and any one of the parties fails to pay water bills when due or to comply with the Rules of the RWA, the owner of the property will be required to provide access to the RWA's equipment or to provide for separate services for each tenant. When multiple tenants share a single service, and any fail to pay or comply with RWA rules, the property owner must provide access to RWA equipment or establish separate services for each tenant.

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16. The RWA may, in its sole discretion, require a customer to make a cash deposit. Simple interest, at the rate designated in the Connecticut General Statutes, Section 16-262j, will be applied with the deposit to any amount due from the customer. Deposits that are no longer required will cease to draw interest on the date service is terminated, the date notice is sent to the customer's last known address, or upon return of the deposit.

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(I) **ADJUSTMENT OF BILLS**

Bills which that are incorrect due to meter or billing errors will be adjusted as follows:

1. Whenever a meter in service is tested and found to have over-registered more than two percent, the RWA will adjust the customer's bill for the excess amount paid as determined below. Whenever a

meter in service is tested and found to have over-registered by more than two percent (2%), the RWA will adjust the customer's bill for the excess amount paid, as determined below:

- a. If the time period during which the meter over-registration occurred can be definitely determined, the amount of overcharge will be based on that period. If the period during which the meter over-registration occurred can be definitely determined, the adjustment will be based on that period.
 - b. If the time period during which the meter over-registration occurred cannot be definitely determined, it will be assumed that the over-registration existed for a period equal to one-half of the period since the meter was last tested. If more than one customer received service through the fast meter during the period for which the refund is due, a refund will be paid to the present customer only for the period during which he/she received service through the meter. If the period cannot be definitely determined, it will be assumed that the over-registration existed for one-half of the time since the meter was last tested. If more than one customer received service through the fast meter during the applicable period, a refund will be issued to the current customer only for the period during which they received service through that meter.
2. Whenever a meter in service is found not to register, the RWA will estimate the charge for the water based on historical consumption

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for the same period during the previous year.

~~Billing adjustments due to a meter register jump or abrupt increase in registration as a result of trauma to the meter will be calculated on the basis that the meter should have been registering at one hundred percent accuracy. The billing adjustment amount will be based on the difference between the old meter registration error and the accurate registration on the new meter installed. Whenever a meter in service is found not to register, the RWA will estimate water charges based on historical consumption for the same period during the previous year. Billing adjustments resulting from a meter register jump or abrupt increase in registration caused by trauma to the meter will be calculated on the basis that the meter should have been registering at one hundred percent (100%) accuracy. The adjustment amount will be based on the difference between the prior meter's registration error and the accurate registration of the replacement meter.~~

3. When a customer has been overcharged as a result of incorrect meter reading, incorrect bill calculation, incorrect meter connection or other similar reasons, the amount of the overcharge will be adjusted, refunded or credited to the customer.
4. ~~When a customer has been undercharged as a result of incorrect meter reading, incorrect bill calculation, incorrect meter connection or other similar reasons, the amount of the undercharge may be billed to the customer in accordance with Connecticut General Statute 16-259a. CGS 16-259a allows for total consumption billing if the customer, by affirmative act, is responsible for the inaccurate billing or fails to provide for reasonable access to the premises during business hours. When a customer has been undercharged due to incorrect meter reading, incorrect bill calculation, incorrect meter connection, or other similar reasons, the amount of the undercharge may be billed to the customer in accordance with Connecticut General Statute §16-259a. Pursuant to CGS §16-259a, total consumption billing is permitted when the customer, by affirmative act, causes inaccurate billing or fails to provide reasonable access to the premises during normal business hours.~~

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TERMINATION OF SERVICE

1. The RWA may refuse or discontinue service without notice in the event of:
 - a. A hazardous condition;
 - b. Illegal or unauthorized provision of service;

c. ~~_____~~ A cross connection which represents a public health threat.

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~~6.2.~~ The customer is responsible for complying with these Rules and Regulations, maintaining all customer-owned service lines, plumbing, and equipment, providing reasonable access to RWA equipment, and taking timely corrective action when notified by the RWA of conditions requiring repair or compliance.

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~~2.3.~~ Whenever there is a local and/or state-wide drought declared, the RWA will require that all leaks found on a customer's service line be repaired within 72 hours to avoid disconnection of water service.

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~~3.4.~~ The RWA may refuse or discontinue service with seven days written notice in the event of: The RWA may refuse or discontinue service with seven (7) days written notice for any of the following reasons:

- a. Fraud or material misrepresentation in obtaining service;
- b. ~~Customer tampering with pipes, meters, or other utility equipment;~~ Customer tampering with pipes, meters, or other RWA equipment;
- c. ~~Failure by the customer to make payment under an agreement to amortize an unpaid account balance over a reasonable period of time and/or to simultaneously keep current his/her accounts charges continue to accrue;~~ Failure to make payment under an agreement to amortize an unpaid account balance and/or failure to keep the account current as charges continue to accrue;

- d. ~~Customer use of equipment in such a manner as to adversely affect the RWA's equipment and/or service to others after the customer has been given an opportunity to correct the situation; Use of equipment by the customer in a manner that adversely affects RWA equipment or service to others after the customer has been given an opportunity to correct the condition;~~
- e. ~~Non-compliance with these Rules and Regulations, except in instances outlined in Section J-1 above; Non-compliance with these Rules and Regulations, except as provided in Subsection J-1 above;~~
- f. ~~Where furnishing service would be in contravention of any orders, ordinances, laws of the municipal, state or federal governments; Furnishing service in violation of any municipal, state, or federal law, ordinance, or order;~~
- g. ~~Failure by the customer to furnish service, equipment, permits, certificates or rights-of-way which have been specified by the RWA as a condition of obtaining service or withdrawal of such equipment and permissions; Failure to furnish service, equipment, permits, certificates, or rights-of-way specified by the RWA as a condition of obtaining service, or withdrawal of such permissions;~~
- h. ~~Failure of a non-residential customer to fulfill any other obligation under his/her contract with the RWA; or Failure of a non-residential customer to fulfill any obligation under their contract with the RWA.~~
- i. ~~Failure by the customer to take corrective action to make repair to a leak on the service line portion that is deemed customer responsibility according to established RWA Rules and Regulations. Failure to take corrective action to repair a leak on the service line that is the responsibility of the customer under these Rules and Regulations;~~
- j. ~~Failure to comply with a mandatory water restriction. Failure to comply* with mandatory water restrictions.~~

~~4-5. The RWA may refuse or discontinue service with fifteen (15) days written notice in the event of: The RWA may refuse or discontinue service with fifteen (15) days written notice for the following reasons:~~

- a. ~~Non-payment of a delinquent bill, after reasonable effort for collection, and provided that the termination would not be a violation of any applicable provision of the Connecticut General Statutes. Non-payment of a delinquent bill after reasonable collection efforts, provided termination does not violate any applicable provision of the Connecticut General Statutes.~~

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~~The RWA will first send a termination notice to the customer following a monthly invoice for delinquent balances. Delinquent is defined as unpaid utility service for a period of more than 33 days from the billed date. The notice will contain, in both English and Spanish, a summary of the customer's rights and will state the date after which termination may occur.~~

- ~~• The RWA has the right to place a lien on the property records for non-payment of charges. A termination notice will be sent following a monthly invoice for delinquent balances.~~
- ~~• A delinquent account is defined as unpaid utility service for more than thirty-three (33) days from the billing date.~~

- b. ~~Failure of the customer to allow the RWA reasonable access to its equipment. Failure of the customer to provide reasonable access to RWA equipment;~~
- c. ~~Failure of the customer to maintain the necessary plumbing provisions in accordance with these Rules and Regulations. Failure of the customer to maintain required plumbing provisions in accordance with these Rules and Regulations.~~

~~5.6.~~ Each notice regarding termination of service will contain the grounds for termination and an explanation of the customer's rights with respect to termination of service.

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6. The RWA will not terminate service under the following conditions:
- a. During the time that a resident of the home is seriously ill, provided that the customer provides the RWA with a registered physician's certificate within fifteen (15) days of the mailing of the termination notice. The certificate must be renewed every fifteen (15) days or by the last date of the period specified in the certificate by the physician.

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In such cases, the customer must make reasonable arrangements to amortize the unpaid balance of the account and to simultaneously keep the account current in regard to subsequent billing in accordance with Connecticut General Statutes.

- b. During a billing dispute provided that the customer notifies the RWA within fifteen (15) days after the mailing of a termination notice. The customer has the right to request a copy of the Important Notice for Residential Customers—Customer Rights.
- c. The customer may make a payment of 20% of the balance due and enter into a reasonable amortization agreement to avoid termination of service. If they default on that agreement they will receive a new termination notice. Customers can only have one 20% minimum payment to avoid termination in a twelve-month period.
- d. Whenever an owner, agent, lessor or manager of a residential dwelling is billed directly for service furnished to a building of which he/she is not an exclusive occupant unless provisions have been made for occupant to receive service in his/her own name without any liability for the amount due while the owner, agent, etc. was billed directly. If this solution is not feasible, the RWA will not terminate service to the dwelling but may pursue remedies provided by the Connecticut General Statutes.
- For delinquency on any Friday, Saturday, Sunday, legal holiday or day before any legal holiday or at any time the RWA's business office is not open or within one hour of the closing of its office.

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e.7. Conditions Under Which Service Will Not Be Terminated

The RWA will not terminate service under the following circumstances:

- a. During periods when a resident of the household is seriously ill, provided the customer submits a registered physician's certificate within fifteen (15) days of the mailing of the termination notice. The certificate must be renewed every fifteen (15) days or by the expiration date specified by the physician. In such cases, the customer must make reasonable arrangements

to amortize any unpaid balance and keep the account current in accordance with the Connecticut General Statutes.
b. During a billing dispute, provided the customer notifies the RWA within fifteen (15) days of the mailing of a termination notice. Customers may request a copy of the *Important Notice for Residential Customers – Customer Rights*.
c. When the customer makes a payment of at least twenty percent (20%) of the outstanding balance and enters into a reasonable amortization agreement. Customers may utilize this option once in any twelve (12) month period. Default on such agreement will result in issuance of a new termination notice.
d. When an owner, agent, lessor, or manager of a residential dwelling is billed directly for service furnished to a building in which they are not the exclusive occupant, unless provisions have been made for occupants to receive service in their own name without liability for charges incurred while the owner was billed. If such provisions are not feasible, the RWA will not terminate service but may pursue remedies permitted under the Connecticut General Statutes.
e. For delinquency on any Friday, Saturday, Sunday, legal holiday, the day before a legal holiday, or at any time when the RWA's business office is closed or within one (1) hour of office closing.

(K) METERS AND METER TESTING

1. The RWA will determine type, size, location of the meter, meter and reading device to be installed.
2. The RWA will not install subsidiary meters. Property owners will install, own, maintain and read subsidiary meters, if desired. Subsidiary meters

will be installed downstream of the RWA's meter(s) and bills will be computed based on the RWA's meter(s) only. Property owners considering subsidiary meter installation shall obtain approval in advance from the RWA.

