

South Central Connecticut Regional Water Authority
Via Remote Access**

AGENDA

Regular Meeting of Thursday, December 17, 2020 at 12:30 p.m.

- A. Safety Moment
- B. Non-core Business Committee: D. Borowy
 - 1. Approve Minutes – September 17, 2020 meeting
 - 2. Amare Advisors Non-core Strategy – Including Executive Session: B. Malarkey & W.X. Pek
 - 3. Non-core Business Update – Including Executive Session: B. Nesteriak and P. Singh
- C. Audit-Risk Committee: J. Cermola
 - 1. Approve minutes – September 17, 2020 meeting
 - 2. Cyber/Technology Resiliency Review – Including Executive Session: P. Singh
 - 3. Risk Management Update: J. Reckdenwald
- D. Meet as Environmental, Health & Safety Committee: K. Curseaden
 - 1. Approve Minutes – September 17, 2020 meeting
 - 2. 2021 Legislative Session Outlook: L. Vitagliano
 - 3. Deer Management Program Update: J. Triana
 - 4. Recreation Permit and Program Update: J. Triana
- E. Act on matters arising from Committee meetings
- F. Consent Agenda
 - 1. Approve Minutes – November 19, 2020 meeting
 - 2. Capital Budget Authorization – January 2021
 - 3. Capital Budget Transfer Notifications (no action required)
 - 4. Accounts Receivable Update – November 2020
 - 5. Key Performance Indicators
 - 6. RPB Quarterly Dashboard Report
 - 7. Derby Tank Update
 - 8. North Cheshire Update
- G. Finance: R. Kowalski
 - 1. Quarterly Financial Reports
- H. Elect Officers for Calendar Year 2021
- I. Updates: L. Bingaman
 - 1. COVID Update: B. Nesteriak and R. Kowalski
 - 2. Monthly Highlights: L. Bingaman
- J. RPB Committee assignments and reports on RPB Committee meetings
- K. *RPB Application: West River Water Treatment Plant Improvements: B. Nesteriak, J. Hill and O. Kelly
 - 1. Discussion regarding West River Water Treatment Plant Application

2. Consider and act on Application for the approval of West River Water Treatment Plant Improvements
 3. Affidavit of Edward O. Norris III regarding confidential information within said Application
 4. Motion for Protective Order of the SCCRWA's confidential information within said Application
 5. Protective Order concerning the SCCRWA's confidential and sensitive information to the Representative Policy Board, dated December 17, 2021
 6. West River Water Treatment Plant Improvements Project Financing
- L. Executive Session to discuss personnel matters

******In accordance with the Governor Lamont's, Executive Order No. 7B for the Protection of Public Health and Safety during COVID-19 Pandemic and Response, the public meeting will be held remotely. Members of the public may attend the meeting via conference call, videoconference or other technology. For information on attending the meeting via remote access, and to view meeting documents, please visit <https://www.rwater.com/about-us/our-boards/board-meetings-minutes?year=2020&category=1422&meettype=&page=>. For questions, contact the board office at jslubowski@rwater.com or call 203-401-2515.

**RPB Member (M. Levine) is excused at K*

Topic: Authority Meeting (incl. Non-Core Business Committee, Audit-Risk Committee and Environmental, Health and Safety Committee)

Time: Dec 17, 2020 12:30 PM Eastern Time (US and Canada)

Join Meeting (*via conference call*)

Dial by your location

+1 312 626 6799 US (Chicago)

+1 646 876 9923 US (New York)

+1 301 715 8592 US (Washington D.C)

+1 669 900 6833 US (San Jose)

+1 253 215 8782 US (Tacoma)

+1 346 248 7799 US (Houston)

+1 408 638 0968 US (San Jose)

Meeting ID: 829 5878 1065

Passcode: 560460

Find your local number: <https://us02web.zoom.us/j/kcg6PdoUq8>

**South Central Connecticut Regional Water Authority
Audit-Risk Committee**

Minutes of the September 17, 2020 Meeting

A regular meeting of the South Central Connecticut Regional Water Authority Audit-Risk Committee took place on Thursday, September 17, 2020, via remote access. Chairman Cermola presided.

