

South Central Connecticut Regional Water Authority
Via Remote Access**

AGENDA

Regular Meeting of Thursday, June 17, 2021 at 12:30 p.m.

- A. Safety Moment

- B. Meet as Commercial Business Committee: K. Curseaden
 - 1. Approve Minutes – March 18, 2021 meeting
 - 2. Committee Charter & Revised FY 2022 Work Plan: P. Singh
 - 3. Commercial Enterprises Update – Including Executive Session: P. Singh, R. Kowalski and D. Donovan
 - 4. Funding Approval – Including Executive Session: R. Kowalski, D. Donovan
 - 5. Eighth Amendment to the Authority Voluntary Investment Plan for RWA Commercial Enterprises – Including Executive Session: J. Reckdenwald

- C. Meet as Strategic Planning Committee: D. Borowy
 - 1. Approve Minutes – February 18, 2021 meeting
 - 2. Committee Charter & FY 2022 Work Plan Review
 - 3. Review of FY 2025 Strategic Plan; FY 2022 Action Plan & Global Metrics: L. Bingaman and B. Nesteriak

- D. Act on matters arising from Committee meetings

- E. Consent Agenda
 - 1. Approve Minutes – May 20, 2021 meeting
 - 2. Capital Budget Authorization - July 2021
 - 3. Capital Budget Transfer Notifications (no action required) – July 2021
 - 4. Key Performance Indicators
 - 5. Accounts Receivable Update – May 2021
 - 6. FY 2022 RPB Weighted Vote Calculation
 - 7. FY 2022 RPB Application Schedule
 - 8. RPB Dashboard Report
 - 9. Derby Tank Project Update
 - 10. North Cheshire Update
 - 11. Bid Exemption – Elevator Improvements & Maintenance

- F. Updates: L. Bingaman
 - 1. COVID Update: B. Nesteriak and R. Kowalski
 - 2. Monthly Board Letter Highlights: L. Bingaman

- G. Finance: R. Kowalski
 - 1. Fiscal Year-end Financial Report
 - 2. Allocation of Year-end Revenue Balance
 - 3. Proposed Revisions (Carryovers) to FY 2022 Capital Budget projects
 - 4. Extension of Zero Interest
 - 5. Rate Application Planning

- H. Reports on RPB Committee Meetings and RPB Committee Assignments

- I. Honorary Resolution

**In accordance with the Governor Lamont's, Executive Order No. 7B for the Protection of Public Health and Safety during COVID-19 Pandemic and Response, the public meeting will be held remotely. Members of the public may attend the meeting via conference call, videoconference or other technology. For information on attending the meeting via remote

access, and to view meeting documents, please visit <https://www.rwater.com/about-us/our-boards/board-meetings-minutes?year=2021&category=1422&meettype=&page=>. For questions, contact the board office at jslubowski@rwater.com or call 203-401-2515.

Topic: Authority Regular Meeting (incl. Comm. Bus. and Strat. Planning Committees)
Time: Jun 17, 2021 12:30 PM Eastern Time (US and Canada)

Join Zoom Meeting (*via conference call*)

Dial by your location

- +1 301 715 8592 US (Washington DC)
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- +1 669 900 6833 US (San Jose)
- +1 253 215 8782 US (Tacoma)
- +1 346 248 7799 US (Houston)

Meeting ID: 832 9297 4766

Passcode: 206605

Find your local number: <https://us02web.zoom.us/j/83292974766>

SAFETY MOMENT



SUSTAINABLE GASTRONOMY DAY

June 18th has been designated by the United Nations General Assembly as Sustainable Gastronomy Day.

What is Sustainable Gastronomy?

Gastronomy is sometimes called the art of food. It can also refer to a style of cooking from a particular region. In other words, gastronomy often refers to local food and cuisine. Sustainability is the idea that something (e.g. agriculture, fishing or even preparation of food) is done in a way that is not wasteful of our natural resources and can be continued into the future without being detrimental to our environment or health.

Sustainable gastronomy, therefore, means cuisine that takes into account where the ingredients are from, how the food is grown and how it gets to our markets and eventually to our plates.

What can we do?

- Help reduce malnutrition in all its forms, cut food waste and improve nutrition
- Make *#NotWasting* food a personal resolution
- Promote green culture diets
- Promote clean energy for local restaurants
- Raise public awareness



Service – Teamwork – Accountability – Respect – Safety

**South Central Connecticut Regional Water Authority
Minutes of the May 20, 2021 Meeting**

A regular meeting of the South Central Connecticut Regional Water Authority (“RWA” or “Authority”) took place on Thursday, May 20, 2021, via remote access.

Present: Authority– Messrs. Borowy, Curseaden, DiSalvo and Mss. LaMarr and Sack
Management – Mss. Kowalski, Nesteriak, Reckdenwald and Messrs. Bingaman,
and Singh
Staff – Mrs. Slubowski

The Chair called the meeting to order at 12:30 p.m. He welcomed Ms. LaMarr, the Authority’s newest board member.

Ms. Reckdenwald, the RWA’s Senior Vice President of Corporate Services, reviewed the Safety Moment distributed to members.

Chair Borowy reported that Mr. Stone, President of the Claire C. Bennett Watershed Fund (the “Fund”), was scheduled to attend the meeting but had a last minute commitment and would not be present. It was the consensus of the Authority that the Fund’s recommendations for new board members be postponed until the annual meeting of the Authority, the Fund’s sole member, in August.

The Authority held a discussion regarding recent donations to community organizations not aligned with the Fund’s mission. Members reviewed the mission statement and reported on two specific donations the Fund made to community programs, which provided district residents with access to vaccines.

Discussion took place regarding human relations work, social agency donations, one-time donations, and donations for betterment of the community.

After discussion, it was the consensus of the board, as the sole member, that they were in favor of a one-time donation for COVID related expenses. Mr. DiSalvo, one of the Directors of the Fund, will contact the President to discuss the organization’s intent and report back to the Authority.

At 12:45 p.m., on motion made by Ms. Sack, seconded by Mr. Curseaden, and unanimously carried, the Authority voted to recess the meeting to meet as the Audit-Risk Committee.

Borowy	Aye
Curseaden	Aye
DiSalvo	Aye
LaMarr	Aye
Sack	Aye

At 1:22 p.m., on motion made by Mr. Borowy seconded by Ms. Sack, and unanimously carried, the Authority voted to meet as the Environmental, Health & Safety Committee.

Borowy	Aye
Curseaden	Aye
DiSalvo	Aye
LaMarr	Aye

Sack Aye

At 2:05 p.m., the Authority reconvened.

Ms. LaMarr stated that she would be abstaining on vote of the minutes of April 15, 2015. Chair Borowy stated it would be appropriate to remove item #1, *Approval of the April 15, 2021 minutes*, from the consent agenda for a separate vote.

On motion made by Mr. DiSalvo, seconded by Ms. Sack, and unanimously carried, the board:

1. Approved capital budget authorization for June 2021.

RESOLVED, that the Vice President of Financial Reporting and Analysis is authorized to submit to the Trustee one or more requisitions in an aggregate amount not to exceed \$1,850,000 for the month of June 2021 for transfer from the Construction Fund for capital expenditures. Each such requisition shall contain or be accompanied by a certificate identifying such requisition and stating that the amount to be withdrawn, pursuant to such requisition, is a proper charge to the Construction Fund. Such requisitions are approved notwithstanding the fact that amounts to be withdrawn for a particular project may exceed the amount indicated for such month and year in the current Capital Improvement Budget but will not cause the aggregate amount budgeted for fiscal year 2022 for all Capital Improvement Projects to be exceeded.

2. Received capital budget transfer notifications detailed in Ms. Kowalski's memorandum dated May 14, 2021.
3. Adopt resolutions authorizing exemptions from public bidding:

RESOLVED, that the Authority authorizes Management to negotiate the purchase of the following items for the fiscal year June 1, 2021 to May 31, 2022 without public bidding and such purchases without public bidding are hereby determined to be in the best interest of the Regional Water Authority for the reasons set forth in Ms. Kowalski's memorandum of May 14, 2021, a copy of which is to be filed with the records:

- Programmable Logic Controllers
- John Deere Equipment
- Variable Frequency Drives
- Pick Up of Patch, Sand, Gravel, Stone and Topsoil
- Polymers
- Fire Hydrants
- GHP Media Inc.
- Cla-Val Hydraulic Control Valves
- Associated Electro-Mechanics (AEM)
- Val Matic Air and Water Valves
- Johnson Controls HVAC Software and Hardware

4. Received monthly financial report for April 2021.
5. Received accounts receivable update for April 2021.

Borowy Aye
Curseaden Aye
DiSalvo Aye

LaMarr	Aye
Sack	Aye

On motion made by Mr. Curseaden, and seconded by Ms. Sack, the Authority voted to approve the minutes of its meeting April 15, 2021:

Borowy	Aye
Curseaden	Aye
DiSalvo	Aye
LaMarr	Abstain
Sack	Aye

Ms. Nesteriak, RWA's Executive Vice President and Chief Operating Officer, provided an update of operations and the return to work policy at the RWA, which included:

- Employee health metrics and vaccination status
- RWA mask policy
- Return to work and remote work policy
- Milestones and next steps

Ms. Kowalski, the RWA's Vice President of Financial Reporting and Analysis, discussed the RWA's financial position, which included:

- Financial impact of COVID
- Year-to-date billings
- Cash collections
- Aging accounts
- Coverage projection

The Authority discussed the timeline for resuming in-person meetings. Chair Borowy stated that he met with the RPB Chair, Mario Rizzo, about starting with the smaller RPB committee meetings.

At 2:30 p.m., Mr. Triana entered the meeting.

Mr. Bingaman, RWA's President and Chief Executive Officer:

- reported on the status of commercial services. He stated that he and Mr. Donovan, the RWA's Director of Business Development, met with the owners of two business in an effort to advance the RWA's commercial business strategy through acquisitions.
- stated that the RWA continues to search for key positions of Vice President of Financial Services, Director of Engineering, and Director of Employee Relations. The RWA has engaged with a professional search firm for the engineering position.
- highlighted that lawmakers of the Appropriations Committee are planning to allocate federal funding in the American Rescue Plan Act. Mr. Bingaman contacted legislators in support of water infrastructure funding to assist Connecticut's private, regional and municipal water companies. The RWA's governmental affairs team has partnered with the RWA's lobbying firm to continue to monitor and urge support for water infrastructure funding.

- reported that as part of National Drinking Water Week (May 3-8), the 2020 annual report on water quality was mailed out to customers in the service area. The report includes a version translated into Spanish and posted on the RWA’s website along the English version.
- is currently reinstating one-on-one meetings to reconnect with employees, enhance two-way communications and to solicit employees’ ideas to move the RWA forward.
- commented that the communications and outreach team at the RWA developed a four-part webinar series to educate the public about how to keep lawns and gardens healthy without wasting water. In April, the RWA launched the webinar series promoting efficient water use for garden and lawn maintenance. This is a broader program to assist customers to use water wisely and includes PSA’s on channel 8 and 59 and the RWA’s current rain barrel program.
- installed new water service for a veteran and his family, who are residents of North Branford, and who were currently not connected and using a private well, which was contaminated by an oil tank rupturing. RWA’s union president recruited a group of fellow union employees who volunteered their time on a Saturday to complete the work.
- stated that on behalf of the RWA, he received an Honorary Degree of Human Letters at the Southern Connecticut State University School of Business commencement ceremony for conscious purposeful driven leadership with the creation of the Public Utilities Management Program.

Mr. DiSalvo stated that next month he is requesting a compensation related matter be added to the agenda for discussion in executive session.

Authority members reported on recent Representative Policy Board (“RPB”) committee meetings.

Mr. Triana, the RWA’s Real Estate Manager, provided an update on the RWA’s application to the Representative Policy Board for the approval of a non-substantial amendment to the Land Use Plan for the creation of new trails on RWA property in Bethany at Lake Chamberlain. He stated that the application would allow for the completion of 8 new trails at the Lake Chamberlain recreation area. The objective is to provide a path for anglers to use and would avoid anglers going off path and allowing for property preservation. The area is .37 miles and located on Class I property. The trails would take 2-3 days to create and would include tree trimming and removal of downed trees.

After discussion, on motion made by Ms. Sack, seconded by Ms. LaMarr, and unanimously carried, the Authority voted to approved the following resolution:

RESOLVED, that the Authority hereby accepts the Application to the Representative Policy Board for the non-substantial Land Use Plan Amendment to create new trails for anglers on Authority property in Bethany at Lake Chamberlain (Land Unit BE1), as a completed Application, substantially in the form submitted to this meeting, and authorized filing said Application with the Representative Policy Board for approval.

Borowy	Aye
Curseaden	Aye
DiSalvo	Aye

LaMarr Aye
Sack Aye

Mr. Bingaman stated that earlier in the day, he sent an email to management employees reinstating merit increases retroactively from August 2020 for eligible non-union employees.

At 2:45 p.m., the Authority voted to recess the meeting to attend the Representative Policy Board meeting. Chair Borowy announced the Authority would reconvene immediately following the RPB meeting.

