South Central Connecticut Regional Water Authority
Aquarion Water Authority
835 Main Street, Bridgeport, Connecticut
or
***Dial in by phone**
+1 469-965-2517,,881698711# United States, Northlake
Phone conference ID: 881 698 711#

AGENDA

Regular Meeting of Thursday, November 19, 2026 at 12:30 p.m.

1. Call to Order
    1. Safety Moment
2. Public Comment: Statements limited to the legislative function of the Authority. The time limit granted to each speaker shall be three (3) minutes. Residents may address the Board.
3. Meet as Environmental Health & Safety Committee: M. Ricozzi
    1. Approve Minutes – September 24, 2026 special meeting
    2. HazWaste Update Memorandum
    3. Emergency Management Update Memorandum
    4. Deer Management Update Memorandum
4. Consent Agenda
    1. Approve Minutes – October 22, 2026 meeting
    2. Capital Budget Authorization – December 2026
    3. Capital Budget Transfers – December 2026
    4. Monthly Financial Report – October 2026
    5. Accounts Receivable Update – October 2026
    6. Adopt schedule of Calendar Year 2027 regular meeting dates
5. Reports on RPB Committee meetings
6. Finance: R. Kowalski
    1. FY 2028 Budget Schedule
    2. Type B3 Capital Amendment
    3. Consider and act on DWSRF financing resolution
7. LCRR Update: M. Freda
8. President's Report: S. Lakshminaryanan
    1. Monthly Business Highlights: S. Lakshminarayanan
9. Meet as Strategic Planning Committee: S. Sack
    1. Approve Minutes – August 27, 2026 meeting
    2. *FY2028 Strategic Planning Process & Key Focus Areas: S. Lakshminarayanan - *Upon 2/3 vote, convene in executive session pursuant to C.G.S. Section 1-200(6)(E) to discuss matters covered by Section 1-210(b)(5)(A)(B), pertaining to trade secrets and commercial and financial information.*
10. Meet as Commercial Business Committee: K. Curseaden *(Special Meeting)*
    1. Approve Minutes – August 27, 2026 meeting
    2. Commercial Business Strategy Update - *Upon 2/3 vote, convene in executive session pursuant to C.G.S. Section 1-200(6)(E) to discuss matters covered by Section 1-210(b)(5)(A)(B), pertaining to trade secrets and commercial and financial information.*
11. Act on matters arising from committee meetings

*Members of the public may attend the meeting in person or by conference call. To view meeting documents please visit https://tinyurl.com/4bdsdscw. For questions, contact the board office at 203-836-0337 or by email at jslubowski@rwater.com.

**RPB member (M. Levine) will be excused at Item 9.2*

Regional Water Authority

Safety Topic

Title: Leaf Season

Tap into Safety

Regional Water Authority

Autumn is a beautiful season; but equally dangerous. We all appreciate the beauty around us during the autumn season from the colorful leaves to the pumpkin-spiced fragrances, it is undeniably splendid. However, it is a season that can be filled with hazards as the chances of falling are heightened. Fallen leaves obscure slip risks on the ground. Wet leaves create slippery, dangerous surfaces without grip, making slipping and falling more likely.

Activity/Process

How to minimize the risk:

1. **Watch Your Step -** Be mindful of what could be under a leaf pile. Curbs, dips in elevation, holes, tools, and other hazards can be hidden underneath. Make sure to wear the appropriate footwear, walk with caution, and clean up leaves around a job site as needed.

2. **Clean up Fallen Leaves –** Wet leaves can be as slippery as black ice; this is especially true on any kind of inclined surface. Regularly rake up fallen leaves to keep your driveway and sidewalks clear, and mulch raked leaves or dispose of them in bags according to your city's requirements.

3. **Drive with Awareness -** Leaves can also clog storm drains, causing road ponding and minor flash flooding. This increases the risk of hydroplaning. According to the National Highway Safety Administration, a vehicle that normally needs 80 feet to stop may need up to 200 feet on wet leaves.

4. **Be Visible -** As autumn progresses, the days grow shorter, and workers must adjust to the diminishing hours of daylight. Being seen is as vital to safety as being able to see. Reflective safety vests or jackets ensure that drivers can see outdoor workers as they perform their jobs early in the morning and at dusk.

South Central Connecticut Regional Water Authority

Environmental Health & Safety Committee

Minutes

September 24, 2026

A special meeting of the Environmental, Health & Safety Committee of the South Central Connecticut Regional Water Authority ("RWA") took place on Thursday, September 24, 2026, at Aquarion Water Authority, 835 Main Street, Bridgeport, Connecticut and via remote access. Chair Ricozzi presided.

Members Present – Messrs. Ricozzi(R), Borowy, Cort, and Curseaden, and Mss. LaMarr and Sack
Management –Messrs. Freda, Lakshminarayanan, Hill(R), Singh(R) and Delvecchio(R), and Mss. Kowalski and Calo(R)
AWA – Ms. Teixeira
RPB – Mr. Mongillo(R)
Staff – Mrs. Slubowski(R)

4. MEET AS ENVIRONMENTAL, HEALTH & SAFETY COMMITTEE

Chair Ricozzi called the meeting to order at 1:10 p.m.

4.1 APPROVE MINUTES – MAY 28, 2026 MEETING

On motion made by Ms. LaMarr and seconded by Mr. Curseaden, the Committee voted to approve the minutes of its meeting held on May 28, 2026.

UNAPPROVED

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

4.2 INVASIVE PLAN AND AQUATIC SPECIES UPDATE MEMORANDUM

Mr. Lakshminarayanan, RWA President, provided an update on the RWA's invasive and aquatic species, stating that the RWA has managed invasive species across its 28,000-acre watershed to protect water quality and wildlife habitats since 2018 at over 5,800 infested acres, and treated nearly 750 acres total targeting invasive species such as Japanese barberry, stilt grass, and oriental bittersweet.

The staff has used initiatives to manage invasive plant species using eco-friendly methods to reduce reliance on traditional chemical treatments. The projects included mechanical removal, thermal treatment, and targeted herbicide applications in select areas. Early results have been positive, and staff will continue to evaluate the effectiveness of each method.

Mr. Lakshminarayanan commented that the Lake Whitney area is challenging and may require a different approach.

4.3 FORESTRY MANAGEMENT UPDATE MEMORANDUM

Mr. Lakshminarayanan reported on forestry management registry and compliance activities. He also highlighted grant-funded watershed conservation and habitat management initiatives designed to support invasive species control, enhance wildlife habitat, and advance forest management efforts across RWA watershed lands.

4.4 RAW WATER SUPPLY/MINIMUM STREAM FLOWS/SAFE YIELD/AVAILABLE WATER

Mr. Lakshminarayanan reported that that mandatory water releases, proposed in 2019, officially went into effect on September 6, 2026 and are essential to keeping downstream ecosystems healthy, especially during high-demand periods when the reservoir does not naturally overflow. These managed releases supply vital oxygen to the water, protect wildlife habitats, and mimic natural river flows.

He noted that release requirements vary based on watershed conditions, water use, and system needs. The Authority manages multiple release sites throughout the watershed, some of which require operational adjustments in response to seasonal and environmental conditions, while others maintain consistent releases with minimal intervention.

As of August 2026, approximately 90% of the required streamflow release plan was approved by the Connecticut Department of Energy and Environmental Protection, reflecting significant advancement toward full compliance.

At 1:22 p.m., on motion made by Mr. Borowy, and seconded by Ms. LaMarr, the Committee voted unanimously to adjourn the meeting.