Present: Committee – Messrs. DiSalvo, Borowy, Curseaden and Ms. Sack
Management – Mss. Kowalski, Nesteriak, Reckdenwald, and Messrs. Bingaman, Norris, and Singh
Blum Shapiro – Ms. Aniskoff and Mr. Nossek
RPB – Ms. Campbell
Staff – Mrs. Slubowski

Mr. DiSalvo called the meeting to order at 12:55 p.m. In Mr. Cermola’s absence, Mr. DiSalvo acted as Chair.

On motion made by Mr. Borowy, seconded by Mr. Curseaden, and unanimously carried, the Committee approved the minutes of its meeting held on May 21, 2020, as presented.

Borowy	Aye
Cermola	Absent
Curseaden	Aye
DiSalvo	Aye
Sack	Aye

Ms. Aniskoff and Mr. Nossek of BlumShapiro, RWA’s external auditor, provided a presentation and a review of the RWA’s audit and financial statements for the fiscal year ended May 31, 2020, which included:

- Engagement scope and reporting
- Financial highlights and variances
- Required auditors’ communications

At 1:15 p.m., on motion made by Mr. Curseaden, seconded by Mr. Borowy, and unanimously carried, the Authority voted to go into executive session to discuss personnel matters. Present in executive session were the Authority members, Mss. Aniskoff, Campbell, Slubowski and Mr. Nossek.

Borowy	Aye
Cermola	Absent
Curseaden	Aye
DiSalvo	Aye
Sack	Aye

At 1:30 p.m., the Authority came out of executive session and Mss. Kowalski, Nesteriak, Reckdenwald and Messrs. Bingaman, Norris and Singh returned to the meeting and on motion made by Ms. Sack, seconded by Mr. Borowy, and unanimously carried, the meeting adjourned.

Borowy	Aye
Cermola	Absent
Curseaden	Aye
DiSalvo	Aye
Sack	Aye

Anthony DiSalvo, Acting Chairman

Risk Management Update

December 17, 2020



Agenda

- Reporting, Monitoring, & Results
- Insurance & Claims Management
- COVID Implications in Claims
- Appendices – Additional Details