3. The RWA will own, install, maintain and remove meters and associated remote reading devices. The customer will pay for a replacement meter or meter reading device required due to freezing, hot water, faulty connections, tamper, theft or customer's negligence. However, if after a RWA inspection of the meter vault/ pit it finds the meter and vault/pit to be installed and maintained to RWA specifications, then the RWA may waive the frozen meter charge.
 4. The customer will provide an accessible and protected location for the meter. The location will be subject to the approval of the RWA according to the following criteria:
 - a. For all services, meters must be set in external vaults. When the main is located within an easement which is also the primary travel way through a development, the edge of easement will be considered the same as a street line when determining the need for a meter vault.
 - b. Exterior settings will be in vaults built and installed to RWA specifications at the customer's expense. Meter vaults and pits will be owned and maintained by the property owner and will be installed on the customer's property as close to the street line as possible. Meter vaults in disrepair or dangerous conditions must be repaired to the Authority's standards; vaults that prevent access due to its condition may be subject to a penalty for non-access.
6. Unless otherwise approved by the RWA, all meters will be in meter pits located by the front property line. RWA may allow these meters to be located inside a building, only if no suitable location is available outside the building. If allowed by the RWA, a meter may be located inside a building when it is equipped with an external remote reading device. If RWA allows a meter to be inside the building being served, then the meter shall be located within a basement with normal walking height and width. Normal walking height is a minimum unobstructed vertical height of 6-1/2 feet as measured from floor to ceiling. Normal width is a minimum unobstructed horizontal width of 2-1/2 feet as measured perpendicular to the line of the pathway and over the full walking height. Also, an inside setting must provide adequate accessibility, protection against freezing or other damage to the meter, where no goods subject to water damage are stored and where walls and floors are not susceptible to water damage. Such inside settings will be located at a point where the service pipe enters the building.

e-c. Under no circumstances should meters be installed in crawl spaces, garages, finished basements, closets, entryways, finished levels, or hazardous or dangerous environments.

e-d. If the RWA finds that alterations, renovations, obstructions or other conditions have made the meter installation non-compliant as defined in Section K, the customer will be required to relocate the meter to a meter vault at the customer's expense as directed by RWA.

5. The customer is responsible for maintaining piping on either side of the meter, which includes service line from the curb valve to the point of entry to the building to ensure that it is in good condition and that it is valved on both sides of the meter so that the meter may be removed or replaced conveniently and without draining the interior piping. The customer is responsible for any repairs or changes to piping necessary to comply with these requirements.
6. When meters 1-1/2 inches and larger are installed, a bypass around the meter with valve, locking device and seal will be installed so the meter can be changed, tested, or repaired without shutting off the water supply to the premise. In all cases where water cannot be shut off temporarily, these requirements will prevail and will be at the customer's expense. All meters sized 3 inches or larger which are installed in a basement or sub-basement shall have a dry pipe installed from the 2 inch test tee and piped to the outside of the building. The piping shall be either 2 inch copper tubing or 2 inch plastic schedule 80. Where the tubing exits the building, a 2 inch female or male thread shall be installed with a cap or plug. A drain valve shall be installed on the dry pipe above the test tee so water can be drained after use to prevent freezing. The pipe should exit the building at street level in an area that is accessible to RWA personnel so a fire hose can be connected to it in order to test the meter in accordance with industry standards.

7. If a customer has reason to believe that a meter is not registering accurately, the RWA will, upon written request, test the meter. The RWA will test the meter at no charge if the meter has not been tested in the previous year. The customer or authorized representative may be present during the test based on pandemic protocols.
8. Swimming pools or other facilities which might require considerable quantities of water may be required to be separately metered and to have separate services. Customers are not permitted to fill pools with water directly from hydrants. The RWA may pursue appropriate enforcement action and may assess a usage fee based on estimated metered consumption.
9. Meters are set and sealed by RWA employees. No one other than authorized employees of the RWA may break a seal or disconnect, open, adjust or otherwise interfere with a meter.
10. Plumbing must be arranged at the customer's expense so that each meter will be independent of any other meter.
11. Meter yokes 5/8 inch through 1 inch must be installed on all new services and on all replacement services.
12. Copper setters 5/8 inch through 1 inch must be installed on all new and replacement services where a meter vault is required.
13. Custom setters 1-1/2 inches and 2 inches must be installed on all new and replacement services installed inside the building or in a vault.
A meter will not be placed in service or remain in service if:
 - a. at the minimum test flow rate the meter registers less than 95% or more than 101.5% of the water passed through it; or
 - b. at the intermediate and maximum test flow rates, it over-registers or under-registers more than 1-1/2%.
14. The RWA will adopt a periodic test schedule for its meters in accordance with applicable meter testing requirements.
15. All meters removed for periodic complaint tests, or for any other reason, will be tested in the condition in which they are found in order to determine the average meter error. Tests will be made at the intermediate and maximum rates of flow and the average meter error will be one-half the algebraic sum of the errors of the two tests.
16. The RWA will maintain meter testing equipment which will be checked annually by the State of Connecticut, Department of Consumer Protection, Division of Weights and Measures.

17. Test meters used for comparative testing in the field will be tested at least once every thirty (30) days when being used.
18. Meter testing will be in accordance with test procedures contained in AWWA Meter Specifications or Manual M6 - Water Meters.
19. The decision of what type, style, and location for a remote reading device is solely within the discretion of the RWA. Meter readings supplied by the customer will not be accepted for billing purposes. Any customer who refuses the installation of an electronic remote reading device shall be required to pay a manual meter reading charge for each billing period.

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APPLICATIONS FOR SERVICE

1. The owner of the premises to be supplied or his/her authorized agent should apply for service at the RWA's office. The RWA may require appropriate identification such as a Social Security number, a driver's license, or a state issued identification card. The applicant, directly or through his/her agent, agrees to take water from the RWA subject to the Rules and Regulations of the RWA. All applicable charges must be paid at the time of application as detailed in the RWA's Rates, Fees and Charges for Water Service. The RWA will not accept an application for service from a customer having a delinquent water account until the account has been paid in full. The owner of the premises to be supplied, or their authorized agent, must apply for water service at the RWA's office. The RWA may require a government issued ID such as a drivers license or state-issued identification card. By submitting an application, the applicant, directly or through an authorized agent, agrees to take water from the RWA subject to the RWA's Rules and Regulations. All applicable charges, as detailed in the RWA's Rates, Fees, and Charges for Water Service, must be paid at the time of application. The RWA will not accept an application for service from any customer with a delinquent water account until such account has been paid in full. The customer is responsible for notifying the RWA to request discontinuance of service or to report a change in ownership. The customer shall remain responsible for all charges until the RWA receives such notice and service is discontinued in accordance with these Rules and Regulations.

a. To ensure adequate pressure and volume for all users and comply with health and safety standards the number of manifolded services will be limited to four (4) services on a single supply line. Any other arrangement must be approved in advance by the RWA.

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2. Applications for taps must be made at least five (5) days, excluding weekends and holidays, prior to the time of installation. Arrangements for the installation of the tap must be made at least two (2) days in advance of the desired schedule date. Arrangements should be completed and confirmed before any excavation work is started. Scheduling of work will be at the discretion of the RWA.
3. Applications for service connections 4 inches and larger should be made sufficiently in advance of the required installation date so that the RWA can prepare an estimated cost of installation and contracts. Applicant shall submit estimated water demands for proposed developments when applying for new service. Upon receipt of the signed contract with the required estimated payment, the RWA will schedule the work.
4. A facilities charge will be paid by each applicant for domestic or combined water service. The charge will be based on the number of meters and the size of the meters to be installed.
5. A service connection charge and meter installation charge will be paid for each new service connection.

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6. When, within one year of the date of a meter installation that was subject to a facility charge, a customer requests an increase in meter size or number of meters, the customer will pay an additional charge which will be the difference between the original charge and the charge for the new meters being installed.
7. Each applicant for each domestic or combination water service connected to a main that was installed at the RWA's expense will pay a proportionate share. A maximum of 200 feet will be used to determine the proportionate share for each service connected to the main.
8. A proportionate share will also be paid by applicants for each domestic water service connected to a main extension which was installed under the terms of an Advance Payment Extension Contract as defined in the Rules, Regulations and Rates Governing the Extension of Water Mains.
9. At the time of application the applicant must furnish the RWA with the information necessary to properly review the size of the service pipe and tap for conformance with the RWA standards. If services are improperly sized, they will not be accepted.
10. Where service is to be installed to the curb valve only, the applicant and his/her assigns will be responsible for permanently maintaining the location of the service lateral and the curb box. He/she will be responsible for installing the service pipe from curb box to place of use and insuring it is properly inspected and approved by the RWA.

Should the location of the service connection not be permanently maintained, and if after the reasonable assistance of the RWA, it cannot be located in such a way as to make connection to it, the applicant or his/her assigns will be responsible for its replacement. Replacement service connections in this circumstance will be considered new service connections and the applicant, or his/her assigns will be responsible for all of the charges applicable to a new service connection.
11. If the applicant's water service demands exceed the capacity of an existing main, the RWA may require, at the applicant's expense, the replacement/ upgrade of the main to maintain adequate service.
12. Applications for the extension of water mains should be made at the RWA office and are subject to the provisions of the RWA's Rules, Regulations and Rates Governing the Extension of Water Mains.
13. Applications for use of water for construction purposes must be made to the RWA before such use, and such uses will be charged at the RWA's scheduled rates. Permits or receipted bills will be issued

and must be shown on the job site upon request of authorized RWA employees. All water use must be metered.

14. Applicants for water service will pay for the extensions of water mains to limits defined in the Rules, Regulations and Rates Governing the Extension of Water Mains. If mains exist to those limits, the applicant will pay the proportionate share of the cost of the extension(s) according to such Rules.
15. ~~Customers or their authorized agent, who wish to convert from seasonal to year-round service, should apply to the RWA and will be responsible for all applicable charges.~~ Customers or their authorized agents seeking to convert from seasonal to year-round service must apply to the RWA and shall be responsible for all applicable charges associated with such conversion.

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(M) TECHNICAL STANDARDS AND PROCEDURES FOR THE INSTALLATION OF 1- INCH THROUGH 2-INCH WATER SERVICES

1. Except where service pipes are not intended for use during freezing weather and are actually drained during such periods, all service pipes will be laid a minimum of 4-1/2 feet below the finished grade in order to prevent freezing. If at any time the grade is changed so that any part of the service pipe is covered by less than 4-1/2 feet, the owner will be responsible for lowering the pipe before freezing weather occurs. Where the service pipe from the curb to the building is not laid to depth, a separate drain valve with curb box must be provided adjacent to and on the house side of the curb valve.
2. Service pipes are to be installed on undisturbed ground and left uncovered so that a representative of the RWA can make an inspection to see that the installation conforms to these Rules and Regulations. The customer will schedule the inspection prior to backfilling the service connection excavation. Backfill material should be compacted around and one foot over the pipe. When excavation is in rock, there should be no projecting rock within 6 inches of the outside of the pipe side, top and bottom. The bottom 6 inches of the trench should be refilled with sand and properly compacted before the pipe is laid. All excavated rock should be disposed of and the trench refilled with sand, gravel or other suitable material.
3. The contractor shall be responsible for ensuring the stability and safety of the trench and adjacent structures by using such trench support and bracing as deemed necessary by applicable OSHA standards or RWA standards – whichever are more restrictive. Copies of the most current RWA standards are available at RWA headquarters.

4. If a safe trench and work area are not maintained by the contractor, Authority personnel will refuse to work until the trench or area is made safe. In this event, the excavator will bear the responsibility and liability for maintaining a safe and adequate trench excavation at all times and at any depth.
5. Since the contractor must maintain all excavations in proper condition for carrying on the work, the contractor will do all bailing, draining or pumping which may be necessary to keep the trenches or other excavations free of water, and will not, under any conditions, allow groundwater to enter the pipes.
6. In accordance with the application Regulations of Connecticut State Agencies, the contractor will notify "CALL BEFORE YOU DIG" (1-800-922-4455 or "811") prior to the start of any excavation.
7. Clearances, wherever possible, should not be less than 12 inches where service pipes cross other underground utilities.
8. No service pipe should cross any portion of a subsurface sewage disposal system or be installed less than 10 feet away from any portion of a subsurface sewage disposal system.
9. Service pipes must be installed perpendicular to the water main from tap to curb valve and in a straight line from the curb valve to the point of entrance to the building. Any deviation from this requirement must be approved in writing by the RWA before installation.
10. The scheduling of a tap may require up to several weeks' notice from the applicant. The length of the notice period is dependent upon materials availability and the availability of RWA field crews. Applicants are advised to inquire about current scheduling at the time of application. When taps are required on concrete pressure pipe, the excavation should be made in accordance with plans furnished by the RWA. Extreme care must be used in order not to damage concrete pipe while excavating.
11. Service pipes will not be in the same trench with other underground facilities, except as approved by the RWA and in accordance with the requirements of the Connecticut Public Health Code.
12. Service pipes will enter the property to be served through its frontage along an RWA-owned main located in either a public street or an approved easement and will not cross intervening or enter onto adjacent properties.