UNAPPROVED

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

_____
Mario Ricozzi, Chair

(R) = Attended remotely.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966
http://www.rwater.com

To: Authority Environmental, Health & Safety Committee
Mario Ricozzi, Chair
David J. Borowy
Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Suzanne C. Sack

Cc: Sunny Lakshminarayanan, President

From: Kevin Watsey, Director of Public Affairs

Date: November 04, 2026

Subject: 2026 Hazardous Waste Central Report

Program Overview

HazWaste Central, co-sponsored by the Regional Water Authority (RWA) and the South Central Regional Council of Governments (SCRCOG), provides residents of participating communities with a safe and convenient way to dispose of household hazardous waste. By keeping potentially harmful materials out of the environment, the program plays an important role in protecting local waterways and natural resources.

In 2026, HazWaste Central collected 4,401 gallons of liquid hazardous waste, including solvents, pool chemicals, and paint. The program also collected more than one ton of solid hazardous waste, along with 1,224 gallons of unmixed oil and antifreeze. These collection efforts help prevent improper disposal and reduce the potential for environmental contamination.

2026 Season and Operational Improvements

The 2026 regular season operated from May 30 through October 17. Most satellite collection events were scheduled outside the regular operating season, either before opening day or after closing. This scheduling adjustment provided greater staffing flexibility and allowed personnel and resources to be allocated more efficiently between satellite events and regular HazWaste Central operations.

The revised schedule also addressed a longstanding source of public confusion. In previous years, the facility opened the week before Memorial Day, only to close the following weekend for the holiday. Moving the opening date eliminated this early-season interruption and established a more consistent operating schedule for residents.

Program Participation

The following chart illustrates program participation over the past seven years, including residential household collections and waste collected through the Conditionally Exempt Small Quantity Generator (CESQG) program.

In 2026, HazWaste Central served 4,991 residential households, representing a 24.2% decrease from the 6,588 households served in 2025. Following several years of elevated participation during the pandemic, participation has gradually returned to pre-pandemic levels when the program averaged approximately 4,600 households.

Conditionally Exempt Small Quantity Generators (CESQG)

The program also provides businesses classified as Conditionally Exempt Small Quantity Generators (CESQGs) with access to hazardous waste disposal services by appointment. Businesses are responsible for all associated service and disposal costs.

CESQG requests are referred to Clean Harbors, which works directly with each business to coordinate collection and disposal. Because these transactions occur exclusively between the business and Clean Harbors, they generate neither revenue nor expenses for HazWaste Central.

Year	CESQG Waste Summary (lbs)	Number of Residential Households (Sargent Drive and Satellite Collections Combined)
2026	750	4,991
2025	0	6588
2024	1,405	7610
2023	3,095	7068
2022	2,360	8555
2021	1,321	8850
2020	1,450	6743

*Household participation is calculated in increments of 15 gallons or 20 pounds of collected materials

Satellite Collection Events

Satellite collection events extend the reach of HazWaste Central by bringing household hazardous waste disposal services directly into participating communities. Each event is a single-day collection held at a designated municipal location, providing residents with a convenient alternative to traveling to the main facility in New Haven.

In 2026, satellite collections were held in eight communities: Guilford, Orange, Fairfield, Woodbridge, Milford, Meriden, Bethany, and, for the first time, Cheshire. The addition of Cheshire expanded the program's geographic reach and provided another community with convenient access to household hazardous waste disposal.

Satellite Collections totals

Town	2026	2025	2024	2023	2022
Cheshire	280	NA	NA	NA	NA
Guilford	266	160	329	294	369
Orange	112	230	267.5	147.5	222
Woodbridge	49	116	67.5	18.5	46.5
Meriden	177	123	102	135.5	36.5
Fairfield	101	820	635	540	459
Milford	53	246	123	55	115
Bethany	118	103	N/A	NA	NA
Satellite total	1,156	1,798	1,524	1,191	1,248
90 Sargent HazWaste Total	3,835	4,790	6,086	5,877	7,307

*Prior to 2022, records for satellite collections were not distinguished from collections in New Haven

Program Funding and Cost Recovery

HazWaste Central operates on a cost-neutral basis, with all program expenses, including RWA staffing, operational costs, and waste disposal, fully funded by participating municipalities. RWA ratepayers do not subsidize the program. HazWaste Central operates under a separate budget, independent of the RWA's regular operating expenses.

The RWA initially covers staffing and operational expenses, which are subsequently reimbursed by participating municipalities. To improve cash flow and accelerate cost recovery, the program invoices municipalities semiannually rather than annually. This approach allows the RWA to recover its expenditures more regularly while maintaining the program's cost-neutral structure.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203-562-4020
http://www.rwater.com

To: Environmental Health & Safety Committee
Mario Ricozzi, Chair
David Borowy
Todd Cort
Kevin Curseaden
Catherine E. LaMarr
Suzanne Sack

Cc: Mike Freda, CEO
Sunny Lakshminarayanan, President
Jim Hill, VP – Utility Operations

From: Nicole Smith, Natural Resources Analyst

Date: November 10, 2026

Subject: Deer Hunt Update

RWA's controlled deer hunt program is an essential part of our land management strategy because deer browse the young trees needed to regenerate the forest that help protect RWA's water supply. RWA's deer hunt program keeps deer populations under control while helping forest re-growth. There have been no complaints regarding the program in recent years.

To recap the 2025 season, after the lottery in June, we issued 182 permits for the 2025 season. Eight hunters were assigned to the Seymour/Ansonia area, 131 were assigned to North Branford, 20 assigned to Prospect and 23 assigned to Bethany. From a post-hunt survey, we estimate that the total man-days spent scouting prior to the hunt were **310.5 days**. Days spent hunting was **1048 days**, while the total man-hours were **5616.25 hours**. 27 deer were harvested during the hunt. 19 in North Branford, 1 in Seymour/Ansonia, 6 in Prospect and 1 deer was harvested in Bethany. Prior to the 2009 hunt, we established permanent regeneration plots in the area to quantify the benefit of lower deer density on the forest.

For the 2026 deer hunt, we reduced the number of open lottery spots at Lake Gaillard to 101 instead of 152 because more people hunting at Gaillard did not equal a corresponding increase in the number of deer harvested.

The number of spots taken by property this year are 101 for North Branford-Lake Gaillard; 21 for Bethany, 19 for Prospect and 8 for Ansonia -Seymour, bringing the total number of filled spots this year to 149.

The hunt began on Friday October 30, 2026 and will end on Monday November 30, 2026. The hunting hours are Monday through Saturday from 4:30am to 2:00pm. There is no hunting on Sundays. RWA is required to submit a report to the Department of Public Health detailing the deer harvested and hunter effort hours by February 15, 2027, as per the permit.

Also, this year, the RWA has requested that the Connecticut Department of Public Health (CTDPH) amend our original permit to expand the hunt into the colder month of December and the permit was approved by CTDPH. While the data is not conclusive, we have noticed that there was a warming trend, where the first two weeks on average seemed a little warmer in the last 6 years or so and deer don't move around when it's warm and hunters are also not enthusiastic during warmer temperatures due to food spoilage. The new hunting interval is between October 3 to December 31, and the DPH permit has been extended until 2036.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966
http://www.rwater.com

To: Authority Environmental, Health & Safety Committee
Mario Ricozzi, Chair
David J. Borowy
Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Suzanne C. Sack

Cc: Sunny Lakshminarayanan, President
Jim Hill, Vice President, Operations
Elizabeth Calo, Vice President, Corporate Affairs

From: Amanda Schenkle, Safety & Risk Manager

Date: November 19, 2026

Subject: Emergency Management Dashboard

The Regional Water Authority has long been recognized as a leader in utility emergency management, maintaining an emergency preparedness program designed to ensure operational resilience and service continuity. Over the years, the organization has developed and implemented a suite of emergency preparedness and response plans, including Incident Management, Critical Component Failure, Hazard-Specific Event, and Business Continuity Recovery plans. These programs provide a foundation for managing emergencies while minimizing impacts to customers, employees, infrastructure, and operations.