Borowy Aye
Curseaden Aye
DiSalvo Aye
LaMarr Aye
Sack Aye

At 7:15 p.m., the Authority reconvened. Present were: Authority members, Messrs. Bingaman and Singh and Mss. Kowalski and Slubowski and RPB Chairman, Mario Rizzozi.

Chair Borowy requested approval of the budgets for the fiscal year June 1, 2021 – May 31, 2022 and authorization to file the budgets with the trustee, as required by the *General Bond Resolution*.

Ms. Sack acknowledged the tremendous amount of work done to prepare the budgets and review by the RWA, and the Authority and Representative Policy Boards, and noted that this effort allowed for confidence.

Ms. LaMarr stated that she attended a budget review meeting and has spent considerable time reviewing the budgets. She thanked management for their quick responses to questions and for making her comfortable in order to be able to vote on the matter.

Mr. DiSalvo moved for adoption of the following resolutions:

RESOLVED, that the Authority’s Capital Improvements budget for Fiscal Year (“FY”) 2022 from June 1, 2021 – May 31, 2022, including a plan of capital improvements for the FY 2022 through FY 2026 and the additional information required by Section 614 of the *General Bond Resolution*, is hereby adopted and ordered to be filed with US Bank, Trustee, pursuant to Section 614 of the *General Bond Resolution*; and

FURTHER RESOLVED, that the Authority’s Operating budget for FY 2022 from June 1, 2021 – May 31, 2022, showing on a monthly basis projected Operating Expenses and deposits and withdrawals from the several Funds required by the *General Bond Resolution*, is hereby adopted and ordered to be filed with US Bank, Trustee, pursuant to Section 613 of the *General Bond Resolution*.

Mr. Curseaden seconded the motion. After discussion, the Chair called for the vote:

Borowy Aye
Curseaden Aye
DiSalvo Aye
LaMarr Aye
Sack Aye

At 7:17 p.m., on motion made by Mr. DiSalvo, seconded by Ms. LaMarr, the meeting adjourned.

Borowy Aye


Curseaden	Aye
DiSalvo	Aye
LaMarr	Aye
Sack	Aye

Kevin Curseaden, Secretary

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203.562.4020
<http://www.rwater.com>

MEMORANDUM

TO: David J. Borowy
Kevin J. Curseaden
Anthony DiSalvo
Catherine LaMarr
Suzanne C. Sack

FROM:  Rochelle Kowalski
Vice-President of Financial Reporting and Analysis

DATE: June 11, 2021

SUBJECT: Capital budget authorization request for July 2021

Attached for your meeting on June 17, 2021 is a copy of the resolution authorizing expenditures against the capital improvement budget for July 2021. The amount of the requested authorization, for funds held by the trustee, is \$1,960,000.

In addition, from the Growth Fund, approximately \$40,000 is expected to be used for the Non-Core Billing project.

This would result in projected expenditures through July 2021 of \$3,343,500 or 7.8% of the total 2022 fiscal year capital budget, including State and Redevelopment and Growth Fund.

Attachment

RESOLVED

That the Vice-President of Financial Reporting and Analysis is authorized to submit to the Trustee one or more requisitions in an aggregate amount not to exceed \$1,960,000 for the month of July 2021 for transfer from the Construction Fund for capital expenditures. Each such requisition shall contain or be accompanied by a certificate identifying such requisition and stating that the amount to be withdrawn pursuant to such requisition is a proper charge to the Construction Fund. Such requisitions are approved notwithstanding the fact that amounts to be withdrawn for a particular project may exceed the amount indicated for such month and year in the current Capital Improvement Budget but will not cause the aggregate amount budgeted for fiscal year 2022 for all Capital Improvement Projects to be exceeded.

South Central Connecticut Regional Water Authority
 90 Sargent Drive, New Haven, Connecticut 06511-5966 203-562-4020
<http://www.rwater.com>

TO: David J. Borowy
 Kevin J. Curseaden
 Anthony DiSalvo
 Catherine LaMarr
 Suzanne C. Sack

FROM: *Rochelle* Rochelle Kowalski

DATE: June 11, 2021

SUBJECT: Capital Budget Transfers

The status of all capital projects are reviewed on a monthly basis. In an effort to obtain efficiencies in our capital program, any anticipated unspent funds are reallocated to support reprioritized projects or existing projects. Below is a summary of the attached capital budget transfers and amendments.

	Available Funds	Reallocation of Project/Funds
Contingency	\$130,000	InforEAM GIS Data Integration
Contingency	\$100,000	West River WTP Backwash Lagoon Diversion Vault Valves
AMI Meters	\$380,000	Meters
Contingency	\$85,000	Lake Whitney WTP Improvements
Lake Whitney Dam & Spillway Improvements	\$100,000	Prospect Dam Subsurface Investigation
Contingency	\$75,000	Trucks, Autos & Portable Equipment

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	6/10/2021	Type	Log	Mo/Yr
Requesting Division:	Business Strategy	B2	22-01	Jun/21
Requested By:	Jim Gagnon			

Transfer From:	
Account Number:	N/A
Project Description:	Overall Budget Contingency
A) Original Budget	500,000
B) Total Previous Transfers (In or Out)	0
C) This Transfer	130,000
D) Revised Budget (A+/-B-C)	370,000
E) Estimated Project Costs	0
F) Remaining Funds Available for Transfer, if any (D-E)	370,000
Explanation why funds are available:	

Transfer To:	
Account Number:	001-000-107131-100313
Project Description:	InforEAM GIS Data Integration Project
A) Original Budget	0
B) Previous Transfers (In or Out)	0
C) Revised Budget (A+/-B)	0
D) Amount to be Transferred	130,000
E) Proposed Revised Budget (C+D)	130,000
Explanation why funds are needed:	
<p>This amendment will fund the building of data input forms that will serve as the data mapping and data sync between the GIS and Infor systems to create the new horizontal asset records from existing GIS data. RWA current uses InfoEAM as its Asset Management system. Presently there are no horizontal assets contained within InforEAM. By bringing these assets into Infor, an established work order process can be implemented and data such as asset details and maintenance history will be available in one place to support retirement and replacement decisions. This project continues work initiated in FY 21. The total capital cost of this work in FY 22 is estimated at \$130,000.</p>	

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	<i>Premjith Singh</i>	6/10/2021 (at CMC)
2) Donor Vice President/Director		
3) Vice President - Finance	<i>Rochelle Kawalaki</i>	6/10/2021 (at CMC)
4) Executive Vice President & Chief Operating Officer	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
5) Chief Executive Officer	<i>Larry L. Bingaman</i>	6/10/2021 (at CMC)
6) Authority Members	Copy of minutes attached if required	

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	6/10/2021	Type	Log	Mo/Yr
Requesting Division:	Operations	B2	22-02	Jun/21
Requested By:	Jim Hill			

Transfer From:	
Account Number:	N/A
Project Description:	Overall Budget Contingency
A) Original Budget	500,000
B) Total Previous Transfers (In or Out)	130,000
C) This Transfer	100,000
D) Revised Budget (A+/-B-C)	270,000
E) Estimated Project Costs	0
F) Remaining Funds Available for Transfer, if any (D-E)	270,000
Explanation why funds are available:	

Transfer To:	
Account Number:	To Be Created
Project Description:	West River WTP Backwash Lagoon Diversion Vault Valves
A) Original Budget	0
B) Previous Transfers (In or Out)	0
C) Revised Budget (A+/-B)	0
D) Amount to be Transferred	100,000
E) Proposed Revised Budget (C+D)	100,000
Explanation why funds are needed:	
<p>This amendment funds the replacement of the two existing 24" valves and actuators that have reached the end of their useful life (one is currently inoperable). The valves allow either of the two backwash lagoons to be selected to fill during a backwash and enable a settling cycle and then decant. These valves are necessary to effectively manage environmental discharges and maintain critical infrastructure, allowing for effective maintenance of the West River plant's backwash lagoons. Total cost associated with this project is \$100,000.</p>	

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
2) Donor Vice President/Director		
3) Vice President - Finance	<i>Rachelle Kamalaki</i>	6/10/2021 (at CMC)
4) Executive Vice President & Chief Operating Officer	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
5) Chief Executive Officer	<i>Larry L. Bingaman</i>	6/10/2021 (at CMC)
6) Authority Members	Copy of minutes attached if required	

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	6/10/2021	Type	Log	Mo/Yr
Requesting Division:	Operations	B2	22-03	Jun/21
Requested By:	Brenda Valentin			

Transfer From:	
Account Number:	001-000-107146-000255
Project Description:	AMI Meters
A) Original Budget	610,000
B) Total Previous Transfers (In or Out)	0
C) This Transfer	380,000
D) Revised Budget (A+/-B-C)	230,000
E) Estimated Project Costs	0
F) Remaining Funds Available for Transfer, if any (D-E)	230,000
Explanation why funds are available: Monies are being transferred in order to facilitate the goal of reducing the backlog of periodic replacements of large meters by the end of FY 2022. Remaining funding will be sufficient to complete the remaining AMI meter replacements as a result of more work being completed in Fy 2021 than originally anticipated.	

Transfer To:	
Account Number:	001-000-107146-XXXXXX
Project Description:	Meters
A) Original Budget	105,000
B) Previous Transfers (In or Out)	0
C) Revised Budget (A+/-B)	105,000
D) Amount to be Transferred	380,000
E) Proposed Revised Budget (C+D)	485,000
Explanation why funds are needed: This amendment will fund the continuation of periodic meter changes reinstated in February 2021. There are approximately 1,900 large meters requiring replacement (large meters are defined as those 1" or larger). The periodic replacement program was placed on hold at the start of the AMI project. As a majority of the small meters were replaced as part of the AMI project, the focus of these replacements will be the backlog of large meters. Total estimated cost of this work is \$485,000.	

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
2) Donor Vice President/Director	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
3) Vice President - Finance	<i>Rachelle Kawalaki</i>	6/10/2021 (at CMC)
4) Executive Vice President & Chief Operating Officer	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
5) Chief Executive Officer	<i>Larry L. Bingaman</i>	6/10/2021 (at CMC)
6) Authority Members	Copy of minutes attached if required	

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	6/10/2021	Type	Log	Mo/Yr
Requesting Division:	Engineering & Environmental Services	B1	22-04	Jun/21
Requested By:	Orville Kelly			

Transfer From:	
Account Number:	N/A
Project Description:	Overall Budget Contingency
A) Original Budget	500,000
B) Total Previous Transfers (In or Out)	230,000
C) This Transfer	85,000
D) Revised Budget (A+/-B-C)	185,000
E) Estimated Project Costs	0
F) Remaining Funds Available for Transfer, if any (D-E)	185,000
Explanation why funds are available:	

Transfer To:	
Account Number:	001-000-107132-066013
Project Description:	Lake Whitney Water Treatment Plant Improvements
A) Original Budget	680,000
B) Previous Transfers (In or Out)	0
C) Revised Budget (A+/-B)	680,000
D) Amount to be Transferred	85,000
E) Proposed Revised Budget (C+D)	765,000
Explanation why funds are needed:	
<p>This project includes HVAC, process, and building control improvements. It is a multi-year project initiated in FY 20, and project work was delayed in FY 21 as result of the pandemic. This amendment is necessary to award the construction contract based on bids received April 29, 2021. Total FY 2022 project cost is estimated at \$765,000.</p>	

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
2) Donor Vice President/Director		
3) Vice President - Finance	<i>Rochelle Kasalaki</i>	6/10/2021 (at CMC)
4) Executive Vice President & Chief Operating Officer	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
5) Chief Executive Officer		
6) Authority Members	Copy of minutes attached if required	

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	6/10/2021	Type	Log	Mo/Yr
Requesting Division:	Engineering & Environmental Services	B2	22-05	Jun/21
Requested By:	Larry Marcik			

Transfer From:	
Account Number:	001-000-107112-066506
Project Description:	Lake Whitney Dam & Spillway Improvements
A) Original Budget	600,000
B) Total Previous Transfers (In or Out)	0
C) This Transfer	100,000
D) Revised Budget (A+/-B-C)	500,000
E) Estimated Project Costs	0
F) Remaining Funds Available for Transfer, if any (D-E)	500,000
Explanation why funds are available: Monies are being transferred in order to fund the subsurface investigative work at Prospect Dam. Funding will be replaced as monies become available during Fy 2022.	

Transfer To:	
Account Number:	To be created
Project Description:	Prospect Dam Subsurface Investigation
A) Original Budget	0
B) Previous Transfers (In or Out)	0
C) Revised Budget (A+/-B)	0
D) Amount to be Transferred	100,000
E) Proposed Revised Budget (C+D)	100,000
Explanation why funds are needed: This amendment will fund a subsurface investigation at the Prospect Dam. During the most recent inspection in May of 2021 noted sandy material leaving one of the four spillway drainage pipes. This drainage pipe was also observed to have a more significant flow of water compared to the others. The investigation will include geotechnical borins, laboratory analysis, evaluation of the laboratory findings, and preparation of rehabilitation alternatives analysis. Total cost of the investigative work is estimated at \$100,000.	