13. Lots to be serviced must have a minimum of ten (10) feet of deeded frontage along a public or private right-of-way which contains a water main extension.
14. In accordance with current state laws, rules and regulations, blue warning tape is required on all water service installations. Warning tape will be installed no less than 12 inches and no more than 18 inches above each service pipe.
15. Copper trace wire or blue warning tape manufactured for trace application shall be installed above plastic pipe to assist with future identification of the pipe's location.
16. For underground service pipe 2 inches and smaller, all new fittings from the corporation stop in the main up to and including the service valve on the upstream (street) side of the meter shall be flared, iron pipe size (I.P.S.) thread or compression connection fittings. Interior exposed fittings shall be flared or Iron Pipe Size (I.P.S.) thread connections only.
17. In all cases, soldered connections will not be allowed anywhere along the service line from the corporation stop at the main up to the point where the service enters the place of use and within the meter setting.
18. In no case will connections be allowed on the service pipe upstream of a water meter.
19. Check valves, pressure-reducing valves, backflow prevention devices, booster pumps and other such equipment must be located downstream of the meter.
20. In cases where the length of service pipe exceeds 50 feet from the curb valve to the building, the RWA may allow the use of polyethylene tubing with prior review and approval as well as the conditions outlined in Section N.
21. Polyethylene tubing may not be used within 500 feet of any landfill area or any area with underground fuel tanks.

(N) MATERIAL SPECIFICATIONS

1. Service Pipe
Size
 - Service pipes must have a minimum 1-inch nominal inside diameter; 2-1/2 and 3-inch diameter pipe is prohibited.
- Material
 - Service pipes 1-inch through 2-inches in diameter must be of

Type K soft temper copper tubing and must conform to the latest revision standard specification for seamless copper water tube, ASTM B43-58.

- Where allowed in advance by the RWA and only located on the customer side of a meter vault or pit, plastic service pipes 1 inch through 2-inches in diameter shall be polyethylene pressure tubing, Pressure Class 200 p.s.i., SDR 9, CTS. It should conform to the latest revision of AWWA Standard C901 and should be marked with the size, material and pressure class. Plastic piping must transition to copper tubing from the meter vault towards entering the building. Trace wire made of copper 18 gauge is required for all plastic service lines. Trace wire must be wrapped around the polyethylene tubing and be clamped to copper tubing exiting meter vault and entering building. Cross-linked polyethylene (PEX) piping is not acceptable for underground installation.
- Service pipes 4-inches in diameter or larger should be of ductile iron cement lined minimum Class 52 or a class with a wall thickness suitable for pressures and loads encountered. Ductile iron pipe should conform to the latest revision of AWWA Standard C151. Ductile iron pipe should be furnished with a double cement-mortar lining. The lining should conform to the latest revision of AWWA Standard C104.

2. Fittings

- Fittings for underground copper service pipe shall be flared, iron service size (I.P.S.) thread or compression fittings that conform to the latest revision of AWWA Standard C800.
- Fittings for underground polyethylene tubing should be of the mechanical type with stainless steel inserts, conforming to the latest revision of AWWA Standard C901.
- Fittings for service pipes 4 inches and larger should be restrained mechanical joint, or push-on joint only. Flanged fittings should not be used underground. All fittings should be made of double cement mortar lined ductile iron and should conform to the latest revision of AWWA Standard C110.

3. Valves

Curb Valves

- Curb valves 2-inches and smaller should be Teflon (PTFE) coated ball type without drain and 90° stops. Brass components should conform to the latest revision of AWWA Standard C800/ASTM B-62 (85-5-5).
- Curb valves 4-inches and larger should be resilient seated, fusion

bonded, epoxy coated M.J. gate valves and should open right (clockwise). Valves should conform to the latest revision of AWWA Standard C-509. Interior and exterior fusion bonded epoxy coating should conform to the latest revision of AWWA Standard C-550.

- Buried valves must have a 2-inch square operating nut.

Service Valves

- Service valves 2-inches and smaller should be PTFE coated ball type, without drain, furnished with locking provision and integral meter saddles as approved by the RWA. Brass components should conform to the latest revision of AWWA Standard C800/ASTM B62 (85-5-5-5). The use of gate valves is prohibited.
- Service valves 4-inches and larger should be resilient seated, fusion bonded, epoxy coated flanged gate valves and should open right (clockwise). Valves should conform to the latest revision of AWWA Standard C-509. Interior and exterior fusion bonded epoxy coating should conform to the latest revision of AWWA Standard C-550. Non-buried service valves will have an operating wheel.
- Outside stem and yoke (OS & Y) valves are required on fire services 4 inches and larger.

4. Curb Boxes

- Curb boxes for 1-inch curb valves must be of the Buffalo Screw Type with 2-1/2-inch diameter shaft. Whenever a curb box is exposed to vehicular traffic, it must be of the Roadway Type.
- Curb boxes for 1-1/2-inches and larger curb valves should be of the Buffalo Type with 5-1/4-inch diameter shaft.
- All curb boxes should be of cast iron and fitted with a cast iron cover marked with the word "WATER" and installed with the cover flush with the finished grade.
- Plastic curb boxes and curb box covers are prohibited.

5. Meter Vaults and Manholes

- Meter vault and manhole specifications and diagrams along with piping arrangements will be furnished on request by the RWA.
- Plastic meter pit top sections are prohibited.
- Composite covers or hatch doors are required for meter pits/vaults and must be pre-approved by the RWA.

6. Meter Setters
 - Meter setters for 5/8-inch through 1-inch meters, basement installation, should be Ford Series 500 or RWA-approved equal.
 - Meter setters for 5/8-inch through 1-inch meters, vault installations, should be Ford Series 70 or RWA-approved equal.
 - Meter setters for 1-1/2-inch meters, vault or basement settings, should be Ford custom setters VVB66-B or RWA-approved equal.
 - Meter setters for 2-inch meters, vault or basement settings, should be Ford Custom setters VVB77-B or RWA-approved equal.
7. Other
 - Warning tape will be plastic or metallized plastic, blue in color, a minimum of 4-inches in width and have the words "CAUTION - WATER LINE BURIED BELOW" imprinted on it in black letters.
 - Trace wire will be made of copper 18 gauge.
 - Backflow prevention device (BFP) will be manufactured in accordance with the latest revision of AWWA Standard C511 and pre-approved for use by the RWA.

RULES, REGULATIONS and RATES GOVERNING the EXTENSION of WATER MAINS



Our STARS Values

Service | Teamwork | Accountability | Respect | Safety

***SOUTH CENTRAL CONNECTICUT
REGIONAL WATER AUTHORITY (RWA)***



**90 SARGENT DRIVE
NEW HAVEN, CONNECTICUT 06511
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www.rwater.com

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I. DEFINITIONS

"Applicant" - the party requesting service, the provision of which requires an Extension.

"Deferred Extension" – in cases where the Applicant can connect to an existing main, the RWA may defer installation of all, or a portion, of an Extension required by its regulations until further extension is determined to be required on the street by RWA.

"Extension" - the linear footage of water main required in order to service property(ies) according to the terms and conditions set forth by these rules.

"Extension Contract" - an Advanced Payment Extension Contract executed by the RWA and the Applicant providing for the installation of an Extension pursuant to which the cost of such Extension is paid by the Applicant to the RWA as described in these Rules. The Contract shall define the period in which the Extension is to be installed and the duration of the contract.

"Main(s)" - water pipes owned by or to be owned by the RWA, used for the purpose of conveying water to the Taker's service connections.

"Proportionate Share" – a charge made for the purpose of reimbursing the RWA or main extension applicant for the installation cost of the water main fronting the property to be served.

"Proportionate Share Agreement" - the agreement for payment of a proportionate share of an Extension Contract.

"Proportionate Share Applicant" - the party entering into a Proportionate Share Agreement with the RWA for water service to a property which is subject to the proportionate share provisions of these Rules and Regulations.

"RWA" - the South Central Connecticut Regional Water Authority.

"Taker" - any party connected directly to an existing Extension by a service line on which an Extension Contract is in effect.

II. GENERAL PRINCIPLES

1. Title of ownership in an Extension is vested in the RWA.
2. An Extension is under the sole control and jurisdiction of the RWA. This includes the right to connect additional customers without the consent of the Applicant; to make further extensions beyond or running laterally from the Extension; or to connect the Extension with any other portion of the distribution system of the RWA. The RWA may take these actions without incurring any obligations to the Applicant or Takers receiving service from the Extension except as provided.
3. All Extensions will be installed, owned and maintained by the RWA. Installations will be performed by the RWA with its own resources, or by

an approved subcontractor hired by the RWA.

4. If the property to be supplied by an Extension is at such an elevation that pressure will be below 35 p.s.i. or above 115 p.s.i. where the service enters the building, the Applicants for such an Extension will be obligated to execute either a Low Pressure Agreement or a High Pressure Agreement with the RWA prior to final acceptance by the RWA of the application. These Agreements will be recorded in the Land Records of the municipality in which the property is situated. In the case of an application for service to new construction or developments, the RWA may, in its sole discretion, require the Applicant to pay for any main replacement in, or main extension of, the RWA's existing distribution system in order to provide service within the p.s.i. levels described above.
5. Water mains will be extended from the existing service area or pressure zone in accordance with the RWA's long-range plans for service area coverage, which are designed to assure an optimum range of pressures throughout the RWA's distribution system, and to minimize construction of new pumping stations and storage facilities. Extensions will not necessarily be initiated at the nearest water main. Applicants will be responsible for the cost of the entire Extension regardless of the distance required.
6. If additional facilities, such as storage tanks and booster pumps, are required to provide adequate service to an Extension and only that Extension, the costs associated with the planning, design and construction of such facilities will be charged to the Applicant. The facilities will be constructed in accordance with the RWA's Standards for the Development of Satellite Water Systems. These standards are available as a separate document.
7. If additional facilities as described above will benefit RWA's existing system or will be required to serve additional extensions by future applicants, the RWA will construct the facilities at its expense.
8. Extensions will be made to streets that are accepted and maintained by the municipality or in rights of way granted to the RWA. Extensions will also be made in new streets subject to the following: (a) the street will be constructed to line and grade conforming to the plan and profile as accepted by and recorded with the municipality; (b) a bond has been posted with the municipality by the owner, to insure satisfactory completion of said street according to the specifications of the municipality; and (c) there is written confirmation of all the items listed above by the proper municipal authority.

9. Private rights of way for mains must be located within the access road to the area being served.
10. Mains will be located to the extent possible within the paved portion of a street or right of way.
11. The Applicant for water service is responsible for all new main extensions required to provide service to a proposed subdivision, development, etc. This responsibility includes approach mains, "loop" mains and replacement/upgrade of mains required to connect the project to the RWA's water distribution system and to meet and maintain minimum design pressure, needed fire flow and water quality criteria.
12. The RWA will determine the required length, size, material, routing and location of an Extension, based in general on the following principles:
 - (a) The terminal point of an Extension installed in streets not within a development will be the property line beyond the last Taker to be serviced by the Extension, including the installation of a main required to cover the entire frontage of a subdivision tract.
 - (b) An Extension installed in streets within a development or subdivision will include all mains required to cover buildings to be served by the development or subdivision to intersecting streets.
 - (c) The size of main to be installed will be based on the existing and future needs of the RWA's water system and/or prevailing municipal fire ordinances, and the costs will be allocated as provided in Section II-17 of these Rules. The minimum size for new mains will be 8-inch diameter, except in state roads, where the minimum size for new mains will be 16-inch diameter.
 - (d) Phased construction within a development or subdivision may be allowed upon prior written approval by the RWA.
13. With the exception of new developments, when an Extension passes corner properties having access to a main on an adjacent street, the RWA will install at its expense that corner property's portion of the Extension from the existing main to the far property line. If this distance is sub-dividable in accordance with the zoning regulations of the municipality, the RWA will only install the corner property's portion of the Extension from the existing main to the subdivided line. In no case, however, will the distance contributed by the RWA exceed 150 feet.
14. Where the Rules and Regulations require main extensions as shown on the site plan approved by the municipal Planning and Zoning agency, but

on streets which will not be constructed, the RWA may defer installation of the Extension until an additional main is required in the street.