As part of its commitment to continuous improvement, the RWA recently launched the **SCCRWA Emergency Management Dashboard**, a centralized digital platform designed to enhance emergency response coordination, communication, and resource management. The dashboard serves as the latest advancement in emergency management technology at the utility, providing a digital environment for incident command, emergency communications, document management, and response coordination across the organization.

Built entirely in-house using existing Microsoft 365 technologies already deployed throughout the organization. The platform integrates emergency management workgroups, incident-specific communications, secure command functions, emergency documentation, and mobile accessibility into a single system that is available to responders both in the field and in the Emergency Operations Center.

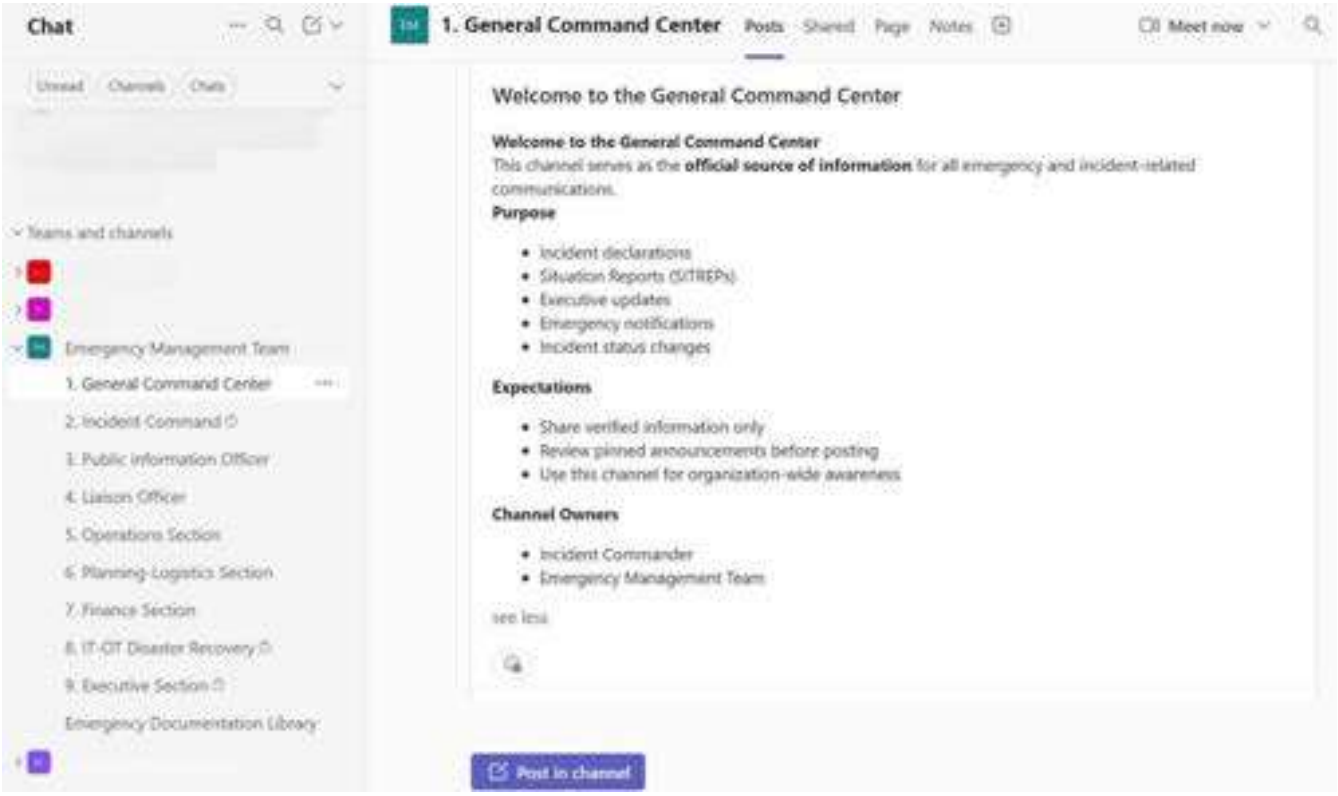
By utilizing existing enterprise technology, the RWA avoided costs associated with purchasing and implementing specialized emergency management software. This initiative reflects the RWA's ongoing commitment to innovation, operational excellence, and responsible stewardship of resources while continuing to strengthen its position as an industry leader in emergency preparedness and response.

Overview of the Dashboard

The **SCCRWA Emergency Management Dashboard** is organized into nine distinct emergency management workgroups, each with dedicated collaboration channels and access to a centralized emergency documentation library. Active incident discussions can be pinned within channels while maintaining historical event records for future reference and organizational learning.

Key Capabilities Include:

- Dedicated channels aligned to emergency management functions and workgroups.
- A General Command Center available to all members of the Emergency Management Team.
- Role-based security through private channels for Incident Command personnel and other restricted-response functions.
- Real-time messaging and collaboration between teams during emergencies.
- On-demand emergency conference calling directly within the platform.
- Infrastructure and operational status reporting capabilities.
- Centralized storage and immediate access to emergency plans, forms, and response documentation.
- Archiving of historical emergency events and response activities, creating a repository that supports after-action reviews, regulatory documentation, training, and continuous improvement
- Mobile accessibility through SCCRWA-issued smartphones, allowing response personnel to access information and collaborate from the field.



Conclusion

With the **SCCRWA Emergency Management Dashboard,** the RWA has established a secure, scalable, and mobile-enabled emergency management solution that enhances response capabilities, improves organizational resilience.

The initiative represents an innovative, cost-effective approach to emergency management modernization and reflects SCCRWA's commitment to operational excellence and preparedness.

South Central Connecticut Regional Water Authority

October 22, 2026

Minutes

The regular meeting of the South Central Connecticut Regional Water Authority ("RWA" or "Authority") took place on Thursday, October 22, 2026, at 90 Sargent Drive, New Haven, Connecticut, and via remote access. Chair Borowy presided.

Present: **Authority –** Messrs. Borowy, Curseaden Cort, and Ricozzi, and Mss. LaMarr and Sack
RWA – Messrs. Freda, Lakshminarayanan, Hill(R), and Singh(R), and Mss. Kowalski and Calo(R)
RPB – Ms. Young
Morgan Stanley – Messrs. Kelliher, McLaughlin, and Pulli
AWA – Mss. Teixeira and Szabo
JASSA Professional Services, LLC – Dr. Whiskeyman
Staff – Mrs. Slubowski

The Chair called the meeting to order at 2:33 p.m. He noted 100% attendance.

1. SAFETY MOMENT

1.1 Chair Borowy reviewed the Safety Moment distributed to members.

2. PUBLIC COMMENT

Chair Borowy offered the opportunity for members of the public to comment. There were no members of the public present.

3. MEET AS PENSION & BENEFIT COMMITTEE

At 2:33 p.m., on motion made by Ms. Sack and seconded by Mr. Ricozzi, the Authority voted to recess the meeting to meet as the Pension & Benefit Committee.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

At 3:23 p.m., Messrs. Kelliher, McLaughlin, and Pulli withdrew from the meeting and the Authority reconvened.

4. ACT ON MATTERS ARISING FROM COMMITTEE MEETING

There was no action taken resulting from the Pension & Benefit Committee meeting.