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
2) Donor Vice President/Director	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
3) Vice President - Finance	<i>Rochelle Kowalaki</i>	6/10/2021 (at CMC)
4) Executive Vice President & Chief Operating Officer	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
5) Chief Executive Officer	<i>Larry L. Bingaman</i>	6/10/2021 (at CMC)
6) Authority Members	Copy of minutes attached if required	

CAPITAL BUDGET AMENDMENT REQUEST

Request Date:	6/10/2021	Type	Log	Mo/Yr
Requesting Division:	Engineering & Environmental Services	B1	22-06	Jun/21
Requested By:	Adam Cox			

Transfer From:	
Account Number:	
Project Description:	Overall Budget Contingency
A) Original Budget	500,000
B) Total Previous Transfers (In or Out)	315,000
C) This Transfer	75,000
D) Revised Budget (A+/-B-C)	110,000
E) Estimated Project Costs	0
F) Remaining Funds Available for Transfer, if any (D-E)	110,000
Explanation why funds are available:	

Transfer To:	
Account Number:	001-000-107192-104087
Project Description:	Trucks, Autos & Portable Equipment
A) Original Budget	400,000
B) Previous Transfers (In or Out)	0
C) Revised Budget (A+/-B)	400,000
D) Amount to be Transferred	75,000
E) Proposed Revised Budget (C+D)	475,000
Explanation why funds are needed:	
<p>This amendment will fund the purchase of a 10-wheel dump truck to replace one presently in use by Construction. The purchase is being executed based on concerns related to vehicle concerns and safety conditions. The most recent purchase of a vehicle of this type was in 2014. Total additional funding required for this purchase is \$75,000.</p>	

Approvals As Required By Type	Signature	Date
1) Requesting Vice President/Director	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
2) Donor Vice President/Director		
3) Vice President - Finance	<i>Rachelle Kowalaki</i>	6/10/2021 (at CMC)
4) Executive Vice President & Chief Operating Officer	<i>Beth Mesteriak</i>	6/10/2021 (at CMC)
5) Chief Executive Officer		
6) Authority Members	Copy of minutes attached if required	

May 2021

Executive Summary of FY2021 Key Performance Indicators (KPIs)

Purpose: To make life better for people by delivering water for life.

Mission: Provide customers with high-quality water and services at a reasonable cost while promoting the preservation of watershed land and aquifers.

Vision: An innovative water utility that sustains life, strengthens our communities, and protects natural resources for future generations.

Measure	Prior Month	FYTD	Target	Comments
Average Speed of Answer (seconds)	37	37	70	In May, the Customer Care Center received 4,273 calls and staff handled 3,985 of those calls. The staff's adherence to schedules and call-handling guidelines resulted in an average speed of answer of 35 seconds.
% Abandoned	4.8%	4.6%		In May, the Customer Care Center received 4,273 calls and staff handled 3,985 of those calls. The staff's adherence to schedules and call-handling guidelines resulted in an abandonment rate of 3%.
E-Bill %	28.3%	28.6%	27.0%	As of the end of May, there are 33,159 customers enrolled in electronic billing and reflects a growth of 1,679 customers FYTD. An E-Bill promotion offering \$5 bill credit to customers contributed to a 3.4% growth this fiscal year.
Complaints/1000 Accounts	1.78	2.00	4.70	There have been 233 complaints FYTD, less than half the number received at the same time last year.
Disruptions/1000 Accounts	1.28	1.32	2.42	There have been 153 disruptions FYTD, including 83 emergency shutdowns, and 68 scheduled shutdowns. These disruptions lasted a total of 694.5 hours and affected 2068 customers.
Positive/Neutral News Items	214	250	250	There were a total of 36 positive or neutral media mentions of the RWA in May.
Preventable Injuries	3	4	0	Employees are regularly reminded about the importance of keeping focus on walking surfaces and awareness of their surroundings. Additionally, weekly safety topics are distributed companywide to promote safety awareness and accident avoidance.
Preventable MVAs per 100,000 miles	0.54	0.57	1.01	RWA employees have driven over 1,400,000 miles and experienced 8 PMVAs FYTD. We had one PMVA in May. A meeting was held with the department involved to remind them of good defensive driving tips and techniques to avoid accidents in the future.
Near Miss Reports with Corrective Actions Taken	100%	100%	100%	A near miss is an unplanned event that did not result in injury, illness or damage - but had the potential to do so. All submitted near miss instances had corrective action identified and completed.

Measure	Prior Month	FYTD	Target	Comments
Training Hrs per FTE	5.78	7.06	12.70	FYTD RWA employees have received 1,934 hours of training, despite social distancing restrictions and modified work schedules. Electronic and other delivery methods to maintain appropriate social distancing have enabled continued developmental and safety training. Employees are also taking advantage of individual training offered remotely by other organizations and institutions. Employees continue to be encouraged to capture their independent learning using an online form and a return to the office in June will provide additional in-person training opportunities.
Disinfection Byproducts	100%	100%	90%	We remain in compliance with our stringent water quality standards. Our aggressive flushing and source water management initiatives are working to control THM and HAA levels.
Environmental Services Growth	On Track	On Track	On Track	Kayaks have been added to the Lake Saltonstall Fishing Program. We continue to move ahead with adding additional trails at Lake Chamberlain for anglers. The non-substantial LUP amendment for the trails will be considered by the RPB in June.
Environmental Compliance	92%	92%	90%	FYTD there have been 9 parameters that fell outside the Near Miss threshold.
Watershed Inspection Completion	133	152	190	FYTD 80.0% of targeted inspections have been completed. Three violations were noted and corrected in May. There have been 15 violations, which all have been corrected so far this fiscal year. COVID-related restrictions are impacting access to facilities and slowing completion of inspections. According to the CTAWWA Source Water Protection Committee and DPH, all water companies in Connecticut that have to perform watershed inspections are experiencing similar impacts. Due to this, the DPH is not holding water companies accountable for lower inspection numbers. Improvements are expected as the State lifts restrictions and we return to a normal inspection schedule.
Dashboard Analytics Rollout	75%	100%	100%	Five Dashboards completed and deployed FYTD. 1) A Customer Care Dashboard provides easy access to frequently used items and workflow requests. 2) A PMO Dashboard shares real-time reporting on both a portfolio and project-by-project basis. 3) A Center of Excellence (CoE) Dashboard supports the CoE teams.4) A Request Management Dashboard is in use by the Business Transformation Group. 5) A COVID-19 Impacts Dashboard launched last year is being reevaluated in light of additional enhancements from our vendor.
Net Unaccounted-for-Water	11.23 %	11.09%	10.00 %	The YTD Net Unaccounted-for-Water calculation is 11.09% for the annualized reporting period of March 2020-February 2021. This represents a decrease from the previous month and an increase from the same reporting period one year prior. The pandemic has limited our ability to combat water theft, particularly with the hold on shutoffs, and to inspect customer installations, potentially affecting these types of water losses. The conversion to the AMI-based Unaccounted-for-Water calculation will help offset the limitations of using "billed consumption" and annualized periods that using SAP imparts in the calculation.
I.T. Help Desk Tickets Closed in 4 Hours	82.7%	82.8%	80.0%	Of the 3,430 tickets submitted by employees YTD, 2,840 were able to be closed within 4 hours.

At or better than target* 13
Near Target* 0
Off Target* 4

Blue shading indicates a Global Metric.

*Financial Results provided in cover notice.

South Central Regional Water Authority

Analysis of Accounts Receivable ("A/R")

(\$000 omitted)

Denotes City of New Haven Invoicing

Total Accounts Receivable Aging (in days)

	May 2021	April 2021	March 2021	Feb 2021	Jan 2021	Dec 2020	Nov 2020	Oct 2020	Sept 2020	Aug 2020	July 2020	June 2020	May 2020
Under 30	\$ 5,664	\$ 6,227	\$ 6,251	\$ 5,782	\$ 6,802	\$ 7,363	\$ 6,472	\$ 8,208	\$ 7,633	\$ 6,229	\$ 7,433	\$ 6,146	\$ 5,547
31-60	1,987	2,185	1,433	2,112	2,527	2,041	2,444	2,710	1,892	2,125	2,295	1,444	1,651
61-90	1,344	891	990	1,666	972	1,103	1,594	913	990	1,405	838	713	1,575
91-180	2,063	2,016	2,112	2,195	2,118	2,127	1,969	1,975	1,918	1,777	1,775	1,992	1,787
181-360	2,197	2,331	2,443	2,353	2,286	2,109	1,934	1,921	1,893	1,821	1,646	1,543	1,312
More than 1 year	4,964	4,998	4,969	4,720	4,621	4,576	4,408	4,388	4,449	4,207	4,266	4,331	4,207
Sub Total	18,219	18,648	18,198	18,828	19,326	19,319	18,821	20,115	18,775	17,564	18,253	16,169	16,079
Interest due	1,130	1,171	1,216	1,229	1,265	1,310	1,354	1,388	1,448	1,476	1,515	1,559	1,610
Total Gross A/R plus interest	\$ 19,349	\$ 19,819	\$ 19,414	\$ 20,057	\$ 20,591	\$ 20,629	\$ 20,175	\$ 21,503	\$ 20,223	\$ 19,040	\$ 19,768	\$ 17,728	\$ 17,689

Aged Accounts Receivable Focus of Collection Efforts

	May 2021	April 2021	March 2021	Feb 2021	Jan 2021	Dec 2020	Nov 2020	Oct 2020	Sept 2020	Aug 2020	July 2020	June 2020	May 2020
Greater than 60 days:													
A/R	\$ 11,683	\$ 11,386	\$ 11,710	\$ 12,143	\$ 11,242	\$ 11,208	\$ 11,235	\$ 10,585	\$ 10,677	\$ 10,659	\$ 10,011	\$ 10,114	\$ 10,470
Less: Multi-Tenants	(3,124)	(2,641)	(2,847)	(3,127)	(2,676)	(3,039)	(3,419)	(2,949)	(3,289)	(3,109)	(3,084)	(2,864)	(3,075)
Receiverships	(1,995)	(2,048)	(2,023)	(2,018)	(1,976)	(2,105)	(2,084)	(2,091)	(2,141)	(2,080)	(2,163)	(2,169)	(2,190)
Liens	(2,236)	(2,304)	(2,410)	(2,296)	(2,165)	(1,858)	(1,775)	(1,464)	(1,500)	(1,739)	(1,705)	(1,721)	(1,724)
Total	\$ 4,328	\$ 4,393	\$ 4,430	\$ 4,702	\$ 4,425	\$ 4,206	\$ 3,957	\$ 4,081	\$ 3,747	\$ 3,731	\$ 3,059	\$ 3,360	\$ 3,481
	37%	39%	38%	39%	39%	38%	35%	39%	35%	35%	31%	33%	33%

Collection Efforts

	May 2021	April 2021	March 2021	Feb 2021	Jan 2021	Dec 2020	Nov 2020	Oct 2020	Sept 2020	Aug 2020	July 2020	June 2020	May 2020
Shuts *	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Red Tags **	-	-	-	-	-	-	-	-	-	-	-	-	-
Receivers	5	9	5	6	13	20	17	7	21	4	5	8	5
Top 100 Collection Calls	250	242	108	191	99	257	108	187	1,544	1,192	1,338	1,282	1,159
Other ⁽¹⁾	1,028	1,222	1,104	1,093	1,425	1,290	1,163	1,319	1,544	1,192	1,338	1,282	1,159
Total	\$ 1,283	\$ 1,473	\$ 1,217	\$ 1,290	\$ 1,537	\$ 1,567	\$ 1,288	\$ 1,513	\$ 1,565	\$ 1,196	\$ 1,343	\$ 1,290	\$ 1,164
	-	-	-	-	-	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-	-	-	-	-	-

* Number of shuts

** Number of Red tags

⁽¹⁾ Includes: Notices and letters and legal initiatives.

MEMORANDUM

TO: Beth Nesteriak
FROM: Tiffany Lufkin
CC: Ted Norris
SUBJECT: FY 2022 Representative Policy Board Weighted Vote Count
DATE: June 8, 2021

Attached please find the calculations for the Representative Policy Board (RPB) weighted vote count for Fiscal Year (FY) 2022, covering the period from June 1, 2021 through May 31, 2022. This calculation was performed according to the method prescribed in the enabling legislation of the South Central Connecticut Regional Water Authority (Authority). The FY 2022 vote calculations reflect the best available information, and have been reviewed and confirmed by Hetal Shah, Capital Planning Team Lead and Ted Norris, Vice President of Asset Management.

The enabling legislation does not define the total number of votes, therefore, based on the particular spread of population and land, the total number of votes may vary from year to year. The FY 2022 total number of votes has been calculated to be 101.

Included with this memo is the following documentation associated with the vote calculation:

- Summary table of weighted votes per member Town, entitled "Computation of FY 2022 Weighted Votes for the Representative Policy Board", dated June 7, 2021.
- The Real Estate Department's report, entitled "Summary of Land by Town", dated May 31, 2021.
- Summary table of customers per member town, entitled "SAP - Number of Customers Supplied", dated June 7, 2021¹.

The individual town votes in FY 2022 compared to FY 2021 will remain the same with the exception of Milford, which will decrease by 1 vote to 10, as a result of a slight increase in the overall total number of customers compared to the proportion of customers in Milford. Therefore, the total weighted vote count decreases to 101 as compared to 102 in FY 2021. Votes are rounded to the nearest whole number, and the Authority's enabling legislation does not require that each town have a minimum number of votes. Therefore, similar to FY 2021, Beacon Falls will have a weighted vote of zero in FY 2022. In a November 28, 2007 legal opinion, our attorneys, Murtha Cullina LLP, determined that a weighted vote of zero is a possible and allowable outcome of the weighted vote procedure outlined in the enabling legislation.