15. Each Extension will terminate at the farthest property line of the last potential Taker to be served by such Extension. In cases where the Applicant can connect to an existing main, the RWA may defer installation of all, or a portion, of an Extension required by its regulations until further extension is required on the street. At the time of application, the RWA will notify the Applicant of its obligation to pay for the installation of a deferred extension. The Applicant shall pay, prior to the installation of the deferred extension, the estimated cost of the deferred extension based on the deferred length times the RWA's average cost of installation extensions of the required size.
16. When an Extension is made in unfinished streets, the Applicant is responsible for damages to the main and all such fixtures and appurtenances such as hydrants, gate boxes, blow-off boxes, etc., including its relocation, if damaged, or if relocation is necessitated by acts or omissions of the Applicant or his agents. If, after the Extension is installed, the grade is changed to reduce the required minimum cover of the mains, fixtures or appurtenances, then the Applicant will pay the cost of lowering the mains to the depth required to correct this deficiency. This responsibility will remain in force until such time as the street is officially accepted by the proper municipal authority.
17. The RWA will determine the size of the main required for each Extension. In those municipalities with fire ordinances in effect which specify the size of water mains, the RWA will comply with the ordinance requirements except where the size stipulated in the ordinance would have a detrimental effect on the RWA's system. In such a case the main will be sized for the overall best interest of the water system. The Fire Marshal in the affected municipality will be advised of the deviation from the ordinance. Costs will normally be based on sizes not larger than 8-inches, unless it is necessary to install main larger than 8-inches to satisfy the requirements of the Applicant. In that case, the cost will be based on the cost of the main size actually required.
18. Lots to be serviced must have a minimum of ten (10) feet of deeded frontage along a public or private right-of-way to contain the Extension.
19. The cost of each Extension installed by the RWA will be the actual cost of the main, encompassing labor and equipment used, plus overhead at the RWA's prevailing overhead rates for the main size required. If pavement excavation and replacement or repairs are required for the Extension, the additional costs will be added to the cost of the

Extension. Cost of deferred extensions will be the deferred length times the RWA's average cost of installing extensions of the required size.

20. All requests for the installation of public fire hydrants must be made directly to the municipality and are subject to order by the municipality.
21. When the RWA installs fire hydrants in connection with an Extension, the RWA will add a charge to cover the installation of the fire hydrant (including laterals) as a cost per foot. The per foot charge applied is based upon the prior year cost of fire hydrant installation (including laterals) on new main extensions.

III. APPLICATIONS

1. Applications for Extensions will be accepted only from the owner of public record of the property which the Extension will serve.
2. Applications must be made at the RWA offices and will not be processed until all information requested by the RWA is supplied.
3. The Applicant, if a development is involved, will be required to furnish with the application one (1) reproducible subdivision map of the [property to property to](#) be supplied. This map must be a facsimile of the one approved and filed with the proper municipal authority. The applicant, if requested by the RWA, will furnish plan and profile drawings of the street(s) in which the main is to be installed as approved by and filed with the municipality. Only maps, plans, profiles or other drawings prepared and stamped by an engineer or surveyor licensed in the State of Connecticut will be accepted.
4. The Applicant of a development or subdivision must notify the RWA at the time of application if phased construction is proposed. Phasing should be indicated on a property map supplied to the RWA.
5. Application for the Extension will automatically expire ninety (90) days from the date of application if, within this period, all conditions required for acceptance of such application are not fulfilled. Upon cancellation of an Extension application, the Applicant may renew the application and will be subject to the Rules and Regulations in effect at the date of renewal.
6. Contract proposals for Extensions will automatically expire ninety (90) days from the date transmitted to the Applicant, if within this period the RWA has not received all fully executed required documents, including but not limited to the Extension Contract, High or Low Pressure Agreements and easements, and payments due at the time of contract execution. Upon expiration of a contract proposal, the Applicant may

request the proposal be subject to the Rules and Regulations in effect at the date of the renewal.

IV. ADVANCE PAYMENT EXTENSION CONTRACT

1. An Applicant, on execution of an Extension Contract, will deposit with the RWA an amount equal to the RWA's estimated cost of the Extension. Any additional amount which may result from increased costs, as determined by the RWA, must be paid on demand to the RWA. The RWA may delay installation of any Extension or service taps in an Extension until the additional amount has been received.
2. Upon completion of the Extension, the RWA will determine the actual cost of the extension. If the actual cost is less than the deposit, the RWA will refund the difference to the Applicant. If the estimated cost is less than the actual cost, then an additional charge will be made to the Applicant, payable within fifteen (15) days of being invoiced. If not paid within thirty (30) days after the due date, the applicant will be assessed interest at a rate of 1.5% per month. The RWA may delay the installation of any service taps in such Extension until the additional amount has been received.
3. No interest will be paid by the RWA on any or all of the amounts paid by the Applicant.
4. If an Extension under an Extension Contract is installed in a street or highway which property is abutted by other than that of the Applicant, the RWA agrees to require that the other property owners, before making any connection for water service, will pay their proportionate share of the cost of the extension. These property owners, referred to as "Proportionate Share Applicants", will pay their share in full at the time of their application for water service. When received by the RWA, these amounts will be refunded to the Applicant, unless the Extension was installed at the expense of the RWA, in which case the RWA will retain any funds so collected. Total refunds on the Extension Contract to the Applicant will not exceed the total amount paid by the Applicant. The Proportionate Share Applicant for water service will be subject to all of the terms, conditions, and provisions of the contract.
5. The Applicant will be entitled to receive the proportionate share amounts collected by the RWA from the Proportionate Share Applicant for a ten (10) year period starting from the date of the completion of the main installation. Liability for payment of refunds for proportionate sharing will cease at the end of that ten (10) year period, and any part of the amount paid by the Applicant not refunded within the contract period will be the property of the RWA.

6. The Applicant or a Proportionate Share Applicant may not assign the Extension Contract or the Proportionate Share Agreement or any money due by reason of the terms of these agreements, without the written consent of the RWA.
7. The proportionate share provisions of the Extension Contract will ~~not apply~~not apply to existing RWA customers whom the RWA decides to connect to the newly installed extension.
8. All Takers connected to extensions installed in accordance with the terms of an Extension Contract will be subject to the applicable rates, rules, regulations, terms and conditions of service of the RWA. However, when the municipality does not pay the fire service charge for a particular Extension, these charges will be paid on a pro rata basis by all Takers on the Extension.
9. The RWA reserves the right to require that an Extension Contract cover all mains needed to supply a real estate development or subdivision in its entirety.

V. INSTALLATION

1. Extensions will be scheduled for construction after the Applicant has fully complied with all conditions and contractual obligations.
2. Each main will be installed in a public street that has been approved by a municipality, or in a private right of way if given the written consent of the RWA. In the case of a right of way, the Applicant must provide an executed Right of Way Agreement and related documentation as specified by the RWA, prior to the main installation.
3. Extensions will normally be scheduled for construction in the order in which all requirements have been met. However, the RWA may vary such scheduled in order to integrate timing with other previously approved projects, in consideration of weather conditions, or based on the availability of materials or the immediacy of need.
4. It is the responsibility of the Applicant to erect and maintain stakes to indicate correct street lines and grades, lot lines and hydrant locations in order to facilitate proper installation of the mains and appurtenances as determined by the RWA.

RESOLUTION

SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY WATER SYSTEM REVENUE BONDS, FORTY-FIRST SERIES BONDS

WHEREAS, Section 22 of Special Act 77-98, as amended, of the Connecticut General Assembly (the “Act”) provides, in pertinent part, that bonds shall be authorized by a resolution of the South Central Connecticut Regional Water Authority (the “Authority”) which shall provide for the terms and conditions of the bonds, and may provide for any matter which in any way affects the security or protection on the bonds; and

WHEREAS, Section 9 of the Act provides that the Authority may delegate to one or more of its members, officers, agents or employees, such powers and duties as it may deem proper; and

WHEREAS, on January 22, 2026, the Authority adopted and on April 23, 2026, the Representative Policy Board (the “RPB”) approved the resolutions which established the general terms and provisions of the Authority’s Water System Revenue Bonds, which may be issued as project loan obligations, in the aggregate principal amount not to exceed \$35,000,000 to finance, among other things, the cost of certain capital improvements to the water system and which may be issued in one or more series, and

WHEREAS, the Authority wishes to provide for the issuance, sale and delivery of the Authority’s Water System Revenue Bonds, Forty-first Series in one or more series (the “Forty-first Series Bonds”).

NOW THEREFORE BE IT RESOLVED, that the Chairperson or Vice Chairperson and the President/Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development be authorized (i) to issue, sell and deliver the Forty-first Series Bonds in one or more series in an amount not to exceed \$35,000,000 and (ii) to determine the principal amount, date, date of maturity, interest rate, form and other details of any such series of the Forty-first Series Bonds, pursuant to the Act and the Water System Revenue Bond Resolution, General Bond Resolution as amended and supplemented (the “General Bond Resolution”) or any other provisions of law thereto enabling; and

BE IT FURTHER RESOLVED, that the Authority hereby approves the Forty-first Series Supplemental Resolution authorizing the issuance of the Forty-first Series Bonds in one or more series substantially in the form attached hereto as Exhibit A, with such changes, omissions, insertions and revisions as the Chairperson or Vice Chairperson and the President/Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development shall deem advisable and which shall be as set forth in a Certificate of Determination attached thereto; and

BE IT FURTHER RESOLVED, that for the purpose of providing for the public offering and sale of the Forty-first Series Bonds and further setting forth information relating to the Forty-first Series Bonds, one or more official statements, substantially in the form of the official statement attached hereto as Exhibit B (the “Official Statement”) is hereby approved, with such changes, omissions, insertions and revisions as the Chairperson or Vice Chairperson and the President/Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of

Corporate Development shall deem advisable or shall be necessary to provide information concerning the Forty-first Series Bonds; and such officers, in the name of the Authority, are hereby authorized to deem the Official Statement final when appropriate and are further authorized and directed to execute such Official Statement and any amendment or supplement thereto on and after the sale of any series of the Forty-first Series Bonds; and

BE IT FURTHER RESOLVED, that the Chairperson, the Vice Chairperson, the President/Chief Executive Officer or Senior Vice President, Chief Financial Officer and Head of Corporate Development or any one of them, are hereby authorized to appoint an underwriter or underwriters and a financial advisor and to purchase a municipal bond insurance policy to guarantee the payment of principal and interest on the Forty-first Series Bonds, if the Authority's financial advisor or the underwriter for the Forty-first Series Bonds deems it advisable, and execute and deliver such documents as may be necessary or desirable to issue, sell and deliver the Forty-first Series Bonds, including but not limited to, the Purchase Contract, the Tax Regulatory Agreement and the Continuing Disclosure Agreement and to take such actions or to designate other officials or employees of the Authority to take such actions and execute such documents in connection with the issuance, sale and delivery of the Forty-first Series Bonds in one or more series as are determined necessary or advisable and in the best interests of the Authority and that the execution of such documents shall be conclusive evidence of such determination.