5. CONSENT AGENDA

On motion made by Mr. Ricozzi and seconded by Ms. LaMarr, the Authority voted to approve, adopt, or receive, as appropriate the following items in the Consent Agenda:

5.1 Minutes of September 24, 2026 regular meeting

5.2 Capital budget authorization for November 2026

> **RESOLVED**, that the Chief Financial Officer is authorized to submit to the Trustee one or more requisitions in an aggregate amount not to exceed $4,100,000 for the month of November 2026 for transfer from the Construction Fund for capital expenditures. Each such requisition shall contain or be accompanied by a certificate identifying such requisition and stating that the amount to be withdrawn, pursuant to such requisition, is a proper charge to the Construction Fund. Such requisitions are approved notwithstanding the fact that amounts to be withdrawn for a particular project may exceed the amount indicated for such month and year in the current Capital Improvement Budget but will not cause the aggregate amount budgeted for fiscal year 2027 for all Capital Improvement Projects to be exceeded. In the absence of the Chief Financial Officer, the Controller is authorized to sign in her place.

5.3 Monthly Financial Report for September 2026.

5.4 Accounts receivable update for August 2026.

5.5 RPB Land Use Committee Special Topic – Six Lakes Project Update.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

6. REPORTS ON RPB COMMITTEE MEETINGS

Authority members reported on recent RPB committee meetings.

At 3:28 p.m., Messrs. DelVecchio and Olejarczyk entered the meeting.

7. FINANCE

7.1 TEN YEAR MODEL

Ms. Kowalski, Chief Executive Officer, provided an update of the Ten-Year Model, which included:

- Underlying Assumptions and Other Considerations
- Background
- Review of Target Model
- Various Scenarios
- Recommendations and Next Steps

At 4:12 p.m., on motion made by Mr. Ricozzi and seconded by Mr. Curseaden, the Authority voted to go into executive session, to review the Ten-Year Model, pursuant to C.G.S. Section 1-200(6)(E) to discuss matters covered by Section 1-210(b)(5)(B), pertaining to commercial and financial information. Present in executive session were Authority members, Messrs. Freda,

Lakshminarayanan, DelVecchio, Hill, Olejarczyk. and Singh, and Mss. Young, Kowalski, Calo, and Slubowski.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

At 4:43 p.m., the Authority came out of executive session and Messrs. DelVecchio and Olejarczyk withdrew from the meeting.

8. PRESIDENT'S REPORT

8.1 MONTHLY BUSINESS HIGHLIGHTS

Mr. Lakshminarayanan, RWA President provided a business update, which included ongoing customer outreach for commercial services.

He also highlighted RWA's Customer Service Week events that took place to recognize employees.

Mr. Freda, Chief Executive Officer ("CEO"), reported on recent virtual town halls held with RWA and AWA employees to introduce the shared CEO role and provide an opportunity for employee questions and discussion. He noted the sessions were well attended and successful, generating approximately 400 attendees.

At 4:53 p.m., on motion made by Mr. Ricozzi and seconded by Mr. Curseaden, the Authority voted to adjourn the meeting.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

_____
Catherine E. LaMarr, Secretary

(R) = Attended remotely.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203.562.4020
http://www.rwater.com

MEMORANDUM

TO: David J. Borowy
Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Mario Ricozzi
Suzanne C. Sack

FROM: Rochelle Kowalski
Chief Financial Officer for Regional Water Authority & Aquarion Water Authority

DATE: November 13, 2026

SUBJECT: Capital budget authorization request for December 2026

Attached for your meeting on November 19, 2026, is a copy of the resolution authorizing expenditures against the capital improvement budget for December 2026. The amount of the requested funds held by the trustee is $3,900,000.

In addition, approximately $15,000 is expected to be used for the HSV Enhancements & CMS Upgrade project from the Growth Fund.

This would result in projected expenditure through December 2026 of $30,575,524 or approximately 51.2% of the total 2027 fiscal year budget, including State and Redevelopment.

Attachment

RESOLVED That the Chief Financial Officer for Regional Water Authority & Aquarion Water Authority is authorized to submit to the Trustee one or more requisitions in an aggregate amount not to exceed $3,900,000 for the month of December 2026 for transfer from the Construction Fund for capital expenditures. Each such requisition shall contain or be accompanied by a certificate identifying such requisition and stating that the amount to be withdrawn pursuant to such requisition is a proper charge to the Construction Fund. Such requisitions are approved notwithstanding the fact that amounts to be withdrawn for a particular project may exceed the amount indicated for such month and year in the current Capital Improvement Budget but will not cause the aggregate amount budgeted for fiscal year 2027 for all Capital Improvement Projects to be exceeded. In the absence of the Chief Financial Officer for the Regional Water Authority & Aquarion Water Authority, the Controller is authorized to sign in her place.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203-562-4020
http://www.rwater.com

TO: David J. Borowy
Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Mario Ricozzi
Suzanne C. Sack

FROM: Rochelle Kowalski

COPY TO: Sunny Lakshminarayanan

DATE: November 13, 2026

SUBJECT: Capital Budget Transfers

The status of all capital projects is reviewed monthly. To obtain efficiencies in our capital program, any anticipated unspent funds are reallocated to support reprioritized projects or existing projects.

The attached summary reflects two amendments. These amendments redistribute funds from two projects to another single project, totaling $221,000.

Source of Funds	Available Funds	Reason	Reallocation to Projects
Armory Street Pump Station Chimney	$ 171,000	Project on hold while approach and scope are re-evaluated	Laboratory Equipment
Miscellaneous Pump Station Infrastructure Improvements	$ 50,000	No work identified for FY 2027	Lake Gaillard Pump Station Improvements

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	11/12/2026	Type	Log	Mo/Yr
Requesting Division:	Operations	B2	27-05	11/26
Requested By:	Richard Sibley			

Transfer From:		
Account Number:	001-000-107121-061063	
Project Description:	Armory Street Pump Station Chimney	
A) Original Budget	$	510,000
B) Total Previous Transfers (In or Out)	$	-
C) This Transfer	$	171,000
D) Revised Budget (A+/-B-C)	$	339,000
E) Estimated Project Costs	$	228
F) Remaining Funds Available for Transfer, if any (D-E)	$	338,772

Explanation why funds are available:
Project has been placed on hold while project approach and scope are reevaluated.

Transfer To:		
Account Number:	001-000-107195-104634	
Project Description:	Laboratory Equipment	
A) Original Budget	$	150,000
B) Previous Transfers (In or Out)	$	-
C) Revised Budget (A+/-B)	$	150,000
D) Amount to be Transferred	$	171,000
E) Proposed Revised Budget (C+D)	$	321,000

Explanation why funds are needed:
This amendment provide additional funds necessary for the purchase of a Raman microscope to support internal testing and outside laboratory services. A Raman microscope is used for analyzation of water samples to detect microplastics. Microplastics are an emerging and pervasive contaminate for which regulations are currently being developed. This microscope will allow RWA to prepare for these future regulations and evaluate the current state of RWA's water sources and effluent for microplastics. Total cost is estimated at $275,000.

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	Approved at CMC	11/12/2026
2) Donor Vice President/Director	Approved at CMC	11/12/2026
3) CFO	Approved at CMC	11/12/2026
4) President	Approved at CMC	11/12/2026
5) Authority Members	Copy of minutes attached if required	

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	11/12/2026	Type	Log	Mo/Yr
Requesting Division:	Operations	B1	27-06	11/26
Requested By:	Amrik Matharu			

Transfer From:		
Account Number:	001-000-107125-000019	
Project Description:	Miscellaneous Pump Station Infrastructure Improvements	
A) Original Budget		$ 50,000
B) Total Previous Transfers (In or Out)		$ -
C) This Transfer		$ 50,000
D) Revised Budget (A+/-B-C)		$ -
E) Estimated Project Costs		$ -
F) Remaining Funds Available for Transfer, if any (D-E)		$ -

Explanation why funds are available:
No improvements are anticipated for FY 2027.