¹ The number of customers for this vote calculation was obtained by a report from the SAP system for all customers titled "Billing Analysis: Number of Active Water Accounts by Town".

COMPUTATION OF FY 2022 WEIGHTED VOTES FOR THE REPRESENTATIVE POLICY BOARD

June 7, 2021

TOWN	(1) VOTES FY 2021	(2) CUSTOMERS AS OF 5/31/2021	(3) QUOTIENT	(4) 2X QUOTIENT	(5) ACRES AS OF 5/31/2021	(6) QUOTIENT	(7) COLUMN 4+6	(8) COLUMN (4+6)/3	(9) COLUMN (4+6)/3 X 100	(10) VOTES FY 2022
ANSONIA	3	5,426	0.04657	0.09315	96	0.00350	0.09665	0.03222	3.22179	3
BEACON FALLS	0	0	0.00000	0.00000	22	0.00080	0.00080	0.00027	0.02677	0
BETHANY	5	6	0.00005	0.00010	3,945	0.14400	0.14410	0.04803	4.80340	5
BRANFORD	6	8,631	0.07409	0.14817	1,175	0.04289	0.19106	0.06369	6.36866	6
CHESHIRE	4	6,803	0.05839	0.11679	149	0.00544	0.12223	0.04074	4.07425	4
DERBY	2	3,240	0.02781	0.05562	2	0.00007	0.05569	0.01856	1.85649	2
EAST HAVEN	6	8,567	0.07354	0.14707	860	0.03139	0.17846	0.05949	5.94877	6
GUILFORD	4	1	0.00001	0.00002	3,294	0.12024	0.12025	0.04008	4.00846	4
HAMDEN	10	15,062	0.12929	0.25857	1,322	0.04826	0.30683	0.10228	10.22760	10
KILLINGWORTH	2	0	0.00000	0.00000	1,377	0.05026	0.05026	0.01675	1.67543	2
MADISON	6	0	0.00000	0.00000	4,716	0.17214	0.17214	0.05738	5.73806	6
MILFORD	11	18,322	0.15727	0.31454	4	0.00015	0.31468	0.10489	10.48947	10
NEW HAVEN	13	22,734	0.19514	0.39028	24	0.00088	0.39116	0.13039	13.03853	13
NO. BRANFORD	8	1,557	0.01336	0.02673	6,069	0.22153	0.24826	0.08275	8.27527	8
NO. HAVEN	5	7,882	0.06766	0.13531	54	0.00197	0.13728	0.04576	4.57611	5
ORANGE	3	4,039	0.03467	0.06934	587	0.02143	0.09076	0.03025	3.02550	3
PROSPECT	1	0	0.00000	0.00000	822	0.03000	0.03000	0.01000	1.00015	1
SEYMOUR	1	333	0.00286	0.00572	706	0.02577	0.03149	0.01050	1.04956	1
WEST HAVEN	8	13,427	0.11525	0.23050	275	0.01004	0.24054	0.08018	8.01808	8
WOODBIDGE	3	471	0.00404	0.00809	1,897	0.06924	0.07733	0.02578	2.57765	3
GOV. REP.	1	-	-	-	-	-	-	-	-	1
TOTALS	102	116,501	1	2	27,396	1	3	1	100	101

**RPB Weighted Vote Calculation
SUMMARY OF LAND BY TOWN
May 31, 2021**

Town	FY2018	FY2019	FY2020	FY2021	FY2022
ANSONIA	96	96	96	96	96
BEACON FALLS	22	22	22	22	22
BETHANY	3,946	3,946	3,944	3,945	3,945
BRANFORD	1,175	1,175	1,175	1,175	1,175
CHESHIRE	149	149	149	149	149
DERBY	2	2	2	2	2
EAST HAVEN	859	859	859	860	860
GUILFORD	3,297	3,295	3,295	3,295	3,294
HAMDEN	1,301	1,301	1,303	1,322	1,322
KILLINGWORTH	1,377	1,377	1,377	1,377	1,377
MADISON	4,675	4,718	4,716	4,716	4,716
MILFORD	4	4	4	4	4
NEW HAVEN	24	24	24	24	24
NO. BRANFORD	6,069	6,069	6,069	6,069	6,069
NO. HAVEN	54	54	54	54	54
ORANGE	587	587	587	587	587
PROSPECT	822	822	822	822	822
SEYMOUR	708	708	708	708	706
WEST HAVEN	275	275	275	275	275
WOODBIDGE	1,903	1,897	1,897	1,897	1,897
Total	27,345	27,380	27,378	27,399	27,396

(1) All values in acres. Beginning with the FY17 calculation, land values are based on GIS data. This data continues to be updated as newer and better information is collected on boundaries; therefore the reported total land by town may change although no acquisitions or dispositions have necessarily been made. This data is for informational purposes only.

(2) There was one disposition of land during FY21, in Seymour.

RPB Weighted Vote Calculation
SAP - NUMBER OF CUSTOMERS SUPPLIED⁽¹⁾
June 7, 2021

Town	FY2018 Customers	FY2019 Customers	FY2020 Customers	FY2021 Customers	FY2022 Customers
ANSONIA	5,375	5,380	5,420	5,418	5,426
BEACON FALLS					
BETHANY	5	5	5	5	6
BRANFORD	8,556	8,586	8,605	8,622	8,631
CHESHIRE	6,706	6,735	6,759	6,776	6,803
DERBY	3,227	3,237	3,241	3,245	3,240
EAST HAVEN	8,469	8,503	8,519	8,547	8,567
GUILFORD	1	1	1	1	1
HAMDEN	15,050	15,066	15,067	15,061	15,062
KILLINGWORTH					
MADISON					
MILFORD	18,160	18,210	18,262	18,305	18,322
NEW HAVEN	22,604	22,624	22,637	22,665	22,734
NORTH BRANFORD	1,507	1,513	1,521	1,522	1,557
NORTH HAVEN	7,784	7,792	7,824	7,859	7,882
ORANGE	3,906	3,948	3,977	4,004	4,039
PROSPECT					
SEYMOUR	330	332	331	334	333
WEST HAVEN	13,423	13,434	13,436	13,432	13,427
WOODBIDGE	467	468	470	472	471
Overall Result	115,570	115,834	116,075	116,268	116,501

(1) Beginning in FY17, this calculation uses the SAP Report "Billing Analysis: Number of Active Water Accounts by Town" for the snapshot month of May. This report was chosen to improve consistency in reporting with the Annual Report.

FY 2022-2023 RPB APPLICATION SCHEDULE

<u>Application</u>	<u>Application to Authority</u>	<u>Application to RPB</u>	<u>Public Hearing Required</u>
1. Rate Case	1st Quarter FY 22	1st Quarter FY 22	Y
2. Rules and Regulations Update	1st Quarter FY 22	1st Quarter FY 22	N
3. Lake Whitney Dam Improvements	1st Quarter FY 22	2nd Quarter FY 22	Y
4. LGWTP Clarifiers/Recycle Building Imp's and LGWTP HVAC	2nd Quarter FY 22	2nd Quarter FY 22	Y
5. SAP/CIS Implementation	3rd Quarter FY 22	3rd Quarter FY 22	Y
6. WTP Valve Replacement Program	3rd Quarter FY 22	4th Quarter FY 22	Y
7. LSWTP Electrical Imp's and LGWTP Electrical Imp's	4th Quarter FY 22	4th Quarter FY 22	Y
8. Skiff Street House Disposition	4th Quarter FY 22	4th Quarter FY 22	Y
9. Northern Service Area Expansion	1st Quarter FY 23	1st Quarter FY 23	Y
10. Spring Street Pump Station Replacement	1st Quarter FY 23	1st Quarter FY 23	Y
11. Critical Pump Station and Transmission Facilities Upgrades	3rd Quarter FY 23	3rd Quarter FY 23	Y

Note: Schedule will be updated when specific months of submission are determined.

**Representative Policy Board
Dashboard Metric - 4Q FY21**

Metrics	Quarter ended 5/31/20 (4Q FY 2020)	Quarter ended 2/28/21 (3Q FY 2021)	Quarter ended 5/31/21 (4Q FY 2021) Projected
Customer/Stakeholders			
<i>Combined Customer Satisfaction & Reputation (Note 1)</i>	Target: 96% +/-2% Results: 93.1%* <small>*Period ending March 2020, most recent available</small>	Target: 96% +/-2% Results: 93.1%* <small>*Period ending March 2020, most recent available</small>	Target: 96% +/-2% Results: 93.1%* <small>*Period ending March 2020, most recent available</small>
<i>Underlying Credit Rating</i>	S&P rating AA- , affirmed 10/2019 Moody's rating Aa3 , affirmed 10/2019	S&P rating AA- , affirmed 10/2019 Moody's rating Aa3 , affirmed 10/2019	S&P rating AA- , affirmed 10/2019 Moody's rating Aa3 , affirmed 10/2019
<i>Water Rates</i>	Update to be provided with the next Official Statement	Update to be provided with the next Official Statement	Update to be provided with the next Official Statement
Financial Metrics (Note 2)			
<i>Accrued Metered Water Revenues to Budget (000 omitted)</i>	Budget: \$105.736 million Result: \$103.686 million	Budget: \$78.567 million Budget Org: \$80.102 million Result: \$81.787 million	Budget: \$102.878 million Budget Org: \$104.712 million Result: \$108.012 million
<i>Other Net Revenues to Budget</i>	Budget: \$6.243 million Result: \$6.196 million	Budget: \$4.208 million Result: \$4.402 million	Budget: \$5.734 million Result: \$5.672 million
<i>O&M Expenditures to Budget (Note 3)</i>	Budget: \$61.049 million Result: \$55.585 million	Budget: \$41.677 million Budget Org.: \$45.268 Result: \$39.286 million	Budget: \$56.200 million Budget Org.: \$61.051 Result: \$55.305 million
<i>Capital Expenditures to Budget (Note 4)</i>	Budget: \$31.614 million Result: \$26.001 million	Budget: \$14.263 million Result: \$11.133 million	Budget: \$19.281 million Result: \$18.550 million
<i>Cash Collections (Water and Fire Service)</i>	Budget: \$116.16 million Result: \$114.14 million	Budget: \$74.896 million Budget Org: \$91.960 million Result: \$92.120 million	Budget: \$101.381 million Budget Org: \$117.202 million Result: \$117.333 million
<i>Coverage</i>	Budget: 1.14 w/draw Result: 1.30 w/o draw	Budget: 1.14 w/draw Projected: 1.17 w/0draw	Budget: 1.14 w/draw Projected: 1.26 w/0draw
<i>Draw Requirement</i>	Budget: \$829,000 Result: \$0	Budget: \$12.045 million Projected: \$0 million	Budget: \$12.045 million Projected: \$0 million

**Representative Policy Board
Dashboard Metric - 4Q FY21**

Metrics	Quarter ended 5/31/20 (4Q FY 2020)	Quarter ended 2/28/21 (3Q FY 2021)	Quarter ended 5/31/21 (4Q FY 2021) Projected
System Metrics			
<i>Average Daily Production (Draft) to Budget (MG/D)/Prior Year (Note 5)</i>	Budget: 43,598 MGD Result: 42,504 MGD	Prior Year: 43.986 MGD Result: 46.413 MGD	Prior Year: 42.504 MGD Result: 45.209 MGD
<i>Disinfection By-products</i>	Target: 90% Result: 100%* * As of Mar 31, 2020, updated quarterly based on calendar year	Target: 90% Result: 100%* * As of Dec. 31, 2020, updated quarterly based on calendar year	Target: 90% Result: 100%* * As of Mar. 31, 2021, updated quarterly based on calendar year
<i>Net Unaccounted For Water (annualized)</i>	Target: 10.0% Result: 10.58% as of 2/29/2020	Target: 10.0% Result: 12.28% for the annualized period of Dec. 2019 to Nov. 2020	Target: 10.0% Result: 11.09% for the annualized period of Mar. 2020 to Feb. 2021
<i>Reservoir Levels (% full)</i>	96% vs. 93% LTA	89% vs. 82% LTA	97% vs. 93% LTA

Notes:

Note 1: FY 2020 metric is Northeast Average for Customer Billing & Payment

Note 2: Excludes impact of governmental accounting standards for pension and opeb and may include expenses from non-revenue fund sources

Note 3: Excludes State and Redevelopment and contingency. FY 2021 also excludes capital funded by Growth Fund

Note 4 FY 2020 is vs. budget and FY 2021 is vs. prior year

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203-562-4020
<http://www.rwater.com>

TO: David J. Borowy, Chair
Kevin J. Curseaden
Anthony DiSalvo
Catherine LaMarr
Suzanne C. Sack
FROM: Beth Nesteriak
DATE: June 10, 2021
SUBJECT: Derby Tank Update

This memo is written to provide the Authority with an update on the Derby Tank Project and the land purchases associated with it.

Property Acquisition:

No change to previous update: Second Amendments to the Purchase and Sale Agreements for the Saint Peter and Saint Paul Church (Chatfield Street) and Durante (Lombard Drive) properties were executed and extend the closing date until final decisions are made on the pending litigation, as provided for in the First Amendments.