EXHIBIT A

FORTY-FIRST SERIES SUPPLEMENTAL RESOLUTION

EXHIBIT B

OFFICIAL STATEMENT

SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY

WATER SYSTEM REVENUE BOND RESOLUTION
GENERAL BOND RESOLUTION

FORTY-FIRST SERIES
SUPPLEMENTAL RESOLUTION

Authorizing the Issue of

WATER SYSTEM REVENUE BONDS, FORTY-FIRST SERIES
dated the Date of Delivery

SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY
WATER SYSTEM REVENUE BONDS, FORTY-FIRST SERIES

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SUPPLEMENTAL RESOLUTION

Authorizing the Issuance of Water System Revenue Bonds, Forty-first Series

RECITALS

WHEREAS, the South Central Connecticut Regional Water Authority (the “Authority”) is authorized pursuant to Connecticut Special Act No. 77-98, as amended (the “Act”), and the Water System Revenue Bond Resolution, General Bond Resolution, adopted July 31, 1980 as amended and supplemented (the “Resolution”), to issue bonds of the Authority from time to time; and

WHEREAS, the Resolution provides that such bonds shall be issued subject to the terms, conditions and limitations established by the Resolution and one or more supplemental resolutions authorizing each series of bonds; and

WHEREAS, under and pursuant to the Resolution, the Authority has from time to time authorized the issuance of bonds of the Authority pursuant to certain supplemental resolutions; and

WHEREAS, the Representative Policy Board of the South Central Connecticut Regional Water District (the “RPB”) approved the issuance of the Authority's Water System Revenue Bonds on April 23, 2026 in the maximum principal amount of \$35,000,000 which may be issued in one or more series (the “Forty-first Series Bonds”); and

WHEREAS, the Authority hereby determines that it is necessary and desirable that the Authority issue its Forty-first Series Bonds in one or more series to (i) finance or refinance the costs of certain capital improvements to the water system of the Authority from approximately July 2026 through December 2027 in accordance with a certain capital improvement plan adopted by the Authority on June 26, 2025, as may be amended from time to time, or in accordance with resolutions approved by the Authority for additional water system projects, (iii) provide funds for deposit to certain reserve funds held under the Resolution, if necessary and (iv) pay the costs of issuance related to the Forty-first Series Bonds;

NOW, THEREFORE, BE IT RESOLVED BY THE SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY AS FOLLOWS:

ARTICLE I

AUTHORITY AND DEFINITIONS

Section 101. Authority for Supplemental Resolution.

This supplemental resolution (the “Forty-first Series Supplemental Resolution”) to the Resolution in substantially the form presented at this meeting with such changes, omissions, insertions and revisions as the Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development shall deem advisable and as set forth in the Certificate of Determination (as hereinafter defined) is adopted in accordance with the provisions of Article II and Article IX of the Resolution and pursuant to the authority contained in the Act.

Section 102. Definitions.

A. All terms defined in Section 102 of the Resolution shall have the same meanings, respectively, in this Forty-first Series Supplemental Resolution.

B. In addition, as used in this Forty-first Series Supplemental Resolution, unless the context otherwise requires, the following terms shall have the following respective meanings:

“Bond Insurance Policy” means the insurance policy, if any, issued by the Bond Insurer guaranteeing the scheduled payment of principal of and interest on any series of the Forty-first Series Bonds when due as set forth in the Certificate of Determination.

“Bond Insurer” means the insurance company or any successor thereto or assignee thereof insuring any series of the Forty-first Series Bonds as set forth in the Certificate of Determination.

“Certificate of Determination” means a certificate of determination signed by the Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development required by Section 701 hereof, setting forth the terms of a series of the Forty-first Series Bonds and Bond Insurer provisions, if any, and attached hereto as **Exhibit A** and made a part hereof.

“Closing Date” means such date as set forth in the Certificate of Determination.

“Continuing Disclosure Agreement” means a Continuing Disclosure Agreement between the Authority and U.S. Bank Trust Company, National Association, as Trustee and Dissemination Agent, to be dated the date of issuance of a series of the Forty-first Series Bonds, as originally executed and as it may be amended from time to time in accordance with the terms thereof.

“CUSIP Number” means the number assigned and disseminated by the Committee on Uniform Security Identification Procedure (“CUSIP”) Service Bureau of Standard & Poor’s, or its successor, which uniquely identifies the issuer, the type of security issued, maturity and interest rate of such security or if such identification cease to be available, CUSIP Number means any standardized security identification adopted by the Authority, which is widely available to and utilized by financial industry participants.

“Insured Series” means a series of the Forty-first Series Bonds insured by the Bond Insurer.

“Official Statement” means the Official Statement of the Authority pertaining to a series of the Forty-first Series Bonds.

C. Unless the context otherwise requires, in this Forty-first Series Supplemental Resolution words of the masculine gender shall mean and include correlative words of the feminine and neuter genders; words importing the singular number shall mean and include the plural number and vice versa; words importing persons shall include firms, associations and corporations; and the terms, “hereby”, “hereof”, “hereto”, “herein”, “hereunder” and any similar terms refer to this Forty-first Series Supplemental Resolution.

ARTICLE II

AUTHORIZATION OF FORTY-FIRST SERIES BONDS

Section 201. Principal Amount, Designation and Series.

One or more series of Forty-first Series Bonds in the maximum amount not to exceed \$35,000,000 entitled to the benefit, protection and security of the Resolution is hereby authorized. Any such series of bonds shall be designated as, and shall be distinguished from, the Bonds of all other series by the title of “Water System Revenue Bonds, Forty-first Series.” A series of the Forty-first Series Bonds may be designated as “Green Bonds” as set forth in the Certificate of Determination for such series. The principal amount of a series of the Forty-first Series Bonds shall be as set forth in the Certificate of Determination.

Section 202. Purpose.

The purposes for which a series of the Forty-first Series Bonds are being issued are to (i) finance or refinance the costs of certain capital improvements to the water system of the Authority from approximately July 2026 through December 2027 in accordance with a certain capital improvement plan adopted by the Authority on June 26, 2025, as may be amended from time to time, or in accordance with resolutions approved by the Authority for additional water system projects, (iii) provide funds for deposit to certain reserve funds held under the Resolution, if necessary and (iv) pay the costs of issuance related to the Forty-first Series Bonds. The amount of any series of the Forty-first Series Bonds being issued for Other Corporate Purposes is as set forth in the Certificate of Determination. The purposes for any series of Green Bonds shall be as set forth in a Certificate of Determination.

Section 203. Date, Maturity and Interest Rates.

A series of the Forty-first Series Bonds shall be dated as set forth in the Certificate of Determination.

The Forty-first Series Bonds shall be issued as serial or term bonds or a combination of serial and term bonds which shall mature on the first day of August in the years and in the aggregate principal amounts and the rates of interest as established by the Certificate of

Determination. Interest shall be calculated on the basis of a 360-day year consisting of twelve 30-day months.

Section 204. Interest Payment Dates.

The Forty-first Series Bonds shall bear interest from their dated date, payable semiannually on the first day of February and August in each year commencing on the date as set forth in the Certificate of Determination.

Section 205. Registration, Denominations, Numbers and Letters.

The Forty-first Series Bonds shall be issued in fully registered form, without coupons, in the denomination of \$5,000 or any integral multiple of \$5,000. Subject to the provisions of the Resolution, the form of the Forty-first Series Bonds and the Trustee's certificates of authentication shall be substantially in the form set forth in Article IV of this Forty-first Series Supplemental Resolution.

The Forty-first Series Bonds when issued will be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York ("DTC"). Only one Bond will be issued for each maturity and CUSIP Number in the aggregate principal amount of such maturity and CUSIP Number, and initial purchases of the Bonds will be made in book-entry only form in denominations of \$5,000 or any integral multiple thereof. Purchasers of the Forty-first Series Bonds will not receive certificates representing their interest in the Forty-first Series Bonds. Unless the Authority determines otherwise, as long as the Forty-first Series Bonds are registered in the name of Cede & Co., transfers or exchanges of ownership interest in the Forty-first Series Bonds may be accomplished via book-entry transactions only, through DTC.

Section 206. Paying Agent.

The principal of, premium, if any, and interest on the Forty-first Series Bonds shall be payable at the corporate trust offices of U.S. Bank Trust Company, National Association, Boston, Massachusetts, Trustee and Registrar and of U.S. Bank Trust Company, National Association, Boston, Massachusetts, as Paying Agent. The principal of, premium, if any, and interest on the Forty-first Series Bonds shall also be payable at any other place which may be provided for such payment by the appointment of any other Paying Agent or Paying Agents, as permitted by the Resolution. Interest on the Forty-first Series Bonds shall be payable by check mailed by the Trustee to the registered owner whose name appears on the registration books of the Authority (or, at the option of any registered owner of at least one million dollars (\$1,000,000) in aggregate principal amount of the Forty-first Series Bonds, interest thereon may be paid by wire transfer to the registered owner pursuant to wire instructions furnished by such registered owner) as of the fifteenth day of January and July in each year (or the preceding business day if such fifteenth day is not a business day).

Section 207. Redemption.

A. Any series of the Forty-first Series Bonds shall be subject to optional redemption as set forth in the Certificate of Determination.

B. Any series of the Forty-first Series Bonds may be subject to mandatory sinking fund redemption at 100% of the principal amount thereof plus accrued interest to the date of redemption, from sinking fund payments which are required to be made in amounts sufficient to redeem on August 1 of each of the years and in the amounts as established by the Certificate of Determination.

C. The Forty-first Series Bonds are subject to mandatory redemption in whole at 100% of the principal amount thereof plus accrued interest to the date of redemption if all or substantially all of the Water System is taken by the State or any municipality in the State with general governmental powers and duties as more particularly described in Section 507 of the Resolution.

Section 208. Sale of Forty-first Series Bonds.

A series of the Forty-first Series Bonds may be sold by competitive sale in accordance with a notice of sale or by negotiated sale to such purchaser and in accordance with such terms as the Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development shall determine and as set forth in the Certificate of Determination, and, in the case of a negotiated sale, pursuant to a Purchase Contract, and such officials are hereby authorized to accept a bid or execute the Purchase Contract with such terms and conditions as such officials shall determine and to sell such series of the Forty-first Series Bonds in accordance with such bid or Purchase Contract, as the case may be.

Section 209. Official Statement.

The Official Statement of the Authority pertaining to any series of the Forty-first Series Bonds in substantially the form presented at this meeting with such changes, omissions, insertions and revisions as the Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development shall deem advisable is hereby authorized and the Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development shall sign and deliver copies of the Official Statement to the purchaser of the Forty-first Series Bonds for subsequent distribution.

Section 210. Book-Entry-Only System.

The Authority is hereby authorized to issue the Forty-first Series Bonds in book-entry-only form and to execute and enter into any agreement or instrument required by the Depository Trust Company ("DTC"), New York, New York, which will act as the initial securities depository for

the Forty-first Series Bonds in order to qualify such Forty-first Series Bonds for DTC eligibility. The ownership of one fully registered Bond for each maturity and CUSIP Number, each in the aggregate principal amount of each maturity and CUSIP Number, will be registered in the name of Cede & Co., as nominee for DTC.

So long as Cede & Co. is the registered owner of the Forty-first Series Bonds, as nominee of DTC, references herein to the bondowners or registered owners of the Forty-first Series Bonds shall mean Cede & Co. and shall not mean the beneficial owners of the Forty-first Series Bonds.

In the event that (a) DTC determines to discontinue its service with respect to the Forty-first Series Bonds by giving notice to the Authority and discharging its responsibilities with respect thereto under applicable law and the Authority fails to appoint a successor securities depository for the Forty-first Series Bonds, or (b) the Authority determines to discontinue the system of book-entry transfers through DTC (or a successor securities depository), bond certificates are required to be delivered. The beneficial owner, upon registration of certificates held in the beneficial owner's name, will become the registered owner of the Forty-first Series Bonds.

The Authority will recognize DTC or its nominee as the bondowner for all purposes including notices. Conveyance of notices and other communications by DTC to DTC participants, by DTC participants to indirect participants and by DTC participants and indirect participants to beneficial owners will be governed by arrangements among them, subject to any statutory and regulatory requirements as may be in effect from time to time.

Principal and interest payments on the Forty-first Series Bonds will be made to DTC or its nominee, Cede & Co., as registered owner of the Forty-first Series Bonds. Upon receipt of moneys, DTC's current practice is to credit immediately the accounts of the DTC participants in accordance with their respective holdings shown on the records of DTC. Payments by DTC participants and indirect participants to beneficial owners will be governed by standing instructions and customary practices and will be the responsibility of such DTC participant or indirect participant and not of DTC, the Authority, the Trustee or any Paying Agent, subject to any statutory and regulatory requirements as may be in effect from time to time.