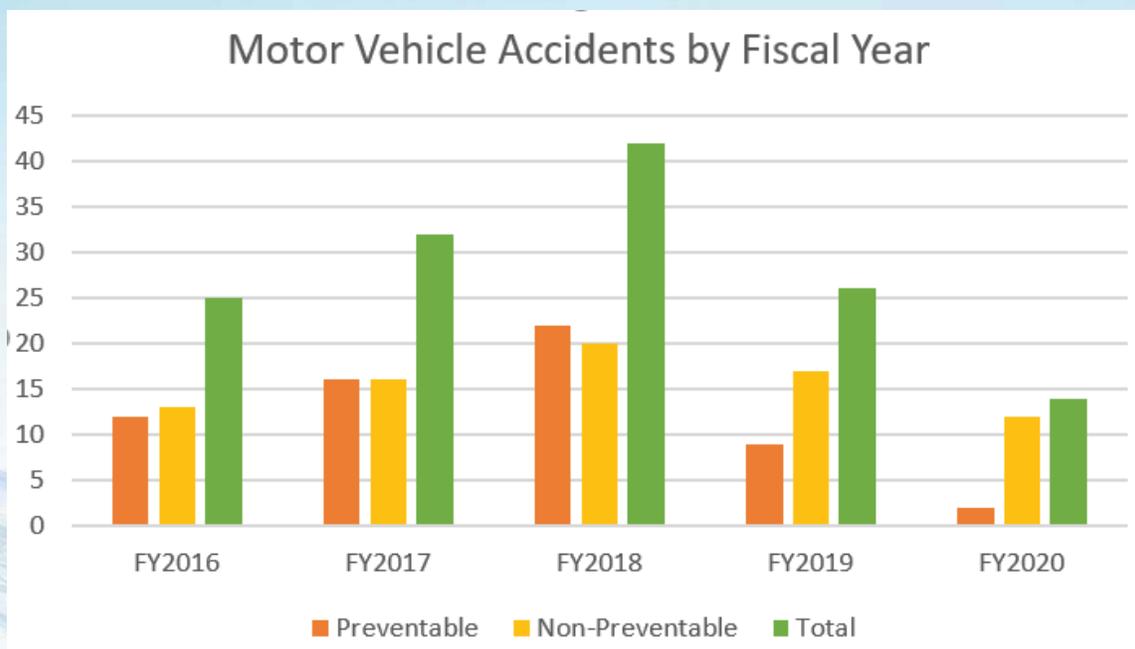
Reporting, Monitoring, & Results

Reporting allows for the identification of corrective actions

Reporting includes:

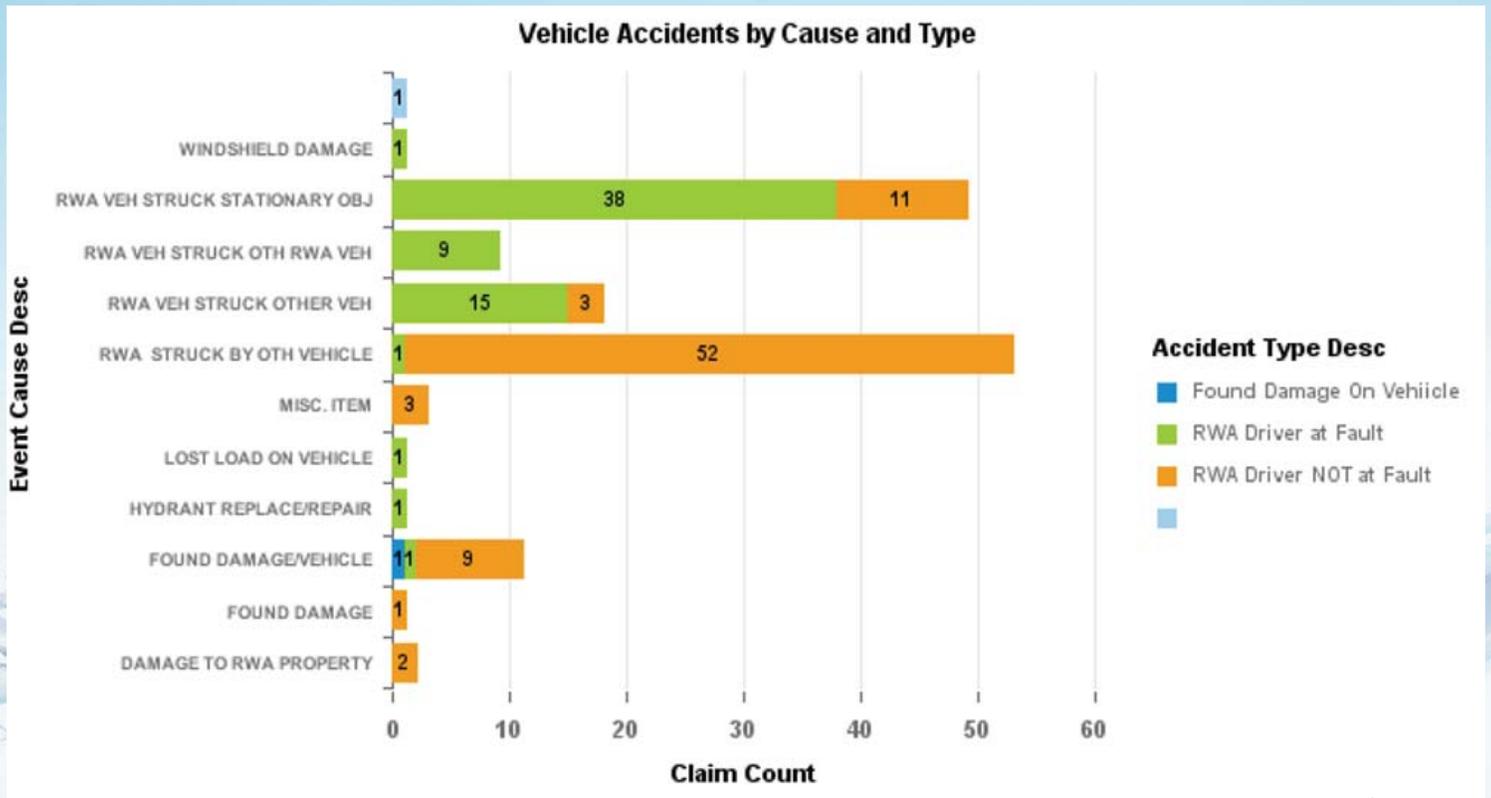
- Comparisons of total claims by year
- Monthly detailed reporting
- Trend graphs (e.g., by cause, location, time period)
- Comparisons of total claims paid and collected