Transfer To:		
Account Number:	001-000-107125-116106	
Project Description:	Lake Gaillard Pump Station Improvements	
A) Original Budget		$ 250,000
B) Previous Transfers (In or Out)		$ -
C) Revised Budget (A+/-B)		$ 250,000
D) Amount to be Transferred		$ 50,000
E) Proposed Revised Budget (C+D)		$ 300,000

Explanation why funds are needed:
This amendment will provide the additional funds necessary to rebuild the existing pump and motor for Lake Gaillard Distribution Pump number 6. RWA has rehabilitated Lake Gaillard distribution pump numbers 1, 2, 3, and 5 within the last two years. Pump number 6 has been identified as a high-priority pump requiring immediate service, with the last documented service occurring in 2015. This work is necessary for the long-term needs of the distribution system. Total cost of the work is estimated at $110,000.

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	*Approved at CMC*	11/12/2026
2) Donor Vice President/Director	*Approved at CMC*	11/12/2026
3) CFO	*Approved at CMC*	11/12/2026
4) President	*Approved at CMC*	11/12/2026
5) Authority Members	Copy of minutes attached if required	

REGIONAL WATER AUTHORITY

REVIEW OF FINANCIAL DATA
Oct 31, 2026 (FY 2026)

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION

Operating Revenues

FY27 revenue for water, including wholesale and fire service, is over budget by $1,441k (approx. 2.2%).
Metered water revenue is over budget by $1,571k (approx. 2.7%)

Total net other revenue is $140k over budget, driven by higher net other water revenue and lower net other water and proprietary expense.

Operating Expenses

Operating and Maintenance Expenses are currently under budget due to the following:		Oct-26
Payroll is under budget due to lower than budgeted headcount and other factors.	$	(632,000)
Employee Benefits are under budget due to year-to-date medical expense and timing.		(647,000)
Transportation is under budget due to year-to-date vehicle insurance and vehicle repairs as well as O&M/non-O&M mix.		(136,000)
Utilities & Fuel is under budget primarily due to year-to-date electric service.		(103,000)
Material From Inventory is under budget primarily due to timing.		(66,000)
Pump Power is under budget due to year-to-date electric service.		(304,000)
Chemicals Expense is over budget due to various factors including turbidity and timing.		441,000
Collection Expense is over budget due to net attorney fees and payment processing fees.		71,000
Business Improvement is under budget due to lower year to date anticipated costs and timing.		(95,000)
Public/Customer Information is under budget due lower than anticipated costs and timing.		(103,000)
Outside Services is under budget across multiple areas.		(548,000)
Training is under budget due primarily due to timing.		(69,000)
Environmental Affairs is under budget primarily due to timing.		(63,000)
Info. Technology Licensing & Maintenance Fees are over budget primarily due to timing.		69,000
Maintenance & Repairs are under budget primarily due to timing.		(346,000)
All Other		(142,000)
		(2,673,000)

Interest Income

Interest Income is above budget primarily due to higher investment earnings.

PROJECTED MAINTENANCE TEST

The projected coverage is 1.18 with no shortfall.

REGIONAL WATER AUTHORITY
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE MONTHS ENDING OCT 31, 2026

	FY 2026 Actual	FY 2027 Budget	FY 2027 Actual	(Under)Over Budget
Operating revenues				
Metered water revenues	$ 58,963	$ 58,351	$ 59,922	$ 1,571
Fire service	5,991	6,139	6,025	(114)
Wholesale	444	414	398	(15)
Other revenue - water	1,876	1,854	1,898	44
Other revenue - proprietary	7,007	7,069	6,805	(264)
Total operating revenues	74,281	73,827	75,049	1,222
Operating expenses				
Operating and maintenance expense	29,394	32,015	29,342	(2,673)
Expense associated with other revenue - water	813	1,018	800	(217)
Expense associated with other revenue - proprietary	3,328	3,401	3,258	(142)
Provision for uncollectible accounts	384	208	(0)	(208)
Depreciation and amortization	11,146	12,029	12,029	0
Payment in lieu of taxes	4,079	3,990	3,990	(0)
Amortization Pension Outflows/Inflows	852	(838)	(838)	(0)
Amortization OPEB Outflows/Inflows	(60)	(144)	(144)	0
Total operating expenses	49,935	51,678	48,437	(3,241)
Operating income	24,346	22,149	26,612	4,463
Nonoperating income and (expense)				
Interest income	3,833	2,799	3,605	805
(Loss) Gain on disposal of assets	(7)	(166)	(21)	145
Realized and unrealized (losses) gains on investments	-		-	-
Interest expense	(8,917)	(8,956)	(8,759)	197
Amortization of bond discount, premium, issuance cost and deferred losses	1,187	1,345	1,589	244
Amortization of Goodwill	-		-	-
Intergovernmental revenue	957		94	94
Contributions to related entities	-	-	-	-
Total nonoperating income and (expense) before captial contribution	(2,948)	(4,977)	(3,492)	1,485
Income (expense) before contributions	21,398	$ 17,172	23,120	$ 5,949
Capital contributions	162		262	
Change in net assets	21,560		23,382	
Total net assets - beginning of fiscal year	359,744		406,092	
Total net assets - end of reporting month	381,304		$ 429,474	

FY 2027 MAINTENANCE TEST (Budget vs. Projected)	Budget FY 2027 @114%	Projected FY 2027 @114%	(Under)Over FY 2027 @114%
Revenue Collected:			
Water sales	141,137	140,387	(750)
Interest Income	3,466	4,374	908
BABs Subsidy	588	588	-
Other Net	9,886	9,636	(250)
Common Non-Core	(200)	(125)	75
Total	154,877	154,860	(17)
Less:			
Operating and maintenance expenses	(75,999)	(75,999)	-
Depreciation	(11,850)	(11,850)	-
PILOT (A)	(9,388)	(9,388)	-
Net Avail for Debt Service (B)	$ 57,640	$ 57,623	$ (17)
Debt Service Payments (C)	$ 50,499	$ 48,903	$ (1,596)
Debt Service @ 114% (D)	$ 57,569	$ 55,749	$ (1,820)
Difference (B-D)	$ 71	$ 1,874	
RSF, Growth and/or General Fund (D)	-	-	
Coverage	114%	118%	