Planning and Zoning Approval: No change to previous update

The Derby Planning and Zoning Commission unanimously approved our site plan application on June 18, 2019. After the approval, an appeal was filed with the Superior Court by Attorney Cava, representing two residents – Sharlene McEvoy and Dorothy Marinelli (the only residential neighbor near the tank site), against the City and RWA.

Oral arguments for the case were heard on September 22nd via Zoom. The main issues argued were related to RWA’s standing to submit a site plan application to the Planning and Zoning Commission, the exemption issued by the City for the height of the tank, the environmental impact of tank site development, and the lighting of the cars in the parking lot into the Marinelli house.

Judge Berger presided over the hearing. The City of Derby’s attorney Barbara Schellenberg and RWA’s attorney’s Kari Olson and Joe Szerejko represented the defendants. Attorney Greg Cava represented the plaintiffs. Rose Gavrilovic and Orville Kelly of RWA and Mayor Richard Dzieken attended on behalf of RWA and the City of Derby, respectively. By law, the judge has 120 days to make his ruling, or until January 20, 2021.

Court Proceedings: As previously noted, Judge Berger ruled on the appeal on January 19, 2021 in favor of the City of Derby and RWA. The plaintiffs filed a petition to the court for permission to appeal to the appellate court on February 26, 2021. The City and RWA (Murtha) subsequently filed a joint opposition to the petition on March 8, 2021. The appellate court generally has a guideline of making decisions within 120 days, though they could render a decision sooner based on the opposition being filed. The 120 days takes the decision to July 6, 2021.

June 10, 2021 Update: On May 26, 2021, the appellate court denied the plaintiff’s February 26, 2021, petition for permission to appeal the decision from Judge Berger rendered on January 19, 2021, which dismissed the plaintiff’s original appeal. This decision clears the way for RWA to move forward with the project. Murtha has reinitiated

efforts on closings for the Lombardi Drive and St. Paul Ukrainian Catholic Church properties as well as the tank site lease between the City and RWA. Discussions with the tank contractor, Guerrera Construction, have also been restarted.

EON: Im

CC: Larry Bingaman
Ted Norris
Orville Kelly, Miles Moffatt

South Central Connecticut Regional Water Authority

90 Sargent Drive, New Haven, Connecticut 06511-5966 203-562-4020

<http://www.rwater.com>

TO: David J. Borowy. Chair
Kevin J. Curseaden
Anthony DiSalvo
Catherine LaMarr
Suzanne C. Sack

FROM: Beth Nesteriak

DATE: June 10, 2021

SUBJECT: North Cheshire Development Update

The following is an update to the Authority on the status of the discussions with the Developer, Town of Cheshire, and Town of Southington Water Department (SWD) regarding the Stone Bridge Crossing development at the northwest quadrant of the intersection of Routes 10 and I-691.

1. Developer, Paul Bowman and his partners:

No change to previous update: Mr. Bowman was informed that RWA has been working through the details of the MOUs with Cheshire and SWD staff, as well as their respective Town Council and Board, to come to agreements on the terms and conditions of the MOUs. Mr. Bowman took part in a meeting in early September between RWA and SWD to discuss the terms and conditions of the MOU as they relate to the development. Discussions with both parties continued and a draft MOU was developed by Murtha Cullina. It is currently under review by RWA staff.

2. Town of Cheshire:

No change to previous update: The Town of Cheshire Council proposed, and RWA agreed to the following terms related to the Town's financial support for the project.

- a. A five-year waiver, estimated at \$175,000, of the PILOT payments for the water mains within the development when and if RWA takes over these mains and;
- b. A 50% discount (reduction) for five years of the PILOT, estimated at \$215,000 (approximately \$108,000 discounted), for the water mains along Route 10 and on west side of the development connecting at Dickerman Road. This item would remain contingent upon the sale and/or redevelopment of the state-owned property.

No change to previous update: The MOU between RWA and the Town of Cheshire was approved by the Cheshire Town Council on December 8, 2020.

3. Southington Water Department:

No change to previous update: RWA has been in discussions with the SWD to discuss the terms and conditions that would allow Southington to initially own and operate the distribution system to the development, then transfer ownership to RWA. While not the entire cost of the mains, the SWD Board determined that RWA should make some payment to Southington when the transfer of ownership occurs. The Southington Board rejected our initial offer of \$150,000. Following multiple discussions, a one-time payment of \$200,000 was agreed to by both parties.


June 10, 2021 update: The Southington Water Department and RWA completed their internal reviews and both entities executed the MOU. RWA is completing plans for the main extension that will supply water to the development.

EON: lm

CC: Larry Bingaman
Ted Norris
Hetal Shah

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203.562.4020
<http://www.rwater.com>

To: David J. Borowy
Kevin J. Curseaden
Anthony DiSalvo
Catherine E LaMarr
Suzanne C. Sack

From:  Rochelle Kowalski

Date: June 11, 2021

Subject: Exemption from Public Bidding

Attached is a request for the approval of exemption from the public bidding requirement of Section 16 of Special Act No. 77-98 as amended.

- Lake Saltonstall Water Treatment Plant elevator improvements and standardization with Kone.

Attachment

REQUEST FOR EXEMPTION FROM PUBLIC BIDDING FOR

LAKE SALTONSTALL WATER TREATMENT PLANT ELEVATOR IMPROVEMENT

AS REQUIRED BY CONNECTICUT SPECIAL ACT NO. 77-98 AS AMENDED

JUNE 2021

SPECIFIC STATUTORY PROVISIONS

All contracts in excess of fifty thousand dollars for any supplies, materials, equipment, construction work or other contractual services shall be in writing and shall be awarded upon sealed bids or proposals made in compliance with a public notice duly advertised by publication in one or more newspapers of general circulation or, if there are no such newspapers, in appropriate electronic media, including, without limitation, the authority's Internet web site, as are likely to reach a broad segment of potential vendors, at least ten days before the time fixed for opening said bids or proposals, except for (1) contracts for professional services, (2) when the supplies, materials, equipment or work can only be furnished by a single party, (3) when the authority determines by a two-thirds vote of the entire authority that the award of such contract by negotiation without public bidding will be in the best interest of the authority, or (4) when the procurement is made as a result of participation in a procurement group, alliance or consortium made up of other state or federal government entities in which the state of Connecticut is authorized to participate. The authority may in its sole discretion reject all such bids or proposals or any bids received from a person, firm or corporation the authority finds to be unqualified to perform the contract, and shall award such contract to the lowest responsible bidder qualified to perform the contract. (Section 16, Special Act 77-98 as amended).

REQUEST FOR EXEMPTION

This request is for an exemption from competitive bidding the Lake Saltonstall Treatment Plant Elevator Improvement contract. The project includes replacement of the hydraulic cylinder, elevator freight power door conversion, power control units and pumps upgrades. The elevator improvement is required to bring the unit in conformance with the U.S. elevator code, law, and safety regulations. The value of this project is approximately \$375,000. This request is also for standardization with Kone.

REASON FOR REQUEST

The Authority utilizes Kone for elevator service and maintenance in all facilities. Standardizing on a single proven manufacturer such as Kone allows for improved response time for repairs and service. Kone is local and has a history of providing exceptional service for our existing elevators at multiple locations.

SUMMARY

The result of this exemption would benefit the Authority by reduced capital and operating costs through the standardization of equipment.

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203.562.4020
<http://www.rwater.com>

TO: David J. Borowy
Kevin J. Curseaden
Anthony DiSalvo
Catherine E. LaMarr
Suzanne C. Sack

FROM: *Rochelle* Rochelle Kowalski
Vice President of Financial Reporting & Analysis

DATE: June 11, 2021

SUBJECT: Quarterly financial statements for fiscal year 2021 (ending May 31, 2021)

Attached are the following preliminary financial reports regarding the fiscal year ended May 31, 2021, ("FY 2021") including the following:

- Statement of net position as of May 31, 2021 and May 31, 2020;
- Schedule A: Statements of revenues, expenses and changes in net position as of May 31, 2021, maintenance test, and commentary;
- Schedule B: Operating and maintenance expenses;
- Schedule C: Capital budget report;
- Schedule D: Investment earnings report-comparison of investment rates of return

Please recall that management will refine these figures as we proceed to close out FY 2021 and as we go through the Authority's annual audit with CliftonLarsenAllen, LLP (CLA).

REGIONAL WATER AUTHORITY
REVIEW OF FINANCIAL DATA
May 31, 2021 (FY 2021)

SCHEDULE A-1 - COMMENTARY

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION

Operating Revenues

FY21 revenue for water, including wholesale and fire service, is over budget by \$5,347k (approx. 4.6%) primarily due to higher than anticipated consumption. Metered water revenue is over budget by \$5,134 (approx. 5.0%)

Total net other revenue is \$412k above budget primarily due to higher other water revenue and lower other water expense.

Operating Expenses

Operating and Maintenance Expenses are currently under budget due to the following:

Payroll is under budget primarily due to head count under runs.	\$ (1,153,000)
Employee Benefits are over budget primarily due to the additional pension contribution and O&M/non-O&M mix, largely offset by lower medical expense.	121,000
Administrative Building is over budget primarily due to custodial services and other higher than anticipated costs.	121,000
Utilities & Fuel is over budget primarily due to electric service and communications.	238,000
Pump Power remained under budget for the fiscal year.	(81,000)
Postage is under budget primarily due to lower than anticipated costs.	(84,000)
Business Improvement is under budget. However, this under run is offset in other proprietary expenses.	(210,000)
Outside Services are above budget primarily due to the use of external resources to help cover key vacancies and other initiatives.	252,000
Insurance Premiums are over budget primarily due to reserve requirements and O&M/non-O&M mix.	78,000
Worker's Compensation, Pre-Captive is under budget due to a reimbursement and lower reserve requirements.	(74,000)
Training and continued education is under budget primarily due to lower tuition reimbursement.	(90,000)
RPB Fees are under budget due to lower than anticipated consulting and meeting fees.	(66,000)
Central Lab/Water Quality is under budget primarily due to the mix between internal and outside lab services.	(72,000)
Info. Technology Licensing & Maintenance Fees are under budget primarily due to lower than anticipated costs.	(173,000)
Maintenance & Repairs are over budget due to tornado and COVID-19 expenditures, valve repairs, and other higher than anticipated costs.	479,000
All Other	(181,000)
	<u>\$ (895,000)</u>

Interest Income

Interest Income is under budget due to lower investment earnings and interest in arrears.

PROJECTED MAINTENANCE TEST

The projected coverage is 1.26 without a shortfall. The budgeted shortfall is \$12.045 million.

**REGIONAL WATER AUTHORITY
STATEMENTS OF NET POSITION
AS OF MAY 31, 2021 AND 2020**

Assets	FY 2021	FY 2020	Y/Y Variance
Utility plant			
Property, plant and equipment in service	\$ 903,078,627	\$ 891,642,301	\$ 11,436,326
Accumulated depreciation	(385,621,897)	(361,941,227)	(23,680,670)
Utility plant in service	517,456,730	529,701,074	(12,244,344)
Land	27,993,988	28,090,328	(96,340)
Construction work in progress	26,103,315	19,664,155	6,439,160
Total utility plant, net	<u>571,554,034</u>	<u>577,455,557</u>	<u>(5,901,524)</u>
Nonutility land, at cost	64,983,522	64,983,522	-
Goodwill	14,423,704	14,423,704	-
Current assets			
Cash and cash equivalents	53,852,136	35,451,356	18,400,779
Investments	-	-	-
Accounts receivable, less allowance for doubtful accounts	15,049,703	13,784,791	1,264,912
Accrued revenue	18,622,307	18,159,742	462,565
Accrued interest receivable	4,437	94,563	(90,126)
Materials and supplies	1,900,639	1,676,190	224,450
Prepaid expenses and other assets	3,571,009	3,461,143	109,865
Total current assets	<u>93,000,232</u>	<u>72,627,786</u>	<u>20,372,446</u>
Long-Term Note Receivable	500,000	500,000.0	-
Pension Assets	-	-	-
OPEB Assets	-	-	-
Restricted assets	104,753,988	121,046,235	(16,292,247)
Regulatory assets	9,819,180	10,589,880	(770,700)
Total assets	<u>859,034,659</u>	<u>861,626,683</u>	<u>(2,592,025)</u>
Deferred Outflows of Resources			
Deferred charge on refunding	17,200,567	18,555,951	(1,355,384)
Deferred charge on pension plans	3,163,189	5,578,762	(2,415,573)
Deferred charge on OPEB plans	878,175	1,218,060	(339,885)
Total	<u>\$ 880,276,589</u>	<u>\$ 886,979,457</u>	<u>\$ (6,702,867)</u>