Vehicles (cont.)



Total accidents reduced by 46% in FY20 (14) compared to FY19 (26)

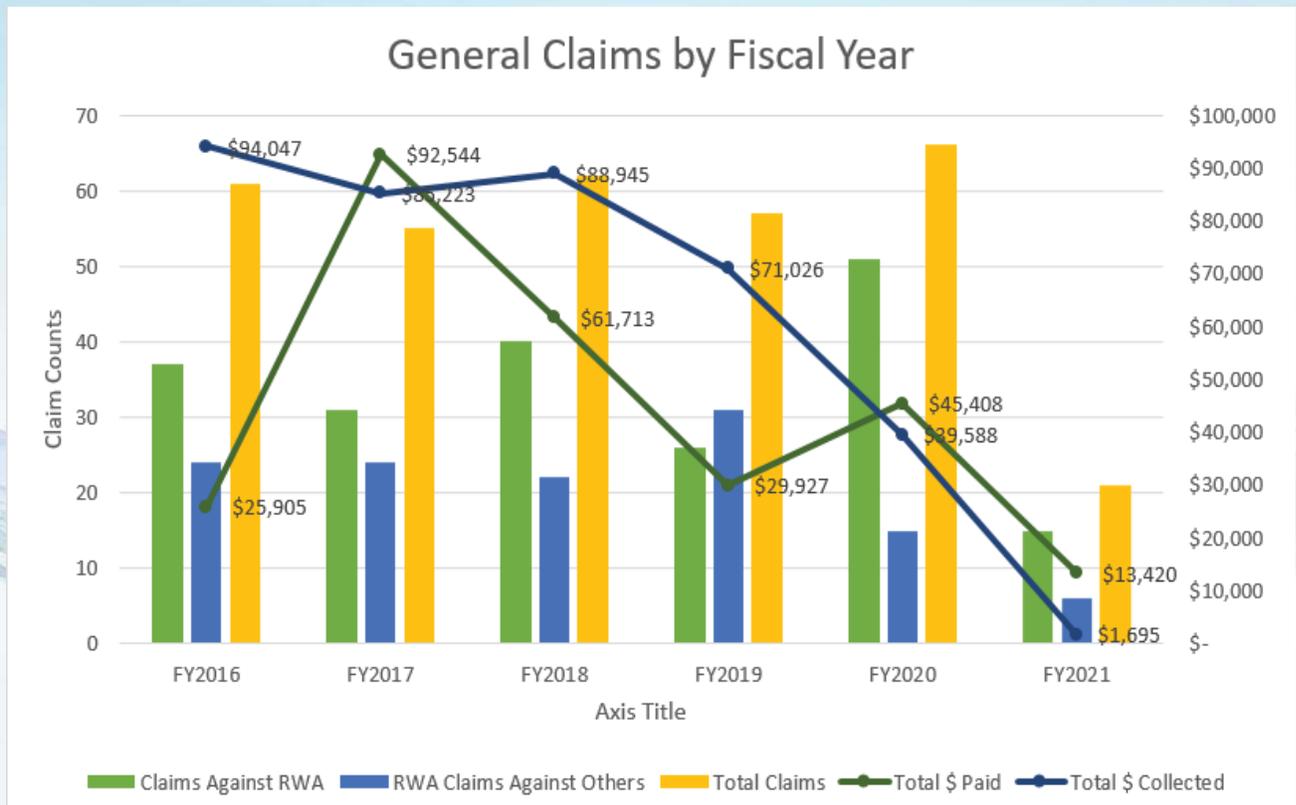
Vehicles (cont.)