ARTICLE III

DISPOSITION OF PROCEEDS OF FORTY-FIRST SERIES BONDS

Section 301. Construction Fund.

Upon delivery of a series of the Forty-first Series Bonds and receipt of payment therefor, the Authority shall pay from the net proceeds thereof, after the payment of certain Costs of Issuance, to the Trustee for deposit in the Construction Fund the amount established by the Certificate of Determination, all or a portion of which shall be used to finance the Cost of Issuance of the Forty-first Series Bonds.

Section 302. Other Funds.

The Trustee shall deposit such other proceeds in such other funds as set forth in the Certificate of Determination.

ARTICLE IV

FORM AND EXECUTION OF FORTY-FIRST SERIES BONDS

Section 401. Form of a Series of Forty-first Series Bonds and Trustee's Certificate of Authentication.

Subject to the provisions of the Resolution, a series of the Forty-first Series Bonds and the Trustee's certificate of authentication shall be, respectively, in substantially the form as set forth in **Exhibit B** to this resolution, with such insertions or omissions, endorsements and variations as may be required or permitted by the Resolution.

Section 402. Execution of Forty-first Series Bonds.

The Chairperson or other Authorized Officer is hereby authorized and directed to execute each series of the Forty-first Series Bonds and the Secretary or other Authorized Officer is hereby authorized to sign and attest the Authority's seal on such series of the Forty-first Series Bonds, each by their manual or facsimile signatures.

Section 403. Continued Exemption from Federal Income Taxation.

The Authority hereby agrees and covenants that it shall at all times perform all acts and things necessary or appropriate under any valid provision of law or in order to ensure that interest or amounts treated as interest, as applicable, paid on any series of the Forty-first Series Bonds, which are issued as tax exempt bonds, shall not be includable in the gross income of the owners thereof for Federal income tax purposes under the Code. Further, Authorized Officers are hereby authorized to execute all instruments and documents necessary to take such action.

Section 404. No Recourse on Forty-first Series Bonds.

No recourse shall be had for the payment of the principal or Redemption Price, if any, of or interest or amounts treated as interest, as applicable, on any series of the Forty-first Series Bonds or for any claim based thereon or on the Resolution against any member or officer of the Authority or any person executing any series of the Forty-first Series Bonds and neither any member or officer of the Authority nor any person executing a series of the Forty-first Series Bonds shall be liable personally on such series of the Forty-first Series Bonds by reason of the issuance thereof.

ARTICLE V

BOND INSURANCE

Section 501. Voting Rights of Bond Insurer.

For purposes of Section 701 (Events of Defaults), Section 704 (Proceedings Brought by Trustee), Section 705 (Restriction of Bondholder's Action), Section 707 (Effect of Waiver and Other Circumstances), Section 903 (Supplemental Resolutions Effective With Consent of Bondholders), Section 1002 (Powers of Amendment), Section 1003 (Consent of Bondholders) and Section 1004 (Modifications by Unanimous Consent), in each case of the Resolution as long as the Bond Insurer, if any, has not failed to comply with its payment obligations under the Bond Insurance Policy, the Bond Insurer shall have all rights and privileges of the owners of the Insured Series to exercise rights of approval, consent, direction, waiver and request on behalf of and in place of the holders of the Insured Series. For purposes of computing applicable percentages in said Sections, actions taken by the Bond Insurer as aforesaid shall be treated as actions taken by the holders of the Insured Series. In the event the Bond Insurer has failed to comply with its payment obligations under the Bond Insurance Policy, the foregoing provisions of this Section 501 shall not apply.

Section 502. Notice to Bond Insurer.

The Trustee and the Authority shall notify the Bond Insurer by 3:00 p.m. (Eastern Time) on the day such payment is due, should the payment of interest on or principal of the Insured Series not be made when such payment is due or the Authority fails to make a monthly payment into any Fund required to be made in connection with the Insured Series.

The Trustee and the Authority shall provide a copy to the Bond Insurer of any notice given to any other party with respect to the Insured Series.

ARTICLE VI

CONTINUING DISCLOSURE

Section 601. Continuing Disclosure.

The Authority hereby covenants and agrees that it will comply with and carry out all of the provisions of any Continuing Disclosure Agreement. Notwithstanding any other provision of the Resolution, failure of the Authority or the Trustee to comply with the Continuing Disclosure Agreement shall not be considered an Event of Default; however, the Trustee may (and, at the request of any Participating Underwriter (as defined in the Continuing Disclosure Agreement) or the Holders of at least 25% of the aggregate principal amount of Outstanding Bonds, shall), with indemnification satisfactory to it, or any Bondholder or Beneficial Owner may take such actions as may be necessary and appropriate, including seeking mandamus or specific performance by court

order, to cause the Authority, the Dissemination Agent (as such term is defined in the Continuing Disclosure Agreement) or the Trustee, as the case may be, to comply with its obligations under this Section and the Continuing Disclosure Agreement. For purposes of this Section, “Beneficial Owner” means any person which (a) has or shares the power, directly or indirectly, to make investment decisions concerning ownership of, any Forty-first Series Bonds (including persons holding Forty-first Series Bonds through nominees, depositories or other intermediaries), or (b) is treated as the owner of any Forty-first Series Bond for federal income tax purposes.

ARTICLE VII

MISCELLANEOUS

Section 701. Delegation of Authority to Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development.

The Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development is hereby delegated the authority to (i) determine with respect to any series of the Forty-first Series Bonds the amount, issue date, date of maturity, denominations, redemption provisions, interest rate and mode, whether taxable or tax exempt and other details of such series of the Forty-first Series Bonds, (ii) determine whether such series of the Forty-first Series Bonds shall be sold by competitive or negotiated sale and if such sale is negotiated, the purchaser of such series of the Forty-first Series Bonds, and (iii) accept and incorporate into the Forty-first Series Supplemental Resolution any terms or provisions required by the Bond Insurer, if any, which they deem necessary or appropriate, all in accordance with the Act, the Resolution and any other provision of law applicable thereto. The Chairperson or Vice Chairperson and the President/Chief Executive Officer or the Senior Vice President, Chief Financial Officer and Head of Corporate Development shall prepare a Certificate of Determination prior to the date of delivery of any series of the Forty-first Series Bonds to be attached hereto as **Exhibit A** and incorporated in this Forty-first Series Supplemental Resolution setting forth such details and particulars of such series of the Forty-first Series Bonds and Bond Insurer provisions, if any, as determined in accordance with this delegation. Should the details and particulars of such series of the Forty-first Series Bonds conflict with such details and particulars set forth in this Forty-first Series Supplemental Resolution, the Certificate of Determination shall control.

Section 702. Effective Date.

This Forty-first Series Supplemental Resolution shall take effect immediately.

Section 703. Declaration of Official Intent.

The Authority hereby expresses its official intent pursuant to §1.150-2 of the Federal Income Tax Regulations, Title 26 (the “Regulations”), to reimburse expenditures paid sixty days prior to and any time after the date of passage of this Forty-first Series Supplemental Resolution in the maximum amount authorized for the Forty-first Series Bonds hereby and for the Projects

defined herein with the proceeds of bonds, notes, or other obligations authorized to be issued by the Authority. Such bonds, notes or other obligations shall be issued to reimburse such expenditures not later than 18 months after the later of the date of the expenditure or the substantial completion of the Project, or such later date the Regulations may authorize. The Authority hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date.

EXHIBIT A

CERTIFICATE OF DETERMINATION

[See Document No. 8]

EXHIBIT B

[Form of Forty-first Series ____ Bond]

**SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY
WATER SYSTEM REVENUE BOND, FORTY-FIRST SERIES**

Number _____ \$

ORIGINAL ISSUE DATE:

MATURITY DATE INTEREST RATE DATED DATE CUSIP

REGISTERED OWNER:

PRINCIPAL AMOUNT:

South Central Connecticut Regional Water Authority (the “Authority”), a public corporation constituting a public instrumentality and political subdivision organized and existing under the laws of the State of Connecticut, for value received, hereby promises to pay to the Registered Owner shown above, or registered assigns, unless redeemed prior thereto as hereinafter provided, the Principal Amount shown above on the Maturity Date shown above, and to pay interest on such Principal Amount until the Authority’s obligation with respect to the payment of such Principal Amount shall be discharged as provided in the Resolution (as hereinafter defined), at the Interest Rate shown above semiannually on the [first day of February and August] in each year, commencing [August 1, _____.] Interest, calculated on the basis of a 360-day year consisting of twelve 30-day months will be paid from the Dated Date shown above. The principal and redemption price (if any) of this bond are payable upon presentation and surrender at the corporate trust office of U.S. Bank Trust Company, National Association, Boston, Massachusetts, trustee and registrar under the Resolution, or its successor in trust (the “Trustee”) or U.S. Bank Trust Company, National Association, Boston, Massachusetts, paying agent under the Resolution, or at the principal office of its successor as such paying agent (the “Paying Agent”). The principal, redemption price, if any, and interest on this bond are payable in any coin or currency of the United States of America which, at the time of payment is legal tender for the payment of public and private debts, provided, however, that interest on this bond is payable to the person in whose name this bond is registered as of the close of business on the [fifteenth day of January and July] (or the preceding business day if such fifteenth day is not a business day) in each year by check (or, at the option of any registered owner of at least one million dollars (\$1,000,000) in

aggregate principal amount of the bonds, interest thereon may be paid by wire transfer to the registered owner pursuant to wire instructions furnished by such registered owner) to such registered owner as shown on the registration books of the Authority kept by the Trustee.

It is hereby certified and recited that all conditions, acts and things required by the Constitution or statutes of the State of Connecticut to exist, to have happened or to have been performed precedent to or in the issuance of this bond, exist, have happened and have been performed and that the issue of bonds of which this issue is one, together with all other indebtedness of the Authority, is within every debt and other limit prescribed by said Constitution or statutes. This bond is a general obligation of the Authority and the full faith and credit of the Authority are pledged to the prompt payment of both the principal of, premium, if any, and interest on this bond as the same shall become due.

This bond shall not be valid or become obligatory upon the Authority and shall not be entitled to any security, right or benefit under the Resolution until authenticated by the certificate of the Trustee endorsed hereon by the manual signature of a duly authorized official of the Trustee.

IN WITNESS WHEREOF, SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY has caused this bond to be executed in its name and on its behalf by the facsimile signature of its Chairperson or other Authorized Officer, and a facsimile of its corporate seal to be imprinted hereon and attested by the facsimile signature of its Secretary or other Authorized Officer, as of the Original Issue Date shown above.

SOUTH CENTRAL CONNECTICUT
REGIONAL WATER AUTHORITY

By _____

[SEAL]

Attest

By _____

SOUTH CENTRAL CONNECTICUT REGIONAL
WATER AUTHORITY
WATER SYSTEM REVENUE BONDS, FORTY-FIRST SERIES

This bond is one of a duly authorized issue of bonds of the Authority designated “Water System Revenue Bonds, Forty-first Series,” in the aggregate principal amount of \$ _____ issued under and pursuant to Special Act. No. 77-98 of the General Assembly of the State of Connecticut, as amended (the “Act”), and under and pursuant to a resolution of the Authority adopted July 31, 1980 entitled “Water System Revenue Bond Resolution, General Bond Resolution as amended and as supplemented by various supplemental resolutions, including the Forty-first Series Supplemental Resolution, adopted _____, 20____ (which resolution, together with all supplemental resolutions hereafter adopted in conformity with the terms thereof, are herein called the “Resolution”). As provided in the Resolution, the bonds as to principal, redemption price thereof and interest thereon are payable from and secured by a pledge of certain revenues of the Authority’s Water System referred to in the Resolution and other funds held or set aside under the Resolution. Copies of the Resolution are on file at the office of the Authority and at the corporate trust office of the Trustee, and reference to the Resolution and any and all supplements thereto and modifications and amendments thereof and to the Act is made for a description of the pledge and covenants securing the bonds, the nature, extent and manner of enforcement of such pledge, the rights and remedies of the registered owners of the bonds with respect thereto, the terms and conditions upon which the bonds are issued and may be issued thereunder, the conditions upon which the Resolution may be amended or supplemented with or without the consent of the holders or registered owners of the bonds, and the terms upon which bonds may no longer be secured by the Resolution if sufficient moneys or specified securities are deposited with the Trustee in trust for their payment.