Liabilities and Net Assets	FY 2021	FY 2020	Y/Y Variance
Liabilities			
Revenue bonds payable, less current portion	\$ 495,615,000	\$ 516,180,000	\$ (20,565,000)
Net premiums and discounts from revenue bonds payat	41,072,922	45,498,431	(4,425,509)
DWSRF loans payable, less current portion	25,603,073	21,117,737	4,485,336
Net pension liability	19,071,269	19,071,269	-
Net OPEB obligation	18,344,539	18,344,539	-
Total noncurrent liabilities	<u>599,706,804</u>	<u>620,211,976</u>	<u>(20,505,172)</u>
Current liabilities			
Current portion of revenue bonds payable	20,565,000	19,765,000	800,000
Current portion of DWSRF loans payable	1,033,618	1,033,618	-
Accounts payable	4,116,825	3,728,919	387,906
Notes payable	50,500	5,848,723	(5,798,223)
Customer deposits and advances	1,853,494	1,214,980	638,514
Other accrued liabilities	7,977,506	8,377,678	(400,173)
Total current liabilities	<u>35,596,942</u>	<u>39,968,918</u>	<u>(4,371,976)</u>
Liabilities payable from restricted assets			
Accounts payable for construction	1,463,586	1,865,412	(401,826)
Accrued interest payable	7,209,198	7,473,554	(264,357)
Customer deposits and advances	1,493,232	762,447	730,785
Total liabilities payable from restricted assets	<u>10,166,015</u>	<u>10,101,413</u>	<u>64,602</u>
Other liabilities	-	114,000	(114,000)
Total liabilities	<u>645,469,761</u>	<u>670,396,307</u>	<u>(24,926,546)</u>
Deferred inflows of resources			
Deferred inflows related to pensions	136,766	880,143	(743,377)
Deferred inflows related to OPEB	3,228,008	4,113,856	(885,848)
Net Assets			
Invested in capital assets, net of related debt	84,214,212	65,975,225	18,238,987
Restricted assets	95,087,973	111,444,822	(16,356,849)
Unrestricted assets	52,139,870	34,169,103	17,970,767
Total net assets	<u>231,442,055</u>	<u>211,589,150</u>	<u>19,852,904</u>
Total liabilities and net assets	<u>\$ 880,276,589</u>	<u>\$ 886,979,457</u>	<u>\$ (6,702,867)</u>

**REGIONAL WATER AUTHORITY
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE MONTHS ENDING MAY 31, 2021**

Schedule A-1

	FY 2020 Actual	FY 2021 Budget	FY 2021 Projected	(Under)Over Budget
Operating revenues				
Metered water revenues	\$ 103,686	\$ 102,878	\$ 108,012	\$ 5,134
Fire service	12,054	12,074	12,155	80
Wholesale	871	846	978	132
Other revenue - water	3,656	2,889	3,306	416
Other revenue - proprietary	10,146	9,306	9,683	377
Total operating revenues	<u>130,412</u>	<u>127,993</u>	<u>134,133</u>	<u>6,140</u>
Operating expenses				
Operating and maintenance expense	55,585	56,200	55,305	(895)
Pension Expense	(1,219)			
OPEB Expense	(422.85)			
Expense associated with other revenue - water	1,716	1,900	1,679	(221)
Expense associated with other revenue - proprietary	3,901	3,438	4,040	602
Provision for uncollectible accounts	1,096	975	900	(75)
Depreciation	24,228	25,200	25,204	4
Payment in lieu of taxes	8,388	8,700	8,530	(170)
Amortization Pension Outflows/Inflows	449	1,674	1,672	(2)
Amortization OPEB Outflows/Inflows	(546)	(546)	(546)	0
Total operating expenses	<u>93,175</u>	<u>97,541</u>	<u>96,784</u>	<u>(757)</u>
Operating income	<u>37,237</u>	<u>30,452</u>	<u>37,349</u>	<u>6,898</u>
Nonoperating income and (expense)				
Interest income	3,925	1,910	252	(1,658)
(Loss) Gain on disposal of assets	30		(803)	(803)
Realized and unrealized (losses) gains on investments	43		15	15
Interest expense	(24,026)	(22,370)	(22,240)	130
Amortization of bond discount, premium, issuance cost and deferred losses	3,368	2,670	2,650	(20)
Intergovernmental revenue	180		825	825
Total nonoperating income and (expense)	<u>(16,482)</u>	<u>(17,789)</u>	<u>(19,301)</u>	<u>(1,511)</u>
Income (expense) before contributions	<u>20,755</u>	<u>\$ 12,662</u>	<u>18,048</u>	<u>\$ 5,387</u>
Capital contributions	<u>2,503</u>		<u>1,805</u>	
Change in net assets	23,259		19,853	
Total net assets - beginning of fiscal year	<u>188,331</u>		<u>211,589</u>	
Total net assets - end of reporting month	<u>\$ 211,590</u>		<u>\$ 231,442</u>	

	Budget	Projected	(Under)Over	(Under)Over
	FY 2021	FY 2021	FY 2021	FY 2021
	@114%	@114%	@114%	Original Budget
FY 2021 MAINTENANCE TEST (Budget vs. Projected)				
Revenue Collected:				
Water sales	101,381	117,333	\$ 15,952	131
Interest Income	986	258	(728)	(1,065)
BABs Subsidy	656	664	8	
Other Net	6,302	6,850	549	(188)
Common Non-Core	(200)	(244)	(44)	(44)
Total	<u>109,124</u>	<u>124,860</u>	<u>15,736</u>	<u>(1,166)</u>
Less:				
Operating and maintenance expenses	(56,200)	(55,305)	895	5,746
Common Non-Core	100		(100)	(100)
Depreciation	(6,500)	(6,500)	-	
PILOT (A)	(8,700)	(8,550)	150	150
Net Avail for Debt Service (B)	<u>\$ 37,824</u>	<u>\$ 54,505</u>	<u>\$ 16,681</u>	<u>\$ 5,796</u>
Debt Service Payments (C)	<u>\$ 43,745</u>	<u>43,262</u>	<u>\$ (483)</u>	<u>\$ (506)</u>
Debt Service @ 114% (D)	<u>\$ 49,869</u>	<u>49,319</u>	<u>\$ (551)</u>	<u>\$ (577)</u>
Difference (B-D)	<u>\$ (12,045)</u>	<u>\$ 5,187</u>		
RSF, Growth and/or General Fund (D)	12,045			
Coverage (A+D/C)	<u>114%</u>	<u>126%</u>		

**REGIONAL WATER AUTHORITY
OPERATING AND MAINTENANCE EXPENSE
MAY 31, 2021**

Schedule B

PERIOD ENDING MAY 31, 2021

	FY 2020 Actual	FY 2021 Budget	FY 2021 Projected	(Under) Over
1 Payroll	\$ 22,389	\$ 23,088	\$ 21,935	\$ (1,153)
2 Employee Benefits	7,905	8,182	7,416	(765)
Pension Contributions	3,932	2,661	3,547	886
3 Administrative Building	1,121	928	1,049	121
4 General & Administrative	1,363	1,347	1,340	(6)
5 Transportation	511	673	648	(25)
6 Tools & Stores	294	305	325	21
7 Utilities & Fuel	1,254	1,253	1,491	238
8 Material From Inventory	295	239	265	25
9 Pump Power Purchased	2,620	2,970	2,889	(81)
10 Chemicals	1,771	1,855	1,814	(41)
11 Road Repairs	188	200	160	(40)
14 Postage	332	288	204	(84)
15 Printing & Forms	102	83	62	(20)
17 Collection Expense	610	648	600	(48)
18 Business Improvement	401	366	155	(210)
19 Public/Customer Information	278	289	247	(42)
20 Outside Services	2,583	2,485	2,737	252
21 Insurance Premiums	1,237	1,540	1,618	78
22 Worker's Compensation, pre-Churchill	314	54	(21)	(74)
23 Damages	40	60	68	8
24 Training & Cont. Education	176	214	124	(90)
25 Authority Fees	132	157	131	(25)
26 Consumer Counsel	24	63	27	(35)
27 RPB Fees	90	169	103	(66)
28 Organizational Dues	170	102	100	(1)
29 Donations	24	19	23	5
34 Central Lab/Water Quality	422	433	361	(72)
40 Environmental Affairs	87	93	139	46
44 Info. Technology Licensing & Maintenance Fees	1,991	2,293	2,120	(173)
45 Maintenance and Repairs	2,928	2,726	3,205	479
46 Regulatory Asset Amortization		422	421	(1)
	<u>\$ 55,585</u>	<u>\$ 56,200</u>	<u>\$ 55,305</u>	<u>\$ (895)</u>

	Period Ending May 31, 2021			Period Ending May 31, 2021		
	Budget	Expenditures	(Under)/Over	Budget	Projected	(Under)/Over
I. NATURAL RESOURCES						
Hamden Middle School Remediation	160	137	(23)	160	137	(23)
Lake Whitney Dam & Spillway Improvements	450	531	81	450	531	81
Peat Swamp Dam Modifications Filtration Pond	25	1	(24)	25	1	(24)
Tunnel Diversion Raw Water Main Rehabilitation Program	25	6	(19)	25	6	(19)
Miscellaneous Natural Resources	91	83	(9)	91	83	(9)
Prior Year	-	56	56	-	56	56
TOTAL	751	814	63	751	814	63
II. PUMPING						
Raynham Hill Pump Station Equipment & Generator Replacement	-	-	-	-	-	-
Burwell Hill Pump Station Equipment Replacement	819	640	(179)	819	640	(179)
Lake Gaillard Pump Station Improvements	1,038	996	(42)	1,038	996	(42)
MCC Replace/Improvements -Northwest Cheshire Pump Station	92	105	14	92	105	14
Sanford Street Pump Station Rehabilitation	220	214	(6)	220	214	(6)
Hill Street Pump Station Repairs	350	185	(165)	350	185	(165)
Miscellaneous Pumping	149	165	16	149	165	16
Prior Year	-	-	-	-	-	-
TOTAL	2,668	2,305	(363)	2,668	2,305	(363)
III. TREATMENT						
Filter Media Replacement	481	505	24	481	505	24
LGWTP - Process Valve Replacements	30	29	(1)	30	29	(1)
LGWTP - Chemical Feed Improvements	585	584	(1)	585	584	(1)
LGWTP - Backwash Polymer System	20	32	12	20	32	12
LGWTP -Roof Replacements	22	3	(19)	22	3	(19)
LGWTP -Electrical Upgrades	70	65	(5)	70	65	(5)
LGWTP -Structural Improvements	1	2	1	1	2	1
LGWTP -Clarifiers Recycle Pumps	35	50	15	35	50	15
LSWTP - Lagoon Discharge Pipe Replacement Footbridge Rehab	20	1	(19)	20	1	(19)
LSWTP -Hypochlorite System	50	43	(7)	50	43	(7)
LSWTP -Chemical Treatment System Improvements	120	123	3	120	123	3
LWWTP Improvements	43	40	(3)	43	40	(3)
West River WTP-Dissolved Air Flotation (DAF)	565	472	(93)	565	472	(93)
West River WTP-Effluent Pipe Injection	304	152	(152)	304	152	(152)
West River WTP-Chemical System Improvements	5	7	2	5	7	2
Seymour Wellfield Back-Up Well and Metering	70	60	(10)	70	60	(10)
Seymour Wellfield Treatment System Improvements	75	32	(43)	75	32	(43)

	Period Ending May 31, 2021			Period Ending May 31, 2021		
	Budget	Expenditures	(Under)/Over	Budget	Projected	(Under)/Over
NSG Wellfield Facility Improvements	1,245	1,251	6	1,245	1,251	6
Well Rehabilitations	247	293	46	247	293	46
Well Replacements	75	4	(71)	75	4	(71)
NSG Well 1B Pump Motor Replacement	275	131	(144)	275	131	(144)
Rocky Corner Satellite System	30	12	(18)	30	12	(18)
WRWTP Backwash & Surface Wash Pump Repl	605	418	(187)	605	418	(187)
Prior Year	-	104	104	-	104	104
TOTAL	4,973	4,414	(559)	4,973	4,414	(559)
IV. TRANSMISSION AND DISTRIBUTION						
Pipe	3,106	3,098	(8)	3,106	3,098	(8)
Ruden Street Cleaning Lining, West Haven	275	274	(1)	275	274	(1)
Valve Replacements	250	368	118	250	368	118
Service Connections	1,500	1,403	(97)	1,500	1,403	(97)
Capital Pipe Service Transfers	350	466	116	350	466	116
Meters	195	248	53	195	248	53
Hydrants and Connections	100	200	100	100	200	100
AMI Meters	85	75	(10)	85	75	(10)
Sanitary Survey Improvements-LGWTP Finished Water Reservoirs	200	266	66	200	266	66
East West Transmission System	200	86	(114)	200	86	(114)
West Johnson Ave Transmission Main Connection	75	9	(66)	75	9	(66)
Brushy Plains System Upgrade-Phase II	151	124	(27)	151	124	(27)
Grand Avenue Pipe Bridge & Main Replacement	6	6	0	6	6	0
Ansonia-Derby Tank	209	42	(167)	209	42	(167)
West Avenue Tank Painting	466	436	(30)	466	436	(30)
Water Quality Improvements	130	76	(54)	130	76	(54)
Lake Saltonstall WTP FWR Roof Improv Valve Replac	30	10	(20)	30	10	(20)
Western Service Area Bulk Fill Station, Ansonia	92	85	(7)	92	85	(7)
Miscellaneous Transmission and Distribution	-	277	277	-	277	277
Prior Year	-	107	107	-	107	107
TOTAL	7,419	7,655	236	7,419	7,655	236
V. GENERAL PLANT						
SAP Business Enhancements	50	13	(37)	50	13	(37)
SAP SQL Upgrade	-	-	-	-	-	-
SAP Customer Channels Sales Marketing	150	148	(2)	150	148	(2)
Monthly Billing	295	159	(136)	295	159	(136)
Cyber Security Enhancements	100	26	(74)	100	26	(74)