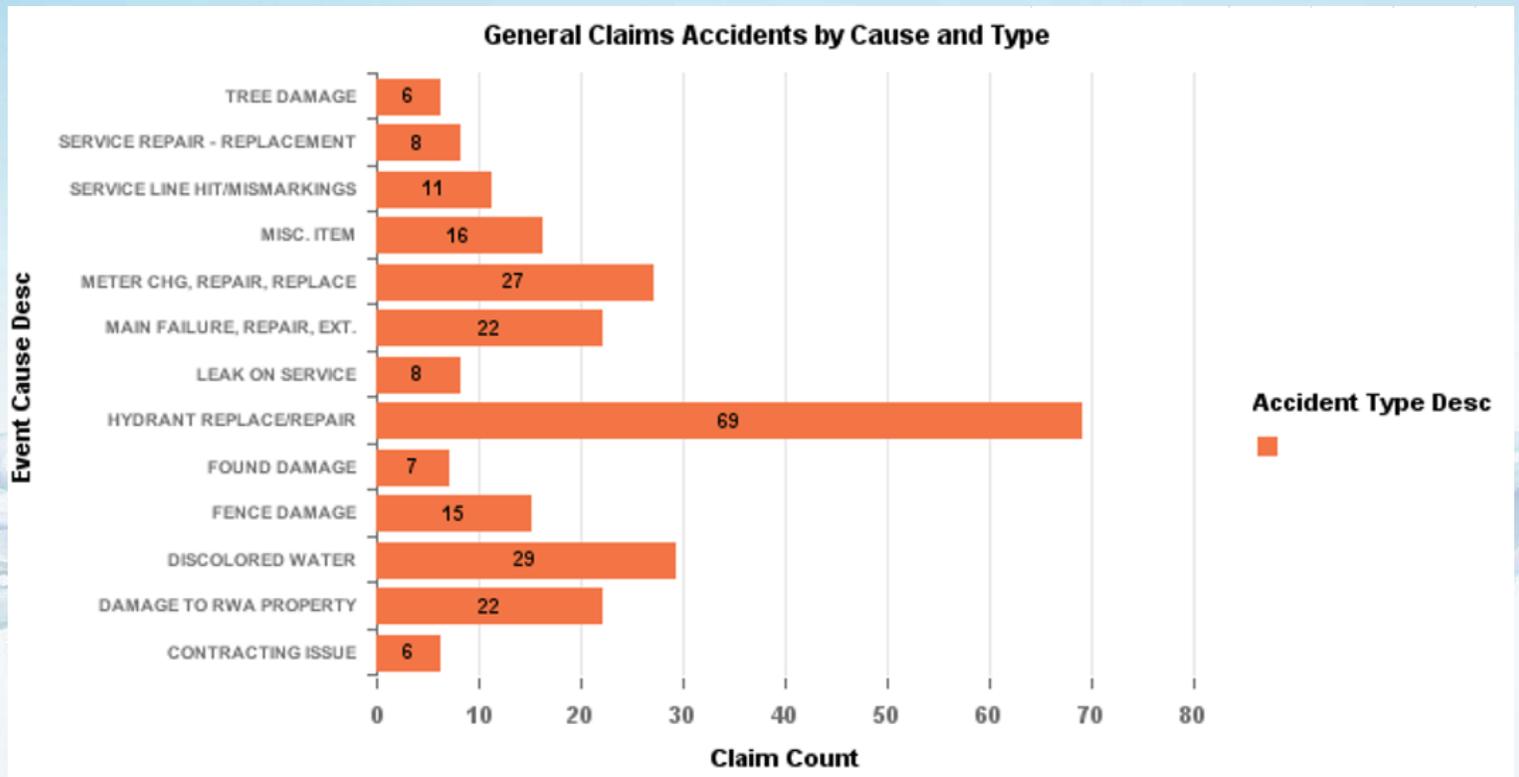
Reflects FY16 through November FY21

General Claims

Dollar amounts of claims against RWA decreasing

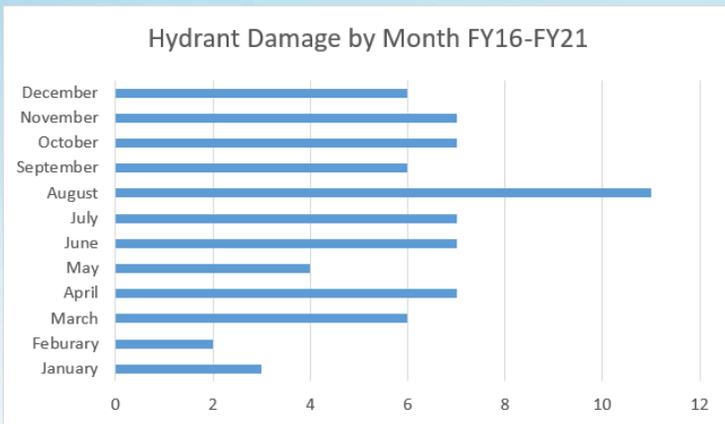


General Claims (cont.)



Reflects FY16 through November FY21

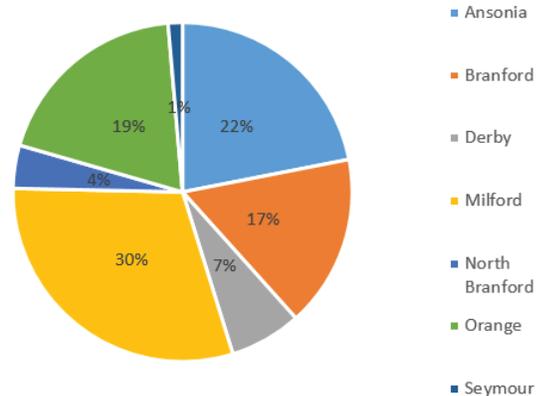
General Claims (cont.)



Hydrants

- More hydrants damaged in the summer months
- Damaged hydrants sorted by town

Total Hydrant Damages FY16-FY21



Insurance and Claims Management

Updates on Claims

- 3 RWA Facility Claims open:
 - Lake Gaillard Alum Claim- Processing under Environmental Pollution Policy
 - Lake Gaillard Pump Station- Processing under Boiler & Machinery Policy
 - Sanford Street Pump Station- Processing under All Risk Property policy (Settled, \$232,214)

Insurance and Claims Management (cont.)

Insurance Renewals March 1, 2021

- Preparing renewal data material earlier than usual to get a head start on marketing efforts. Hardening markets in Property, Umbrella/Excess and Professional Liability will require marketing RWA to marketplace for best coverage and pricing.
- COVID questionnaires were a requirement for multiple lines of coverage heading into the renewal
- We have received favorable terms from Zurich on our Captive Casualty program. (no communicable disease exclusions, not rate increases)

COVID Impact of Claims

- Governor's Order 7JJJ- Rebuttable Presumption Regarding Workers' Compensation Benefits Related to Contraction of COVID-19 (currently only for cases from March 10-May 20, 2020)
- Governor's Order 7G- Suspension of Statute of Limitations (for the duration of COVID-19 emergency order)
- Continued difficulty with trial dates and WC hearings.