REGIONAL WATER AUTHORITY
OPERATING AND MAINTENANCE EXPENSE
OCT 31, 2026

PERIOD ENDING OCT 31, 2026

		FY 2026 Actual	FY 2027 Budget	FY 2027 Actual	(Under) Over
1	Payroll	$ 11,609	$ 12,293	$ 11,660	$ (632)
2	Employee Benefits	3,746	4,609	3,962	(647)
	Pension Contributions	1,101	931	931	0
3	Administrative Building	469	546	531	(14)
4	General & Administrative	666	563	541	(22)
5	Transportation	297	442	307	(136)
6	Tools & Stores	121	186	159	(27)
7	Utilities & Fuel	879	1,047	944	(103)
8	Material From Inventory	171	161	95	(66)
9	Pump Power Purchased	1,961	2,161	1,857	(304)
10	Chemicals	1,650	1,578	2,019	441
11	Road Repairs	169	133	129	(4)
14	Postage	233	283	237	(46)
15	Printing & Forms	15	27	10	(17)
17	Collection Expense	465	581	652	71
18	Business Improvement	127	189	94	(95)
19	Public/Customer Information	67	153	51	(103)
20	Outside Services	1,038	1,402	853	(548)
21	Insurance Premiums	1,065	1,021	1,066	45
22	Worker's Compensation, pre-Churchill	(13)	17	22	5
23	Damages	34	35	20	(16)
24	Training & Cont. Education	78	167	98	(69)
25	Authority Fees	63	83	80	(3)
26	Consumer Counsel	23	25	11	(14)
27	RPB Fees	121	146	126	(20)
28	Organizational Dues	39	13	51	38
29	Donations	24	15	8	(7)
34	Central Lab/Water Quality	70	181	157	(25)
40	Environmental Affairs	77	171	107	(63)
44	Info. Technology Licensing & Maintenance Fees	1,667	1,139	1,208	69
45	Maintenance and Repairs	1,264	1,584	1,239	(346)
46	Regulatory Asset Amortization	97	133	116	(16)
		$ 29,394	$ 32,015	$ 29,342	$ (2,673)

South Central Regional Water Authority

Analysis of Accounts Receivable ("A/R")
($000 omitted)

Total Accounts Receivable Aging (in days)

	Oct 2026	Sep 2026	Aug 2026	July 2026	June 2026	May 2026	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025
Under 30	8,164	8,406	8,568	9,587	7,435	7,127	6,811	7,331	8,099	6,847	7,107	8,314	7,898
31-60	1,475	1,870	1,599	1,492	1,360	1,366	1,464	1,701	1,471	1,339	1,615	1,778	1,737
61-90	778	757	799	666	732	833	754	729	703	761	793	884	981
91-180	1,184	1,214	1,240	1,168	1,219	1,101	1,075	1,141	1,281	1,272	1,359	1,053	1,458
181-360	1,083	1,132	1,052	952	1,006	1,060	1,124	1,240	1,159	1,182	1,189	1,169	1,155
More than 1 year	2,581	2,570	2,642	2,636	2,645	2,759	2,842	3,006	2,815	2,860	2,836	2,937	2,898
Sub Total	15,265	15,949	15,900	16,501	14,397	14,246	14,070	15,148	15,528	14,261	14,899	16,135	16,127
Interest due	1,557	1,540	1,536	1,522	1,498	1,492	1,500	1,512	1,572	1,451	1,471	1,468	1,166
Total Gross A/R plus interest *****	$ 16,822	$ 17,489	$ 17,436	$ 18,023	$ 15,895	$ 15,738	$ 15,570	$ 16,660	$ 17,100	$ 15,712	$ 16,370	$ 17,603	$ 17,293

Aged Accounts Receivable Focus of Collection Efforts

Greater than 60 days:	Oct 2026	Sep 2026	Aug 2026	July 2026	June 2026	May 2026	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025
A/R	$ 7,012	$ 7,043	$ 7,108	$ 6,750	$ 6,817	$ 7,049	$ 7,116	$ 7,442	$ 7,333	$ 7,354	$ 7,487	$ 7,325	$ 7,651
Less: Multi-Tenants	(1,871)	(1,793)	(1,885)	(1,704)	(1,754)	(1,867)	(1,792)	(1,855)	(2,169)	(1,947)	(2,429)	(1,993)	(1,941)
Receiverships****	(1,667)	(1,723)	(1,774)	(1,708)	(1,764)	(1,581)	(1,588)	(1,391)	(1,437)	(1,433)	(1,430)	(1,400)	(1,407)
Liens	(889)	(918)	(1,022)	(1,105)	(1,204)	(1,318)	(1,389)	(1,616)	(1,680)	(1,649)	(1,192)	(1,269)	(1,261)
Sub Total	$ 2,585	$ 2,609	$ 2,427	$ 2,233	$ 2,095	$ 2,283	$ 2,347	$ 2,580	$ 2,047	$ 2,325	$ 2,436	$ 2,663	$ 3,042
	37%	37%	34%	33%	31%	32%	33%	35%	28%	32%	33%	36%	40%
Sub Total	$ 2,585	$ 2,609	$ 2,427	$ 2,233	$ 2,095	$ 2,283	$ 2,347	$ 2,580	$ 2,047	$ 2,325	$ 2,436	$ 2,663	$ 3,042
AR on Payment Plan Enrollments GT 60, no Multi, no Legal	(305)	(255)	(294)	(304)	(300)					-	-	-	-
Total	2,280	2,354	2,133	1,929	1,795	2,283	2,347	2,580	2,047	2,325	2,436	2,663	3,042
	33%	33%	30%	29%	26%	32%	33%	35%	28%	32%	33%	36%	40%

Collection Efforts

	Oct 2026	Sep 2026	Aug 2026	July 2026	June 2026	May 2026	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025
Shuts *	$ 30	$ 27	$ 24	$ 4	$ 33	$ 48	$ 66	$ 46	$ 13	$ 63	$ 14	$ 31	$ 28
Red Tags **	30	18	23	9	11	10	64	25	59	36	4	2	7
Receivers	57	108	111	44	188	85	101	23	1	12	14	3	12
Top 100 Collection Calls	278	413	309	393	493	515	452	534	628	632	127	178	137
Payment Plan Payments GT 60, no Multi, no Legal***	57	40	48	48	46								
Other [1]	1,329	896	1,085	907	487	-	-	-	-	-	-	-	-
Total	$ 1,781	$ 1,502	$ 1,600	$ 1,405	$ 1,258	$ 1,374	$ 1,722	$ 1,501	$ 1,404	$ 1,645	$ 1,899	$ 1,676	$ 1,901
* Number of shuts	132	123	98	70	124	174	224	134	45	198	195	233	248
** Number of Red tags	128	110	112	71	37	61	129	89	234	100	51	32	45
***'Number of Payment Plan Enrollments, GT 60, no Multi, no Legal	709	613	677	686	734								

****Receivership, Stipulated & Bankruptcy

*****Results from prior months April 2025 to October 2025 were based on newly developed reports that have since been refined.

[1] Includes: Notices and letters and legal initiatives.

Note 1: Aging buckets are estimates

RWA and Committees

2027 Meeting Dates

January 28

February 25

March 25

April 22 *(Passover)*

May 27

June 24

July 22

August 26

September 23

October 28

November 18

December 16

RWA Committees

2027 Meeting Dates

January 22	February 26	March 26	April 23	May 28	June 25
Compensation Pension Strategic	Audit-Risk	EH&S Commercial Business	Compensation Pension	Audit-Risk EH&S	Commercial Business Strategic Planning
July 23	**August 27**	**September 24**	**October 22**	**November 19**	**December 17**
Compensation Pension	EH&S Strategic	Audit-Risk Commercial Business	Pension	Commercial Business EH&S	Audit-Risk Commercial Business Compensation