This bond is not a debt of the State of Connecticut or of any municipality in the State of Connecticut, and neither the State of Connecticut nor any municipality in the State of Connecticut is liable hereon; nor is this bond payable out of any funds other than those of the Authority as provided under the Resolution and the Act.

As provided in the Resolution, bonds of the Authority may be issued from time to time pursuant to supplemental resolutions in one or more series, in various principal amounts, may mature at different times, may bear interest at different rates and may otherwise vary as provided in the Resolution. The aggregate principal amount of bonds which may be issued under the Resolution is not limited except as provided in the Resolution, and all bonds issued and to be issued under the Resolution are and will be equally secured by the pledge and covenants made therein, except as otherwise expressly provided or permitted in the Resolution.

To the extent and in the manner permitted by the terms of the Resolution, the provisions of the Resolution, or any resolution amendatory thereof or supplemental thereto, may be modified or amended by the Authority with the written consent of the holders of at least two-thirds in principal amount of the bonds then outstanding under the Resolution and, in case less than all of a series of bonds would be affected thereby, with such consent of the holders of at least

two-thirds in principal amount of the bonds of each series so affected then outstanding under the Resolution, and, in case such modification or amendment would change the terms of any sinking fund installment, with such consent of the holders of at least two-thirds in principal amount of the bonds of the particular series and maturity entitled to such sinking fund installment then outstanding; provided, however, that, if such modification or amendment will, by its terms, not take effect so long as any bonds of any specified like series and maturity remain outstanding under the Resolution, the consent of the holders of such bonds shall not be required and such bonds shall not be deemed to be outstanding for the purpose of the calculation of outstanding bonds. No such modification or amendment shall permit a change in the terms of redemption or maturity of the principal of any outstanding bond or of any installment of interest thereon or a reduction in the principal amount or redemption price thereof or in the rate of interest thereon without the consent of the holder of such bond, or shall reduce the percentages or otherwise affect the classes of bonds the consent of the holders of which is required to effect any such modification or amendment, or shall change or modify any of the rights or obligations of the Trustee or of any Paying Agent without its written assent thereto.

This bond is transferable, as provided in the Resolution, only upon the books of the Authority kept for that purpose at the above mentioned office of the Trustee by the registered owner hereof in person or by such owner's attorney duly authorized in writing, upon surrender of this bond together with a written instrument of transfer satisfactory to the Trustee duly executed by the registered owner of this bond or such owner's duly authorized attorney, and thereupon a new registered bond or bonds in the same aggregate principal amount shall be issued to the transferee in exchange therefor as provided in the Resolution, and upon payment of the charges therein prescribed. The Authority, the Trustee and any Paying Agent may deem and treat the person in whose name this bond is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal or redemption price hereof and interest due hereon and for all other purposes.

So long as Cede & Co. is the Registered Owner of this bond, unless this certificate is presented by an authorized representative of The Depository Trust Company, a New York corporation ("DTC") to the Authority or its agent for registration of transfer, exchange, or payment and any certificate issued is registered in the name of Cede & Co. or in such other names as is requested by an authorized representative of DTC (and any payment is made to Cede & Co. or to such other entity as is requested by an authorized representative of DTC), ANY TRANSFER, PLEDGE, OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL inasmuch as the registered owner hereof, Cede & Co., has an interest herein.

Redemption Provisions.

[Optional Redemption]

[The Bonds with a stated maturity on or after [August 1, _____] are subject to redemption at the option of the Authority in denominations of \$5,000 or any integral multiple thereof, either in whole or in part, on any date on or after [August 1, _____], at a redemption price equal to 100% of the principal amount to be redeemed, plus accrued interest to the redemption date.]

notice of such redemption, which must specify the maturities and CUSIP numbers of the Bonds to be redeemed, the redemption date and the place or places where amounts due upon redemption will be payable.

The Bonds of the issue of which this bond is one are payable upon redemption at the above mentioned offices of the Trustee and the Paying Agent. Such notice is to state that on such date such Bonds to be redeemed shall become due and payable and thereafter interest thereon shall cease to accrue and be payable. Such notice will be given by publication once a week for at least two successive weeks in authorized newspapers of general circulation in New Haven, Connecticut and New York, New York, the first such publication to be not less than thirty (30) days nor more than sixty (60) days prior to the redemption date.

A copy of such notice also will be mailed not less than twenty-five (25) days before the redemption date to the registered owners of any Bonds to be redeemed. So long as Cede & Co., as nominee of DTC (as hereinafter defined) is the registered owner of the Bonds, all notices of redemption with respect to the Bonds will be sent only to DTC. Notice need not be published if all the Bonds or portions of the Bonds to be redeemed are registered Bonds and a notice in the form required for published notice is mailed, postage prepaid, to the registered owners of such Bonds at their last addresses appearing on the registry books of the Trustee. If notice of redemption shall have been published or mailed as aforesaid, the bonds or portions thereof specified in said notice shall become due and payable at the applicable redemption price on the redemption date therein designated, and if, on the redemption date, moneys for the payment of the redemption price of all the bonds to be redeemed shall be available for such payment on said date, then from and after the redemption date such bonds shall cease to accrue interest and become payable to the holders or registered owners entitled to payment thereof on such redemption.

Unless the Authority directs otherwise or an Event of Default has occurred under the General Bond Resolution and is continuing, the Trustee shall not give any notice related to Special Mandatory Redemption until one hundred and twenty (120) days after the day on which a final unappealable decision by a court of competent jurisdiction is rendered concerning such taking or the amount to be received by the Authority for such taking, or if no appeal is taken, one hundred and twenty (120) days after the last day on which such an appeal could be taken.

The Act provides that neither the members of the Authority nor any person executing this bond shall be liable personally on this bond or be subject to any personal liability or accountability by reason of the issuance of this bond.

The registered owner of this bond shall have no right to enforce the provisions of the Resolution or to institute action to enforce the covenants therein or to take any action with respect to an event of default under the Resolution or to institute, appear in or defend any suit or other proceeding with respect thereto, except as provided in the Resolution.

[Form of Certificate of Authentication]

TRUSTEE'S CERTIFICATE

This bond is one of the issue of the Water System Revenue Bonds, Forty-first Series, described herein. The facsimile signatures and seal on this bond are duly adopted facsimiles of the genuine signatures of the officers of the South Central Connecticut Regional Water Authority and of the duly adopted seal of said Authority. The opinion of Pullman & Comley, LLC was dated and delivered on the date of the original delivery of the bonds.

U.S. BANK TRUST COMPANY, NATIONAL
ASSOCIATION,
Trustee

By: _____

Its Authorized Official

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned hereby sells, assigns and transfers unto

[Please print or type name, address and social security number or other identifying number of transferee]

this bond and all rights hereunder and hereby irrevocably constitutes and appoints

as attorney to transfer this bond on the books kept for registration thereof, with full power of substitution in the premises.

Dated: _____

Signature guaranteed:

(Bank or Trust Company)

(Authorized Official)

NOTICE: This signature on this assignment must correspond with the name as it appears on the face of this bond in every particular, without alteration (Bank or Trust Company) or enlargement or any charge whatsoever.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966
<http://www.rwater.com>

TO: David J. Borowy
Dr. Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Mario Ricozzi
Suzanne C. Sack

FROM: *Rochelle* Rochelle Kowalski
Senior VP, Chief Financial Officer & Head of Corporate Development

COPY TO: Sunny Lakshminarayanan
Interim CEO

DATE: May 22, 2026

SUBJECT: Filing the budgets for Fiscal Year 2027 with the Authority's Trustee

Sections 613 and 614 of the Authority's General Bond Resolution require the Authority to adopt and file the annual operating and capital budgets with the trustee prior to the start of the approaching fiscal year (June 1, 2026).

Enclosed for your review and approval are the filings to be submitted in accordance with the sections referenced above. The fiscal 2027 capital budget, being submitted today, does not include carry-over items from FY 2026 to FY 2027.

FY 2027 OPERATING REVENUE

(000 Omitted)

	<u>FY2026 Budget</u>	<u>FY2026 Projected</u>	<u>FY2027 Budget</u>
Revenue:			
Water Sales	\$ 135,906	\$ 142,278	\$ 141,137
Investment Income	3,658	4,683	3,466
BABs Subsidy	616	616	588
Other- Net	9,759	9,984	9,886
Common Investment	(300)	(5)	(200)
Total Revenue (a)	<u>\$ 149,639</u>	<u>\$ 157,556</u>	<u>\$ 154,877</u>
Less:			
Operating & Maintenance Expense	\$ 74,062	\$ 73,772	\$ 75,999
Debt Service Transfers	50,133	49,312	51,546
PILOT Transfers	9,833	8,928	9,624
Deductions from Revenue	<u>\$ 134,028</u>	<u>\$ 132,012</u>	<u>\$ 137,169</u>
Net Revenue	<u>\$ 15,611</u>	<u>\$ 25,544</u>	<u>\$ 17,708</u>

South Central Connecticut
Regional Water Authority
Fiscal Year 2027 Budget (\$000 omitted)
Allocated by Month

	June	July	August	September	October	November	December	January	February	March	April	May	Total
Revenues:													
Water sales	\$ 10,176	\$ 13,686	\$ 13,992	\$ 13,314	\$ 12,330	\$ 11,538	\$ 10,905	\$ 12,538	\$ 12,010	\$ 10,929	\$ 9,776	\$ 9,943	\$ 141,137
Investment income	313	324	327	228	252	266	279	300	287	280	295	316	3,466
BABs subsidy	49	49	49	49	49	49	49	49	49	49	49	49	588
Other, net	997	\$ 842	807	809	793	708	786	830	772	735	755	853	9,686
Total revenue	11,534	14,901	15,175	14,400	13,424	12,561	12,019	13,716	13,118	11,992	10,875	11,160	154,877
Less:													
Operating and maintenance expenses incurred	6,221	6,430	6,477	6,543	6,344	6,247	6,251	6,519	6,033	6,242	6,205	6,487	75,999
Depreciation	896	996	996	996	996	996	996	996	996	996	996	996	11,850
Debt service transfers RWA Bonds	2,045	-	4,719	4,719	4,719	4,719	4,719	2,688	4,706	4,706	4,706	4,706	47,150
State of CT Clean Water Fund	296	296	336	360	360	360	386	386	404	404	404	404	4,397
PILOT transfers	798	767	767	767	767	767	767	845	845	845	845	845	9,624
Revenue deductions	10,255	8,488	13,294	13,385	13,186	13,089	13,118	11,433	12,984	13,192	13,156	13,438	\$ 149,020
Net revenue	1,279	6,413	1,881	1,015	238	(528)	(1,099)	2,283	134	(1,200)	(2,280)	(2,278)	\$ 5,857
Balance at beginning of month (a)	0	1,279	7,692	9,573	10,588	10,826	10,298	9,198	11,481	11,615	10,415	8,135	
Balance at end of month (a)	\$ 1,279	\$ 7,692	\$ 9,573	\$ 10,588	\$ 10,826	\$ 10,298	\$ 9,198	\$ 11,481	\$ 11,615	\$ 10,415	\$ 8,135	\$ 5,857	
Add Back Depreciation:	896	1,892	2,887	3,883	4,879	5,875	6,871	7,867	8,862	9,858	10,854	11,850	
Revised Balance at end of month	\$ 2,175	\$ 9,584	\$ 12,461	\$ 14,471	\$ 15,705	\$ 16,173	\$ 16,069	\$ 19,348	\$ 20,478	\$ 20,273	\$ 18,989	\$ 17,708	

(a) Does not represent cash balance

FY 2027 PROJECTED MAINTENANCE TEST

(000 Omitted)