Questions & Discussion

Appendix – Vehicle Reporting

Detailed Vehicle Reports

FY2019

Vehicles Claims Report - 06/01/2018 to 05/31/2019 As Of: 11/30/2020



Claims for Payment/Liability

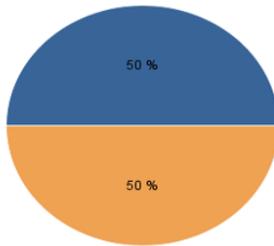
Date of Claim	Claim Number	Claim Type	Accident Type	Department Name	Event Cause	Driver	Safety Process Status	# of Driver Accidents (includes Post/Non-Post)	Claim Status	Total Loss/Potential Loss	Paid	Reserve Balance
6/7/2018	VA2018002583	Auto Liability	RWA Driver at Fault	Field Service	RWA VEH STRUCK STATIONARY OBJ	Logan, John	Closed	1	Closed	\$0.00	\$0.00	\$0.00
6/9/2018	VA2018002592	Auto Liability	RWA Driver at Fault	Recreation	RWA VEH STRUCK STATIONARY OBJ	Prodis, John	Closed	2	Closed	\$174.55	\$174.55	\$0.00
6/12/2018	VA2018002596	Auto Liability	RWA Driver at Fault	Water Treatment	RWA VEH STRUCK STATIONARY OBJ	Chieffo, Reid	Closed	1	Closed	\$0.00	\$0.00	\$0.00
6/26/2018	VA2018002597	Auto Liability	RWA Driver at Fault	Field Service	RWA VEH STRUCK OTH RWA VEH	Smith, Ron	Closed	1	Closed	\$11,809.84	\$11,809.84	\$0.00
7/23/2018	VA2018002606	Auto Liability	RWA Driver at Fault	Facilities Management	RWA VEH STRUCK STATIONARY OBJ	Weltemann, James	Closed	2	Closed	\$4,798.18	\$4,798.18	\$0.00
7/24/2018	VA2018002605	Auto Liability	RWA Driver at Fault	Meter Reading	RWA VEH STRUCK STATIONARY OBJ	Plascenia, Mark	Closed	1	Closed	\$0.00	\$0.00	\$0.00
4/16/2019	VA2019002664	Auto Liability	RWA Driver at Fault	Natural Resources	RWA VEH STRUCK OTHER VEH	Grant, Trevor	Closed	2	Closed	\$5,076.16	\$5,076.16	\$0.00
5/7/2019	VA2019002666	Property Damage	RWA Driver at Fault	Natural Resources	RWA VEH STRUCK STATIONARY OBJ	Prodis, John	Closed	2	Closed	\$0.00	\$0.00	\$0.00
5/11/2019	VA2019002676	Auto Liability	RWA Driver at Fault	Field Service	RWA VEH STRUCK OTHER VEH	LeFrancois, Pierre	Closed	2	Closed	\$0.00	\$0.00	\$0.00
9										\$21,858.73	\$21,858.73	\$0.00

Detailed Vehicle Reports

FY2020

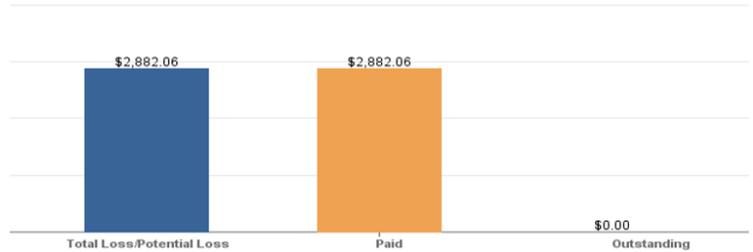
Vehicles Claims Report - 06/01/2019 to 05/31/2020 As Of: 11/30/2020