SUMMARY SCHEDULE OF IMPORTANT DATES FISCAL 2027 PROJECTIONS AND FISCAL 2028 BUDGET REQUESTS			
		2026-2027	
		Sep 21, 2026	Management begins capital budgetary process by requesting project managers to complete capital budget input forms
		Dec 4, 2026	Management begins O&M budgetary process by requesting projections for FY27 payroll through May 31, 2027
		Dec 2026 to Feb 2027	Finance requests budget managers to project FY27 and FY28 O&M expenses and revenue
		Mar 15, 2027	Management-approved FY28 capital and operating budgets are ***electronically sent*** to the Authority
A		Mar 29, 2027 (or later in the week)	Management discusses FY28 capital budget with the Authority, anticipating that the Authority will approve distribution of preliminary capital budget to the RPB. **(special meeting)**
A		Mar 29, 2027 (or later in the week)	Management discusses FY28 operating budget with the Authority, anticipating that the Authority will approve distribution of preliminary operating budget to the RPB. **(special meeting)**
		Apr 1, 2027 (subject to above)	Management ***electronically sends*** the Authority's preliminary budgets to the RPB and Office of Consumer Affairs
		Apr 12 to Apr 16, 2027	Meet with OCA to discuss budgets – if requested
R		Apr 12 to Apr 16, 2027	RPB Finance Committee meets with management **(potential special meeting)**
R		Apr 12 to Apr 16, 2027	Land Use Committee and Consumer Affairs Committee meet with management **(potential special meeting)**
R		***	Prior to Finance Committee meeting on 5/10/27 (regular meeting), the chairs of the Land Use Committee and Consumer Affairs Committee convey their comments to the Finance Committee
R		May 10, 2027	RPB Finance Committee (regular meeting) meets to comment on the budget (other RPB members are invited to attend)
A & R		May 26, 2027	Regular full RPB meeting with Authority in attendance -- Finance Committee makes its recommendation regarding the FY28 budgets to the full RPB
A		May 26, 2027	Authority reviews and votes on operating and capital budgets. **(meet after RPB Board meeting)**
		May 27, 2027	Management sends approved budgets to Trustee by 5/27/2027
R		RPB / RPB Committee meetings	
A		Authority meetings	

Note: Good Friday is March 26, 2027, Easter is March 28, 2027, Passover is from Wed. (evening) April 21, 2027 through Thur. (last day) April 29, 2027.
Other holidays include Monday, January 18, 2027 Martin Luther King Day and Monday, May 31, 2027 Memorial Day

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203-562-4020
http://www.rwater.com

TO: David J. Borowy
Todd Cort
Kevin J. Curseaden
Catherine E. LaMarr
Mario Ricozzi
Suzanne C. Sack

FROM: Rochelle Kowalski

DATE: November 13, 2026

SUBJECT: Capital Budget Transfer – Type B3 Amendments

As prescribed in the Capital Budget Manual, Exhibit 12, Capital Budget Amendment Procedure, Part 1, Type B Amendment, Item 3, Authority approval is required for a transfer of funds from an existing capital account to either another capital account or a newly created capital account if the value is greater than $500,000.

Grassy Hill Road Main Improvements: Installation of approximately 1,625 feet of 12-inch water main has been identified to strengthen the water distribution system and improve hydraulic performance, system connectivity, available flow, and service reliability in this area of distribution system. Amendment No. 27-04 will transfer $550,000 from the Lake Whitney Dam & Spillway Improvements project account into the Grassy Hill Road Main Improvements capital budget account.

The following resolution will be necessary to carry out the foregoing:

Resolved: that the Authority approves the transfer of $550,000 from the Lake Whitney Dam & Spillway Improvements capital budget account to the Grassy Hill Road Main Improvements capital budget account.

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	11/12/2026	Type	Log	Mo/Yr
Requesting Division:	Engineering & Environmental Services	B3	27-04	11/26
Requested By:	Tom Adamo			

Transfer From:		
Account Number:	001-000-107112-066506	
Project Description:	Lake Whitney Dam & Spillway Improvements	
A) Original Budget	$	3,500,000
B) Total Previous Transfers (In or Out)	$	-
C) This Transfer	$	550,000
D) Revised Budget (A+/-B-C)	$	2,950,000
E) Estimated Project Costs	$	1,500,000
F) Remaining Funds Available for Transfer, if any (D-E)	$	1,450,000

Explanation why funds are available:
As a result of the time necessary to receive DPH and other permitting approvals, project schedule has shifted and project work for FY 2027 is expected to complete under budget.

Transfer To:		
Account Number:	To be created	
Project Description:	Grassy Hill Road Main Improvements, Orange	
A) Original Budget	$	-
B) Previous Transfers (In or Out)	$	-
C) Revised Budget (A+/-B)	$	-
D) Amount to be Transferred	$	550,000
E) Proposed Revised Budget (C+D)	$	550,000

Explanation why funds are needed:
This amendment will provide funds necessary for the installation of an approximately 1,625' of 12" water main along Grassy Hill Road in Orange necessary to improve hydraulic performance. The new main will enhance system connectivity, increase available flow, and improve overall service reliability for customers served by the system in that area. This improvement will also improve system redundancy, operational flexibility of the existing infrastructure, and support the long-term needs of the system. The total cost is estimated to be $550,000.

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	Approved at CMC	11/12/2026
2) Donor Vice President/Director	Approved at CMC	11/12/2026
3) CFO	Approved at CMC	11/12/2026
4) President	Approved at CMC	11/12/2026
5) Authority Members	Copy of minutes attached if required	

Rev 6/02

CONNECTICUT REGIONAL WATER AUTHORITY
RESOLUTION
APPROVING THE PROPOSED ISSUANCE OF BONDS

RESOLVED: That the Authority hereby establishes the general terms and provisions of the Authority's Bonds which may be issued as Project Loan Obligations delivered to the State of Connecticut (the "Bonds") which are to be issued under its Water System Revenue Bond Resolution, General Bond Resolution adopted by the Authority and approved by the Representative Policy Board on July 31, 1980, as amended (the "General Bond Resolution").

1. The Bonds shall not exceed Three Million Seven Hundred Fifty Thousand Dollars ($3,750,000.00) in aggregate principal amount or so much as may be necessary after deducting grants or other sources of funds available for the Project (as hereinafter defined).

2. The Bonds may be issued as obligations in one or more series pursuant to the General Bond Resolution and a supplemental resolution to be adopted by the Authority for each series of Bonds, each of which shall specify the amount of the Bonds, the purposes for which the Bonds are to be issued, the date or dates, maturities, sinking fund installments if any, interest rates, series, denominations, form, redemption prices, security provisions and such other details of the Bonds as the Authority shall determine in accordance with the limits established by the General Bond Resolution and hereby.

3. The purposes of the Bonds shall be (I) to finance or refinance the cost of the Lead Service Line Replacements Project, Phase I, in Milford, Connecticut; (II) to provide funds for deposit to the Capital Contingency Fund and the Debt Reserve Fund, as necessary pursuant to the General Bond Resolution and as permitted by the Internal Revenue Code of 1986, as amended and (III) to pay costs of issuance (the "Project").

4. The Bonds may be sold by negotiation as serial or term bonds with stated maturities and may be sold in a private or direct placement to a bank or the State of Connecticut.

5. The Authority reasonably expects to incur expenditures (the "Expenditures") in connection with the Project of which a general functional description is provided above. The Authority reasonably expects to reimburse itself for the cost of Expenditures with respect to the Project with the proceeds of tax-exempt debt to be issued by the Authority within eighteen (18) months after the date of any Expenditure or the date the Project is placed in service or abandoned, whichever is later. The maximum principal amount of such debt with respect to the Project is not expected to exceed $3,750,000.

6. The form of this resolution entitled "Resolution Approving the Proposed Issuance of Bonds" a copy of which shall be filed with the records of the Authority, shall be submitted to the Representative Policy Board for its approval in accordance with Section 22 of Special Act No. 77-98, as amended.

BE IT FURTHER RESOLVED that:

1. Temporary notes of the Authority which may be issued as Interim Funding Obligations delivered to the State of Connecticut (the "State") may be issued by the Authority in the amount of $3,750,000 in anticipation of the receipt of the proceeds from the sale of such Bonds.