	Period Ending May 31, 2021			Period Ending May 31, 2021		
	Budget	Expenditures	(Under)/Over	Budget	Projected	(Under)/Over
System-Wide Radio Telemetry Unit (RTU) Upgrade	795	910	115	795	910	115
SCADA Upgrades	100	124	24	100	124	24
LIMS Upgrades	1,260	1,332	72	1,260	1,332	72
Information Systems	400	284	(116)	400	284	(116)
Miscellaneous IT	42	39	(3)	42	39	(3)
Equipment	100	90	(10)	100	90	(10)
Miscellaneous Equipment	68	23	(45)	68	23	(45)
90 Sargent Drive	50	33	(17)	50	33	(17)
Miscellaneous 90 Sargent Drive	60	91	31	60	91	31
Prior Year	-	88	88	-	88	88
TOTAL	3,470	3,362	(108)	3,470	3,362	(108)
VII. CONTINGENCY	365	-	(365)	365	-	(365)
TOTAL	19,646	18,550	(1,097)	19,646	18,550	(1,097)
VIII. STATE & REDEVELOPMENT PIPE	3,800	1,359	(2,441)	3,800	1,359	(2,441)
IX. NON-CORE BILLING	746	379	(367)	746	379	(367)
TOTAL	24,192	20,288	(3,904)	24,192	20,288	(3,904)

Investment Earnings Report
Comparison of Investment Rates of Return

Fund Type	Balance @ May 31, 2021	Budgeted Return	Rate of Return May 31, 2021	Rate of Return Fiscal Year to Date
<u>Less than Six Months</u>				
Revenue Investment (A)	\$ 28,374,585	1.01%	0.04%	0.13%
Revenue (B)	2,447,771	0.00%	0.00%	0.00%
Rate Stabilization (A)	10,000,000	1.01%	0.04%	0.13%
Operating Reserve (A)	8,185,679	1.01%	0.04%	0.13%
Operating Reserve (C)	1,500,000	0.25%	0.01%	0.01%
Capital Contingency (A)	5,561,075	1.01%	0.04%	0.13%
Debt Reserve (A)	18,992,341	1.01%	0.04%	0.13%
Debt Reserve (C)	890	0.25%	0.01%	0.01%
Debt Service (A)	29,216,264	1.01%	0.04%	0.13%
PILOT (A)	3,772,984	1.01%	0.04%	0.13%
General Fund (A)	16,126,128	1.01%	0.04%	0.13%
Sub-Total	\$ 124,177,717			
<u>Long Term Investments</u>				
Operating Reserve	\$ 500,000	1.97%	0.51%	1.65%
Capital Contingency	500,000	1.97%	0.38%	0.45%
Debt Reserve	2,033,710	2.12%	0.51%	0.99%
Sub-Total	\$ 3,033,710			
<u>Other</u>				
Construction (A)	\$ 23,044,339	1.01%	0.04%	0.13%
Growth Fund	5,824,237	0.00%	0.07%	0.11%
Interim Financing	897	0.00%	0.04%	0.06%
Sub-Total	\$ 28,869,473			
Total	\$ 156,080,900			

(A) Investments are in the Connecticut Short Term Investment Fund (STIF).

(B) Reflects new sweep product with balances fully insured. Balances earn credits to offset bank fees.
Percentage based on month-end book balance.

(C) Investments are in the First American Government Obligation Fund.
Requested transfer of Operating Reserve balance to STIF.

Fund	Budgeted Interest (Cash Basis) as of May 31, 2021	Interest Received (Cash Basis) as of May 31, 2021	(Under)/ Over
Debt Reserve	284,601	82,124	(202,477)
Operating Reserve	141,105	59,710	(81,395)
Capital Contingency	70,479	19,338	(51,141)
PILOT	20,184	5,149	(15,035)
Debt Service	160,467	32,281	(128,186)
Revenue	119,123	27,741	(91,382)
Rate Stabilization	100,839	12,711	(88,128)
General	89,068	19,263	(69,805)
Sub Total	<u>985,866</u>	<u>258,317</u>	<u>(727,549)</u>
Construction	215,894	54,888	(161,006)
Growth Fund	-	6,996	6,996
Interim Financing	-	1	1
Total	<u>1,201,760</u>	<u>320,202</u>	<u>(881,558)</u>

South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203.562.4020
<http://www.rwater.com>

TO:

David J. Borowy
Kevin J. Curseaden
Anthony DiSalvo
Catherine E. LaMarr
Suzanne C. Sack

FROM: *Rochelle* Rochelle Kowalski

DATE: June 17, 2021

SUBJECT: Distribution of Fiscal Year 2021 Revenue Fund Balance and General Fund
Balance per Section 404D of the *General Bond Resolution*

REVENUE FUND

The Revenue Fund balance available for disposition at May 31, 2021, was \$17,691,845.

You will recall that the *General Bond Resolution* (Section 404D) requires the Authority to distribute any balance at year-end in the Revenue Fund as follows:

1. To the Capital Contingency Fund, if necessary.
2. To the Operating Reserve Fund, if necessary.
3. To the Rate Stabilization Fund, if desirable.
4. To the General Fund.

Since the balance of \$6,061,090 in the Capital Contingency Fund meets the requirement of the *General Bond Resolution*, no transfer is necessary.

Since the balance of \$10,185,714 in the Operating Reserve meets the requirement of the *General Bond Resolution*, no transfer is necessary.

Therefore, the amount of \$17,691,845 will be transferred to the General Fund prior to the last day of this month in accordance with the *General Bond Resolution* associated with the Year End Distribution. Of the \$17,691,845 management proposes to transfer \$1,000,000 to the Growth Fund and \$16,691,845 from the General Fund to the Construction Fund as part of the Year-End Distribution. This year management is also proposing to transfer \$3,000,000 of the existing General Fund balance to the Construction Fund.

Summary of Proposed Revenue Fund Transfers:

Operating Reserve Fund	\$ 0
General Fund	<u>\$17,691,845</u>
	<u>\$17,691,845</u>

Summary of Proposed General Fund transfers for Year-End Distribution:

Growth Fund	\$ 1,000,000
Construction Fund	<u>\$ 16,691,845</u>
	<u>\$ 17,691,845</u>

Additional Transfer from General Fund:

General Fund Balance After Above Transfers:	\$16,148,000
General Fund to Construction Fund	<u>\$ 3,000,000</u>
	<u>\$13,148,000</u>

The attached schedules further detail the proposed transfers.

PROPOSED RESOLUTION FOR AUTHORITY MEETING

June 17, 2021

Resolution Authorizing the Transfer of **\$17,691,845** from the Revenue Fund to the General Fund

Whereas, The Vice President of Financial Reporting & Analysis has and will make certain transfers from the Revenue Fund as required by Section 404D of the General Bond Resolution;

Whereas, the balance remaining in the Revenue Fund after taking into account such transfers as of year-end is approximately **\$17,691,845** and pursuant to the General Bond Resolution will be deposited to the General Fund;

Now, therefore, be it hereby resolved, that after such transfer is made to the General Fund, the balance of the General Fund is approximately **\$33,839,845**.

PROPOSED RESOLUTION FOR AUTHORITY MEETING

June 17, 2021

Resolution Authorizing the Transfer of **\$19,691,845** from the General Fund to the Construction Fund.

Whereas, pursuant to section 410 of the General Bond Resolution, the Authority may apply any moneys in the General Fund to any lawful purpose of the Authority;

Now, therefore, be it hereby resolved by the Regional Water Authority;

The Vice President of Financial Reporting & Analysis is hereby authorized and directed to transfer and pay over from the General Fund, **\$16,691,845** to the Construction Fund.

Additionally, the Vice President of Financial Reporting & Analysis is hereby authorized and directed to transfer and pay from the General Fund **\$3,000,000** from the existing General Fund balance to the Construction Fund.

PROPOSED RESOLUTION FOR AUTHORITY MEETING

June 17, 2021

Resolution Authorizing the Transfer of **\$1,000,000** from the General Fund
To the Growth Fund.

Whereas, pursuant to section 410 of the General Bond Resolution, the Authority may apply any moneys in the General Fund to any lawful purpose of the Authority;

Now, therefore, be it hereby resolved by the Regional Water Authority;

The Vice President of Financial Reporting & Analysis is hereby authorized and directed to transfer from the General Fund **\$1,000,000** to the Growth Fund.

Summary FY 2021		(S000 omitted)
Total Available Disposition		17,692
Operating Reserve Fund		-
Total Available Less Op. Fund		17,692
Rate Stabilization Fund		
General Fund		
Growth Fund		(1,000)
Construction Fund		(16,692)
		(17,692)

(S000 omitted)	FY2016	FY2017	FY2018	FY2019	FY2020 Proposed	FY2021 Proposed
General Fund						
Beginning Balance	10,000	10,000	10,500	10,648	10,648	16,148.0
Draws/Transfers			(202)	(575)	-	(3,000)
Adds		500	350	575	5,500	-
Ending Balance	10,000	10,500	10,648	10,648	16,148.00	13,148

Not Part of Rev Fund
Disposition



(S000 omitted)	FY2016	FY2017	FY 2018	FY 2019	FY 2020 Proposed	FY2021 Proposed
Growth Fund						
Beginning Balance		2,465	3,500	5,000	5,500	6,250
Draws				(500)	-	(629)
Adds	2,465	1,035	1,500	1,000	750	1,000
Ending Balance	2,465	3,500	5,000	5,500	6,250	6,620



(S000 omitted)	FY2016	FY2017	FY 2018	FY2019	FY2020 Proposed	FY2021 Proposed
Construction Fund - Internally Generated						
Beginning Balance	15,283	16,422	24,625	28,729	30,146	29,279
Reimbursement		409	1,585	3,262	553	
Capital Program Funding	(8,337)	(5,296)	(8,307)	(14,300)	(9,991)	(18,550)
Adds from Disp.	9,476	13,090	10,825	12,455	8,571	16,692
Adds from General Fund						3,000
Ending Balance	16,422	24,625	28,729	30,146	29,279	30,421



South Central Connecticut Regional Water Authority
90 Sargent Drive, New Haven, Connecticut 06511-5966 203.562.4020
<http://www.rwater.com>

MEMORANDUM

TO: David J. Borowy
Kevin J. Curseaden
Anthony DiSalvo
Catherine E. LaMarr
Suzanne C. Sack

FROM: *Rochelle* Rochelle Kowalski

DATE: June 11, 2021

SUBJECT: Proposed revisions (carry over) to 2022 fiscal year capital budget

Enclosed for your review and approval is a summary of the proposed revisions to the 2022 fiscal year capital budget.

If you have any questions, please do not hesitate to contact me.

PROPOSED RESOLUTION FOR AUTHORITY MEETING

JUNE 17, 2021

RESOLVED: That the proposed revisions to the capital Budget for the Authority for the 2022 fiscal year presented to this meeting, including a revised plan of capital improvements for the 2022 through 2026 fiscal years, is hereby adopted and ordered to be filed with the records and the U.S. Bank National Association pursuant to Section 614 for the General Bond Resolution.

**South Central Connecticut Regional Water Authority
Proposed Revisions To Fiscal Year 2022 Capital Budget**

FY21 TO FY22 Carry-Overs

COVID Related

West River WTP Effluent Pipe Injection Chamber	\$	130,000
West River WTP Backwash & Surface Wash Pump Repl.		150,000

Timing Related

Hamden Middle School Remediation	\$	23,488
Burwell Hill Pump Station Equipment Replacement		158,705
Lake Gaillard Pump Station Improvements		33,400
Hill Street Pump Station Repairs		165,255
NSG Well 1B Pump & Motor Replacement		143,748
12" Thimble Island Road		24,450
12" & 8" Oakland Ave, Bayshore Dr, Milford		39,000
Ansonia-Derby Tank		80,000
Data Center Life Cycle Replacements		80,000
Document Management		2,660
Laboratory Equipment		5,000

Retainage

Sanford Street Pump Station Rehabilitation	\$	3,400
LSWTP Hypchlorite System	\$	5,000
Skiff Street Bridge Betterment, Hamden		10,000
Brushy Plains System Upgrades Phase II		18,000
West Avenue Tank Painting		24,000

Total Carry-Over \$ 1,096,106

-CAUTION-

THE DISCLOSURE OF CERTAIN INFORMATION ON PAGES, MAPS OR OTHER MATERIALS STAMPED HEREIN MAY POSE A SAFETY AND SECURITY RISK TO PERSONS AND/OR PROPERTY. THE DETERMINATION TO DISCLOSE THIS INFORMATION SHALL ONLY BE MADE PURSUANT TO C.G.S. SECTION 1-210.