Liability Claims



Claim Status Desc
■ Closed
■ Open

Liability Claim \$ Totals

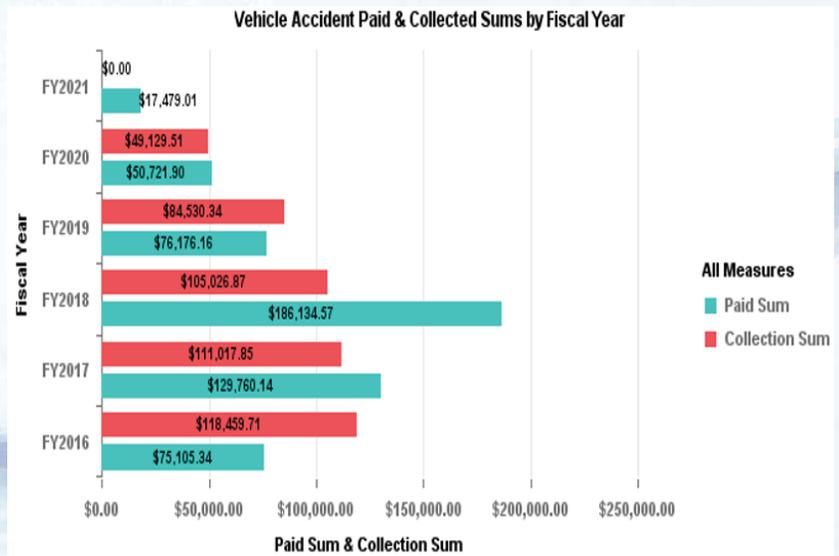
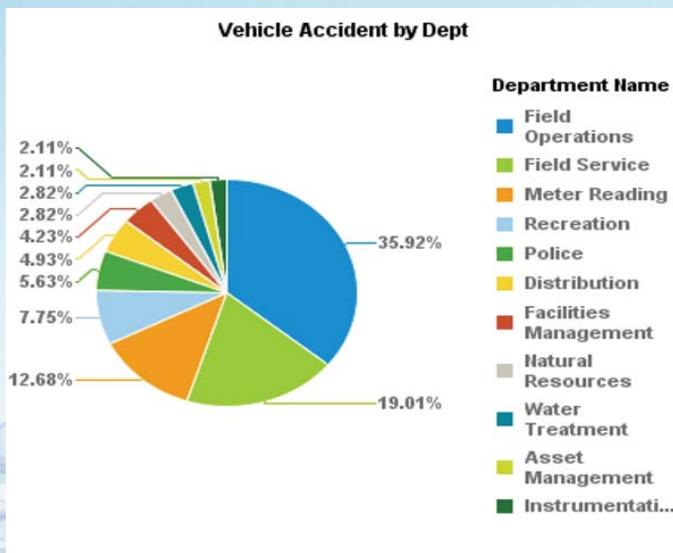


Claims for Payment/Liability

Date of Claim	Claim Number	Claim Type	Accident Type	Department Name	Event Cause	Driver	Safety Process Status	# of Driver Accidents (includes Prior/Non-Prior)	Claim Status	Total Loss/Potential Loss	Paid	Reserve Balance
12/11/2019	VA2019002728	Property Damage	RWA Driver at Fault	Field Service	RWA VEH STRUCK STATIONARY OBJ	CAMPBELL, RAYMOND	Open	3	Closed	\$0.00	\$0.00	\$0.00
2/9/2020	VA2020002744	Property Damage	RWA Driver at Fault	Field Operations	RWA VEH STRUCK STATIONARY OBJ	GAURA, CHARLES	Open	3	Open	\$2,882.06	\$2,882.06	\$0.00
2										\$2,882.06	\$2,882.06	\$0.00

*** Detailed reports are provided to our Leadership monthly**

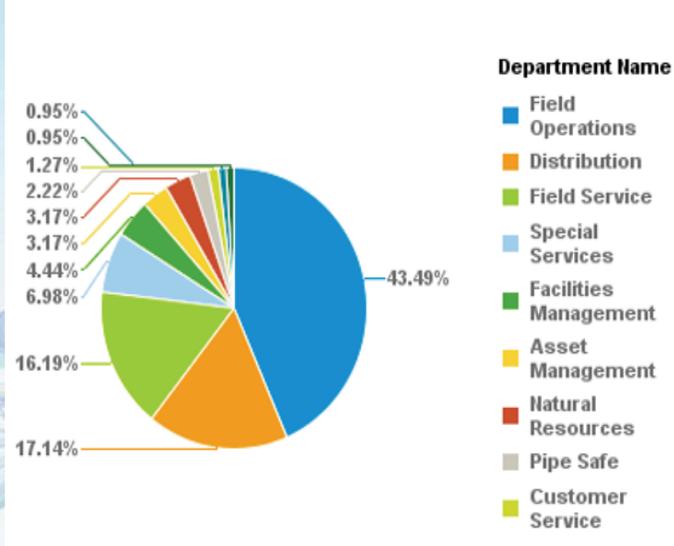
Detailed Vehicle Reports



Appendix – General Claims

Detailed General Claims Reports

General Claims by Dept



General Claims Paid & Collected by Fiscal Year