2. The President, the Chief Executive Officer, the Chief Financial Officer or any one of them may apply to the State's Department of Public Health for eligibility and funding of the Project or any part of the Project and sign such application and any other documents which may be necessary or desirable to apply for eligibility of and to apply for and obtain financial assistance for the Project from the State's Drinking Water Fund Program and that any such action taken prior hereto is hereby ratified and confirmed.

3. For the purpose of providing to the Authority the loan and grant from the State, the Authority hereby approves the Project Loan and Subsidy Agreement by and between the State and the Authority related to the Project substantially in the form as the President, Chief Executive Officer or Chief Financial Officer shall deem advisable and the President, Chief Executive Officer and Chief Financial Officer each have authority to execute the Project Loan and Subsidy Agreement and the approval of the Authority shall conclusively be determined from any of their signatures thereon.

South Central Connecticut Regional Water Authority

Strategic Planning Committee

August 27, 2026

Minutes

The regular meeting of the Strategic Planning Committee ("Committee") of the South Central Connecticut Regional Water Authority ("RWA") took place on Thursday, August 27, 2026, at 90 Sargent Drive, New Haven, Connecticut and via remote access. Chair Sack presided.

Committee Present – Mss. Sack(R) and LaMarr, and Messrs. Borowy, Cort, Curseaden, and Ricozzi(R)
RWA Management – Mss. Kowalski and Calo(R) and Messrs. Lakshminarayanan, Hill(R), and Singh(R)
Aquarion Water Authority – Ms. Teixeira
RPB – Mr. Havrda
JASSA Professional Services, LLC – Dr. Whiskeyman
Staff: Ms. Slubowski(R)

7. MEET AS STRATEGIC PLANNING COMMITTEE

The Chair called the meeting to order at 1:41 p.m.

7.1 APPROVE MINUTES – JUNE 25, 2026 MEETING

UNAPPROVED

On motion made by Ms. LaMarr and seconded by Mr. Curseaden, the Committee voted to approve the minutes of its June 25, 2026 meeting.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

7.2 STRATEGIC PLAN UPDATE

Dr. Whiskeyman, the Authority's Strategic Planning Consultant, provided an update of the board's strategic plan, which included the evolution of the strategic planning process, including key regulatory, legal, and organizational milestones that shaped the Authorities' direction, particularly the Aquarion Water Authority ("AWA") transaction and ongoing compliance obligations. The revised strategy is centered on six strategic priorities: 1) Quality Water Through Operational Excellence, 2) Financial Sustainability, 3) Board Governance and Organizational Coherence, 4) People, Succession, Culture, and Safety, 5) Customer and Community Engagement, and 6) Security, Risk, and Compliance. While the Board members and CEO are shared across both RWA and AWA, the Board affirmed a single overarching strategy supported by separate implementation plans that recognize each Authority's distinct legal responsibilities, operational requirements, and regulatory obligations.

Dr. Whiskeyman and the board discussed and approved refinements to an earlier draft based on inputs received. Consistent with its governance responsibilities, the board will focus on setting outcome, policy direction, risk parameters, and accountability expectations.

Dr. Whiskeyman provided the following key recommendations:

- Strengthening Strategic Alignment
- Improving Operational Efficiency

- Enhancing Communication and Collaboration
- Leveraging Data for Decision-Making
- Investing in People and Capabilities
- Strengthening Risk Management
- Driving Continuous Improvement

Ms. Sack and Authority members thanked Dr. Whiskeyman and his team for their collaboration with the Board in developing a comprehensive strategic plan, particularly during the transition from one entity to two entities. She noted that the plan enables the Board to maintain an appropriately high-level strategic and oversight role while remaining separate from day-to-day management and organizational operations.

Ms. Sack also expressed appreciation to the Board members for their participation throughout the strategic planning process.

Mr. Curseaden commented on the Board's willingness to move forward as the Authority Board with a strategic plan that provides clear purpose and direction during the transition period. He also expressed appreciation for Ms. Sack's emphasis on maintaining a high-level strategic focus.

Discussion followed regarding the employee engagement program and the role of the Chief Executive Officer and Leadership Team in influencing the organization's strategy. The Board also discussed the concept of guardrails and applicability. The Board's role is to approve policy-level direction associated with the strategic plan for implementation.

UNAPPROVED

After discussion, on motion made by Mr. Curseaden and seconded by Mr. Cort, the Authority voted unanimously to recommend the Strategic Plan to the Authority for adoption, as presented. The Chair called for the vote and the recommendation was unanimously approved.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

At 2:09 p.m., on motion made by Mr. Borowy and seconded by Ms. LaMarr, the Committee voted to adjourn the meeting.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

_____
Suzanne Sack, Chair

South Central Connecticut Regional Water Authority
Strategic Planning Committee
August 27, 2026

(R) = Attended remotely.

UNAPPROVED

South Central Connecticut Regional Water Authority

Commercial Business Committee

August 27, 2026

Minutes

The special meeting of the Commercial Business Committee ("Committee") of the South Central Connecticut Regional Water Authority ("RWA") took place on Thursday, August 27, 2026, at 90 Sargent Drive, New Haven, Connecticut, and via remote access. Chair Curseaden presided.

Committee members present – Messrs. Curseaden, Borowy, Cort, Ricozzi(R), and Mss. LaMarr and Sack(R)
Management – Mss. Kowalski and Calo(R), and Messrs. Lakshminarayanan, Hill(R), and Singh(R)
Aquarion Water Authority – Ms. Teixeira
RPB - Mr. Havrda
JASSA Professional Services, LLC – Dr. Whiskeyman
Staff – Ms. Slubowski(R)

8. COMMERCIAL BUSINESS COMMITTEE

The Chair called the meeting to order at 2:10 p.m.

8.1. APPROVE MINUTES

UNAPPROVED

On motion made by Ms. LaMarr and seconded by Mr. Cort, the committee voted to approve the minutes of its March 26, 2026 meeting.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

8.2. REVIEW AND ADOPT COMMITTEE CHARTER

Chair Curseaden reported that the Committee Charter was distributed for review and comment. It has not changed since last updated in 2023 but required Committee approval. On motion made by Mr. Borowy and seconded by Ms. Sack, the Committee voted unanimously to adopt the Commercial Business Committee Charter, as presented.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

8.3 BUSINESS STRATEGY UPDATE

Mr. Lakshminarayanan, President of the RWA, and Mr. Singh, RWA's Chief Information Digital Officer and Vice President of Customer Care, provided a public session update on the RWA Commercial Business Strategy. The presentation included an overview of the Board's vision, guiding principles, strategic

priorities, and FY 2027 goals. Following discussion, the Board determined that the strategy remains on track and no modifications were necessary at this time.

At 2:14 p.m., on motion made by Mr. Borowy and seconded by Mr. Ricozzi the committee voted to go into executive session pursuant to C.G.S. 1-200(6)(E) to discuss matters covered by C.G.S. 1-210(b)(5)(B) pertaining to commercial and financial information. Present in executive session were committee members, Messrs. Lakshminarayanan, Singh, Havrda, and Hill, Dr. Whiskeyman, and Mss. Young, Kowalski, Teixeira, Calo, and Slubowski.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

At 2:51 p.m., the Committee came out of executive session. No votes were taken in or resulting from executive session.

At 2:51 p.m., on motion made by Mr. Borowy and seconded by Mr. Ricozzi, the Committee voted to adjourn the meeting.

Borowy	Aye
Cort	Aye
Curseaden	Aye
LaMarr	Aye
Ricozzi	Aye
Sack	Aye

UNAPPROVED

Kevin Curseaden, Chair

(R) = Attended remotely.