**South Central Connecticut Regional Water Authority
5-Year Plan of Capital Improvements
(000's omitted)**

	Fiscal Year 2022	Fiscal Year 2023	Fiscal Year 2024	Fiscal Year 2025	Fiscal Year 2026	TOTAL
NATURAL RESOURCES (1)						
Land Management	\$ 20	\$ 20	\$ 20	\$ -	\$ 50	\$ 110
Watershed Protection	125	100	100	100	100	525
Improvements to Reservoir Intakes	325	-	-	190	810	1,325
Improvements to Reservoir Dams & Spillways	-	20	20	525	4,300	4,865
Lake Whitney Dam & Spillway Improvements	600	10,500	18,800	8,700	-	38,600
Bridge Refurbishments	-	-	-	300	-	300
Tunnel Repairs & Improvements	480	400	100	550	100	1,630
Miscellaneous	208	85	60	65	338	756
	1,758	11,125	19,100	10,430	5,698	48,111
TREATMENT (2)						
Lake Saltonstall WTP Process Improvements	1,875	2,340	1,462	500	200	6,377
Lake Gaillard WTP Process Improvements	2,626	5,475	7,425	2,894	2,410	20,830
West River WTP Process Improvements	7,530	7,000	1,400	150	150	16,230
Lake Whitney WTP Process Improvements	680	150	375	500	100	1,805
Improvements to Groundwater Treatment Facilities	3,384	1,185	1,105	1,525	1,650	8,849
Filter Media Replacement	500	500	500	500	500	2,500
Miscellaneous	375	673	200	650	600	2,498
	16,970	17,323	12,467	6,719	5,610	59,089
TRANSMISSION & PUMPING (3)						
Pipe and Transmission Main	7,716	5,825	6,575	7,175	9,100	36,391
Cleaning and Lining	-	-	100	3,000	1,000	4,100
Valve Replacements	300	250	250	250	400	1,450
Service Connections & Hydrants	2,125	2,425	2,425	2,525	2,525	12,025
Meters	105	50	50	50	50	305
AMI Meters	610	-	-	-	-	610
Tank Painting & Improvements	24	100	60	1,150	2,050	3,384
Tank Construction/Replacement	2,830	1,300	2,700	11,500	9,000	27,330
Motor Control Center Replacements/Electrical Improvements	-	-	-	-	250	250
Critical Pump Station & Transmission Facilities Upgrades	350	750	900	900	2,000	4,900
Variable Frequency Drive Replacements	150	60	150	150	200	710
Pump Station Generator Replacements	125	700	-	-	-	825
Burwell Hill Pump Station Equipment Replacement	647	-	-	-	-	647
Spring Street Pump Station Replacement	305	4,000	5,000	-	-	9,305
Miscellaneous	1,053	860	575	985	1,605	5,078
	16,539	16,320	18,785	27,685	28,180	107,509
GENERAL PLANT (4)						
Information Systems	2,398	3,755	2,361	1,712	2,287	12,512
SAP Customer Channels Sales & Marketing	225	250	250	200	75	1,000
Data Center Life Cycle Replacements	630	650	650	650	650	3,230
System-Wide RTU Upgrade	700	-	-	-	-	700
Equipment	527	822	937	1,065	920	4,271
90 Sargent Drive	60	100	125	300	315	900
	4,540	5,577	4,323	3,927	4,247	22,613
CONTINGENCY	500	500	547	488	437	2,472
TOTAL	\$ 40,307	\$ 50,845	\$ 55,222	49,249	44,172	\$ 239,794
ESCALATED TOTAL (5)	\$ 40,307	\$ 52,370	\$ 58,585	53,815	49,716	\$ 254,793
CONSTR. FUND STATE & REDEV REVOLV. ACCT	\$ 3,000	\$ 3,000	\$ 3,000	3,000	3,000	\$ 15,000
NON CORE BILLING, PSW, PSS, HP, MIGRATION (6)	\$ 745	\$ 325	\$ 360	40	40	\$ 1,510

- (1) Projects required to provide for present and future water requirements as well as protection of existing water supplies.
- (2) Projects which are necessary to maintain compliance with all Federal and State regulations as well as provide an adequate supply for future expansion of water demand.
- (3) Projects necessary to correct deficiencies in the system and provide for current and future demands needed for both consumption and fire protection, as well as modify and upgrade pumping facilities.
- (4) Expenditures for specific items including information systems, equipment, vehicles and plant modifications.
- (5) Escalated at 3% per year.
- (6) To be funded out of the Growth Fund

SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY

**PROPOSED SCHEDULE FOR ISSUANCE TEST RATE APPLICATION
OF THE AUTHORITY'S 36TH SERIES BONDS TO FUND ITS PROGRAM OF CAPITAL IMPROVEMENTS**

PRELIMINARY WORK: BONDS AND RATE APPLICATION	36th Series Bonds	July Rate Application (January effective date)	Days	Comments
Discuss this schedule with the Authority Mail rate application and resolutions for 36th Series Bonds to Authority Authority reviews rate application and general resolutions for 36th Series Authority takes action regarding rate application and 36th Series <i>Authority: special meeting, if necessary, regarding rate application</i>	Thurs. June 17th Mon., July 12th Thurs., July 15th Thurs., July 15th Fri., July 16th	Thurs. June 17th Mon., July 12th Thurs., July 15th Thurs., July 15th Fri., July 16th		Done at regular meeting of Authority Authority: Regular meeting Authority: regular meeting Authority: possibly a special meeting
<u>IF THE AUTHORITY APPROVES THE RATE APPLICATION</u>				
Submit general resolution for 36th Series and rate application to RPB; request public hearing	Mon., July 19th	Mon., July 19th		File at least min. period before RPB acts
Circulate first draft of Preliminary Official Statement ("POS") for 36th Series	Tues., July 27th	Tues., July 27th		Bond counsel to working group
Meet with Office of Consumer Affairs, if necessary	Thurs. Aug 5th	Thurs. Aug 5th		
Meet with RPB's Finance Committee re: completeness of application	Mon. Aug. 9th	Mon. Aug. 9th	21	Regular meeting of the committee
RPB takes action in response to request for public hearing	Thurs., Aug 19th	Thurs., Aug 19th	31	RPB: regular meeting.
Publish notice of public hearing	Sat. Aug. 21st	Sat. Aug. 21st	1	Must be at least 20 days before hearing.
Submit updates to POS to bond counsel	Mon. Aug. 23rd			Working group to bond counsel
Forward rate application to wholesale customers		Wed. Aug. 25th		
Circulate legal documents (other than POS)	Wed. Sep. 1st			Bond counsel to working group
<i>Holiday: Labor Day</i>	Mon. Sept. 6th	Mon. Sept. 6th		
Working session: POS; NOS and other legal documents	Wed. Sept. 7th			
Submit further updates to legal documents and POS to Phelan	Mon. Sept. 13th			
<u>IF ACCEPTED BY THE RPB AND IF THE HEARING DATE IS SET</u>				
RPB holds public hearing		Thurs. Sept. 16th	26	RPB: regular meeting
<i>Holiday: Columbus Day</i>	Mon. Oct. 11th	Mon. Oct. 11th		
RPB takes action regarding rate application and general resolution for 36th Series Bonds	Thurs. Oct. 21st	Thurs. Oct. 21st		RPB: regular meeting
Publish RPB's decision regarding rate application	Sat. Oct. 23rd	Sat. Oct. 23rd	1	Appeals must be filed w/in 45 days
<u>IF THE RPB APPROVES THE RATE APPLICATION AND 36TH SERIES</u>				
<i>Holiday: Veteran's Day</i>	Thurs. Nov. 11th	Thurs. Nov. 11th		
Send Authority draft POS, bond terms, and supplemental resolution	Fri. Nov. 12th			
Send bond documents to rating agencies	Fri. Nov. 12th			
Discussion with credit rating agencies (and insurers companies) re: 36th Series Bonds	Week of Nov. 15th			
Authority takes action regarding POS and supplemental resolution	Thurs. Nov 18th			Authority: regular meeting

SOUTH CENTRAL CONNECTICUT REGIONAL WATER AUTHORITY

**PROPOSED SCHEDULE FOR ISSUANCE TEST RATE APPLICATION
OF THE AUTHORITY'S 36TH SERIES BONDS TO FUND ITS PROGRAM OF CAPITAL IMPROVEMENTS**

PRELIMINARY WORK: BONDS AND RATE APPLICATION	36th Series Bonds	July Rate Application (January effective date)	Days	Comments
Receive credit ratings from rating agencies (Standard & Poor's; Moody's)	Mon. Nov. 22nd			
45-day appeal period ends regarding the rate application Distribute POS over internet to prospective buyers Mail POS to Authority		Wed. Dec. 8th	45	Appeal period ends on the 45th day from notice) - following day is first day after appeal period
	Thurs. Dec 9th			
	Thurs. Dec 9th			
Pricing for the 36th Series Bonds which the Authority accepts or rejects (If the sale is negotiated, sign Bond Purchase Agreement)	Tues., Dec 14th			Market conditions, dependent Regular Authority Meeting is Dec. 16th
<u>IF THE AUTHORITY ACCEPTS THE PRICING FOR THE 36th SERIES BONDS</u>				
Bonds counsel circulates final draft of OS to reflect pricing	Mon. Dec. 20th			
Bond counsel receives final approval regarding OS	Tues. Dec. 21st			
Print and circulate OS	Wed. Dec. 22nd			
Sign closing documents	Thurs. Dec. 23rd			
<i>Holiday: Christmas Eve & Day</i>	<i>Fri. Dec 24th and Mon. Dec. 27th</i>			
<i>Holiday: New Years Eve & Day</i>	<i>Fri. Dec 31st and Mon. Jan. 3rd</i>			
Close on 36th Series Bonds and implement rate increase	Wed. Jan 5th	Wed. Jan 5th		

RPB COMMITTEE MEETINGS

Month	Meeting	Will Attend
April 2021	<i>Finance Committee</i> Monday, Apr 12 at 5:00 p.m.	Suzanne
	<i>Land Use Committee</i> Wednesday, Apr 14 at 5:30 p.m.	Kevin
	<i>Consumer Affairs Committee/LUC</i> <i>(Special Joint Meeting to rev. FY 22 Budget)</i> Monday, Apr 19 at 5:30 p.m.	David
	<i>Finance Committee (Special Meeting to rev. FY 22 Budget)</i> Tuesday, Apr 20 at 5:00 p.m.	David
May 2021	<i>Finance Committee</i> Monday, May 10 at 5:00 p.m.	Tony
	<i>Land Use Committee</i> Wednesday, May 12 at 4:30 p.m.	David
	<i>Consumer Affairs Committee</i> Monday, May 17 at 5:30 p.m.	Suzanne
June 2021	<i>Finance Committee</i> Monday, June 14 at 5:00 p.m.	Suzanne
	<i>Land Use Committee</i> Wednesday, June 9 at 5:30 p.m.	Catherine
	<i>Consumer Affairs Committee</i> Monday, June 21 at 5:30 p.m.	Tony

RPB COMMITTEE MEETINGS

Month	Meeting	Will Attend
July 2021	<i>Finance Committee</i> Monday, July 19 at 5:00 p.m.	
	<i>Land Use Committee</i> Wednesday, July 14 at 5:30 p.m.	
	<i>Consumer Affairs Committee/LUC</i> Monday, July 19 at 5:30 p.m.	
August 2021	<i>Finance Committee</i> Monday, August 9 at 5:00 p.m.	
	<i>Land Use Committee</i> Wednesday, August 11 at 5:30 p.m.	
	<i>Consumer Affairs Committee</i> Monday, August 16 at 5:30 p.m.	
September 2021	<i>Finance Committee</i> Monday, Sept. 13 at 5:00 p.m.	
	<i>Land Use Committee</i> Wednesday, Sept. 8 at 4:30 p.m.	
	<i>Consumer Affairs Committee</i> Monday, Sept. 20 at 5:30 p.m.	

***Board Resolution of Joseph Cermola in Recognition and Profound Appreciation
of Distinguished Service by the Regional Water Authority Board***

Duly Passed on June 17, 2021

Whereas, Joseph A. Cermola has faithfully served the South Central Connecticut Regional Water District as a member of the Regional Water Authority for forty-four years; and

Whereas, Joe became a member of the Regional Water Authority in 1977, and has served with great dedication as Vice Chair from 1992 to 2008, and from 2010 to 2020; and

Whereas, Joe served as Assistant Secretary/Treasurer of the Regional Water Authority from 1982 to 1991, and as Secretary/Treasurer in 2009; and

Whereas, Joe served as Chairman of the Audit-Risk Committee of the Regional Water Authority from 1999 to 2016, and in 2020; and

Whereas, Joe also served as Chairman of the Compensation Committee of the Regional Water Authority from 2017 to 2021; and

Whereas, Joe displayed a deep commitment to the mission, vision, and STARS values of the Regional Water Authority; and

Whereas, Joe's hard work, dedication and leadership contributed greatly to the Regional Water Authority's reputation as a leader in the water industry and as a strong steward of the environment; and

Whereas, Joe has unselfishly contributed his time and talents to the Regional Water Authority, and participated at all times in a gentlemanly fashion, while blending a keen insight with his extensive knowledge and experience in engineering and finance, and as an organizational leader.

Now Therefore Be It Resolved, that the South Central Connecticut Regional Water Authority hereby congratulates Joseph A. Cermola, gratefully acknowledges his forty-four dedicated years of continuous service, and wishes him well in the future.