	<u>FY 2026</u> <u>Budget</u>	<u>FY 2026</u> <u>Projected</u>	<u>FY 2027</u> <u>Budget</u>
Revenue:			
Water Sales	\$ 135,906	\$ 142,278	\$ 141,137
Investment Income	3,658	4,683	3,466
BABs Subsidy	616	616	588
Other - Net	9,759	9,984	9,886
Common Investment	(300)	(5)	(200)
Total Revenue	<u>\$ 149,639</u>	<u>\$ 157,556</u>	<u>\$ 154,877</u>
Less:			
Operating & Maintenance Expense	\$ 74,062	\$ 73,772	\$ 75,999
Depreciation	10,750	10,750	11,850
PILOT	9,623	8,851	9,388
Net Revenue available for Debt Service (A)	<u>\$ 55,204</u>	<u>\$ 64,184</u>	<u>\$ 57,640</u>
Debt Service Payments (C)	<u>\$ 47,494</u>	<u>\$ 46,725</u>	<u>\$ 50,499</u>
Debt Service x 114% (B)	<u>\$ 54,143</u>	<u>\$ 53,267</u>	<u>\$ 57,569</u>
Difference (A-B) - Revenue Shortfall	<u>\$ 1,061</u>	<u>\$ 10,917</u>	<u>\$ 71</u>
Revenue from Rate Stabilization Fund (D)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Coverage (A+D/C)	<u>116%</u>	<u>137%</u>	<u>114%</u>
Required Coverage	<u>114%</u>	<u>114%</u>	<u>114%</u>

South Central Connecticut
Regional Water Authority
Fiscal Year 2027 Budget (\$000 omitted)
Activity within other funds

	June	July	August	September	October	November	December	January	February	March	April	May	Summary
<u>Debt Service Fund - Interest</u>													
Balance - Beginning	\$ 8,180	\$ 10,225	\$ 10,225	\$ 2,031	\$ 4,063	\$ 6,094	\$ 8,125	\$ 10,157	\$ 10,157	\$ 2,018	\$ 4,036	\$ 6,055	\$ 8,180
Additions	2,045	-	2,031	2,031	2,031	2,031	2,031	2,031	2,018	2,018	2,018	2,018	20,275
Withdrawals	-	-	10,225	-	-	-	-	-	10,157	-	-	-	20,382
Balance - Ending	\$ 10,225	\$ 10,225	\$ 2,031	\$ 4,063	\$ 6,094	\$ 8,126	\$ 10,157	\$ 10,157	\$ 2,018	\$ 4,036	\$ 6,055	\$ 8,073	\$ 8,073
<u>Debt Service Fund - Principal</u>													
Balance - Beginning	\$ 25,720	\$ 25,720	\$ 25,720	\$ 2,688	\$ 5,376	\$ 8,064	\$ 10,752	\$ 13,440	\$ 16,128	\$ 18,816	\$ 21,504	\$ 24,192	\$ 25,720
Additions	-	-	2,688	2,688	2,688	2,688	2,688	2,688	2,688	2,688	2,688	2,688	26,875
Withdrawals	-	-	25,720	-	-	-	-	-	-	-	-	-	25,720
Balance - Ending	\$ 25,720	\$ 25,720	\$ 2,688	\$ 5,376	\$ 8,064	\$ 10,752	\$ 13,440	\$ 16,128	\$ 18,816	\$ 21,504	\$ 24,192	\$ 26,880	\$ 26,875
<u>PILLOT Fund</u>													
Balance - Beginning	\$ 4,788	\$ 5,586	\$ 1,565	\$ 2,331	\$ 3,098	\$ 3,865	\$ 4,631	\$ 5,398	\$ 1,643	\$ 2,488	\$ 3,333	\$ 4,179	\$ 3,676
Additions	798	767	767	767	767	767	767	845	845	845	845	845	9,624
Withdrawals	-	4,788	-	-	-	-	-	4,600	-	-	-	-	9,388
Balance - Ending	\$ 5,586	\$ 1,565	\$ 2,331	\$ 3,098	\$ 3,865	\$ 4,631	\$ 5,398	\$ 1,643	\$ 2,488	\$ 3,333	\$ 4,179	\$ 5,024	\$ 3,912
<u>Operating Reserve Fund</u>													
Balance - Beginning	\$ 12,344												\$ 12,344
Additions (a)	323												323
Balance - Ending	\$ 12,667												\$ 12,667
<u>Capital Contingency Fund</u>													
Balance - Beginning	\$ 6,271												\$ 6,271
Additions (b)	214												214
Balance - Ending (c)	\$ 6,485												\$ 6,485

Notes: Balances may not total due to rounding.
(a) Estimated requirement, based on April market value
(b) Estimated Fiscal 2027 additions
(c) Potential withdrawals

-CAUTION-
 THE DISCLOSURE OF CERTAIN INFORMATION ON PAGES, MAPS OR
 OTHER MATERIALS STAMPED HEREIN MAY POSE A SAFETY AND
 SECURITY RISK TO PERSONS AND/OR PROPERTY. THE
 DETERMINATION TO DISCLOSE THIS INFORMATION SHALL ONLY BE
 MADE PURSUANT TO C.G.S. SECTION 1-210.

South Central Connecticut Regional Water Authority
 5-Year Plan of Capital Improvements
 (000's omitted)

	Fiscal Year 2027	Fiscal Year 2028	Fiscal Year 2029	Fiscal Year 2030	Fiscal Year 2031	TOTAL
NATURAL RESOURCES (1)						
Land Management	\$ 25	\$ 50	\$ 50	\$ 50	\$ 50	\$ 225
Watershed Protection	100	100	100	100	100	500
Improvements to Reservoir Intakes	150	100	-	-	-	250
Improvements to Reservoir Dams & Spillways	3,700	16,250	16,575	14,900	9,525	60,950
Bridge Refurbishments	150	150	150	150	75	675
Tunnel Repairs & Improvements	25	150	150	250	250	825
Miscellaneous	370	560	714	540	1,085	3,269
	<u>4,520</u>	<u>17,360</u>	<u>17,739</u>	<u>15,990</u>	<u>11,085</u>	<u>66,694</u>
TREATMENT (2)						
Lake Saltonstall WTP Process Improvements	2,030	4,938	4,512	1,150	1,200	13,830
Lake Gaillard WTP Process Improvements	2,000	547	1,850	3,075	1,150	8,622
West River WTP Process Improvements	2,173	275	275	345	750	3,818
Lake Whitney WTP Process Improvements	1,130	925	2,100	1,450	2,100	7,705
Improvements to Groundwater Treatment Facilities	1,843	2,050	2,550	3,400	2,675	12,518
Filter Media Replacement	-	-	-	900	900	1,800
Miscellaneous	690	150	750	300	250	2,140
	<u>9,866</u>	<u>8,885</u>	<u>12,037</u>	<u>10,620</u>	<u>9,025</u>	<u>50,433</u>
TRANSMISSION & PUMPING (3)						
Pipe and Transmission Main	9,695	3,925	5,760	7,350	5,875	32,605
Cleaning and Lining	3,000	3,000	3,000	3,000	3,000	15,000
Lead Service Line Replacements	2,500	1,500	2,500	2,500	5,000	14,000
Valve Replacements	400	400	400	400	250	1,850
Service Connections & Hydrants	2,525	2,525	2,575	2,575	2,575	12,775
Meters	450	500	450	450	500	2,350
Tank Painting & Improvements	2,148	2,100	2,800	2,723	3,452	13,223
Tank Construction/Replacement	1,750	4,275	4,420	2,494	3,000	15,939
Motor Control Center Replacements/Electrical Improvements	100	150	150	150	200	750
Critical Pump Station & Transmission Facilities Upgrades	185	200	200	200	200	985
Variable Frequency Drive Replacements	150	150	200	200	200	900
Pump Station Generator Replacements	650	250	1,000	-	-	1,900
Raynham Hill Pump Station Improvements	100	1,970	-	-	-	2,070
Spring Street Pump Station Replacement	50	75	100	555	2,015	2,795
Lake Gaillard Pump Station Improvements	250	200	200	-	-	650
Devonwood Drive, Cheshire Booster Pump Station	155	1,200	-	-	-	1,355
Miscellaneous	2,475	5,757	1,880	1,934	528	12,574
	<u>26,583</u>	<u>28,177</u>	<u>25,635</u>	<u>24,531</u>	<u>26,795</u>	<u>131,721</u>
GENERAL PLANT (4)						
Information Systems	1,419	1,645	750	690	1,915	6,419
Data Center Life Cycle Replacements	595	500	500	500	500	2,595
System-Wide RTU Upgrade	-	-	-	-	-	-
Equipment	1,959	1,301	1,200	1,350	1,042	6,852
90 Sargent Drive	320	220	195	495	475	1,705
	<u>4,293</u>	<u>3,666</u>	<u>2,645</u>	<u>3,035</u>	<u>3,932</u>	<u>17,571</u>
CONTINGENCY						
	453	581	581	542	508	2,664
PROJECT RESERVE						
	8,805	-	-	-	-	8,805
TOTAL	<u>\$ 54,520</u>	<u>\$ 58,669</u>	<u>\$ 58,637</u>	<u>\$ 54,718</u>	<u>\$ 51,345</u>	<u>\$ 277,888</u>
Net Total	\$ 54,520	\$ 58,669	\$ 58,637	\$ 54,718	\$ 51,345	\$ 277,888
CONSTR. FUND STATE & REDEV REVOLV. ACCT	\$ 3,000	\$ 3,000	\$ 3,000	3,000	3,000	\$ 15,000
COMMERCIAL (6)	\$ 95	\$ 25	\$ 25	25	25	\$ 195

- (1) Projects required to provide for present and future water requirements as well as protection of existing water supplies.
- (2) Projects which are necessary to maintain compliance with all Federal and State regulations as well as provide an adequate supply for future expansion of water demand.
- (3) Projects necessary to correct deficiencies in the system and provide for current and future demands needed for both consumption and fire protection, as well as modify and upgrade pumping facilities.
- (4) Expenditures for specific items including information systems, equipment, vehicles and plant modifications.
- (5) Escalated at 3% per year.
- (6) To be funded out of the Growth Fund

South Central Connecticut Regional Water Authority
 Fiscal Year 2027 Five-Year Capital Improvement Plan
 Sources of Financing
 (\$000 omitted)

	FY 2027	FY 2028	FY 2029	FY2030	FY2031	Total
Debt Proceeds	\$ 26,505	\$ 37,520	\$ 38,329	\$ 31,855	\$ 27,873	\$ 162,082
Internally Generated Funds	28,015	21,149	20,308	22,863	23,472	\$ 115,807
Total Budget	\$ 54,520	\$ 58,669	\$ 58,637	\$ 54,718	\$ 51,345	\$ 277,889

Notes:

- 1) Debt proceeds includes all anticipated debt financing of five year capital program (e.g., RWA bonds, Connecticut Drinking Water State Revolving Funds, Bond Anticipation Notes, etc.).
- 2) Internally generated funds are estimated based on a combination of year-end FY 2026 and FY 2027 projections and the 2025 Financial Model.
- 3) Source of funds projections to be further refined with the 2026 Financial Model update.
- 4) Total budget does not include State and Redevelopment or Growth Fund funded projects.

SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY

PROPOSED RESOLUTIONS

MAY 28, 2026

(Adopt FY 2027 Budget and Authorize filing with Trustee)

RESOLVED, that the Authority's Capital Improvements budget for Fiscal Year ("FY") 2027, from June 1, 2026 – May 31, 2027, including a plan of capital improvements for the FY 2027 through FY 2031, and the additional information required by Section 614 of the *General Bond Resolution*, is hereby adopted and ordered to be filed with US Bank, Trustee, pursuant to Section 614 of the *General Bond Resolution*; and

FURTHER RESOLVED, that the Authority's Operating budget for FY 2027, from June 1, 2026 – May 31, 2027, showing on a monthly basis projected Operating Expenses, and deposits and withdrawals from several Funds required by the *General Bond Resolution*, is hereby adopted and ordered to be filed with US Bank, Trustee, pursuant to Section 613 of the *General Bond Resolution*.