

**Representative Policy Board
Finance Committee
South Central Connecticut Regional Water District
via Remote Access****

AGENDA

Regular Meeting of Monday, June 13, 2022 at 5:00 p.m.

1. Safety Moment
2. Approval of Minutes:
 - a. May 9, 2022 meeting
 - b. May 18, 2022 special meeting
3. Derby Tank Update: S. Lakshminarayanan
4. Quarterly Report on RPB approved projects: R. Kowalski
5. Notification of upcoming Committee Chair election in July
6. New Business
7. Adjourn

Note: As a reminder, the next meeting of the Finance Committee is scheduled for **Tuesday, July 12, 2022 at 5:00 p.m.** (special meeting).

****Members of the public may attend the meeting via remote access. For information on attending the meeting via remote access and to view meeting documents, please visit <https://tinyurl.com/2p83z3xu>. For questions, contact the board office at 203-401-2515 or email jslubowski@rwater.com**

Representative Policy Board

Finance Committee Meeting

Monday, June 13, 2022 at 5:00 p.m.

Call in (*audio only*)

[+1 469-965-2517,,988632292#](tel:+14699652517988632292) United States, Dallas

Phone Conference ID: 988 632 292#

For questions on attending the meeting, please contact the board office at 203-401-2515 or by email at jslubowski@rwater.com

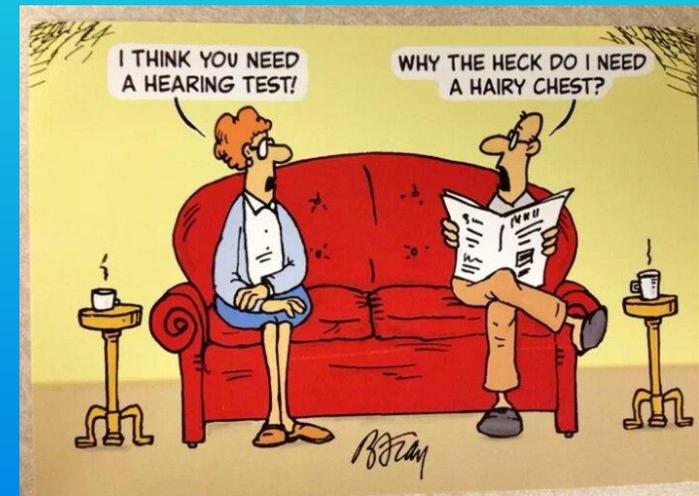
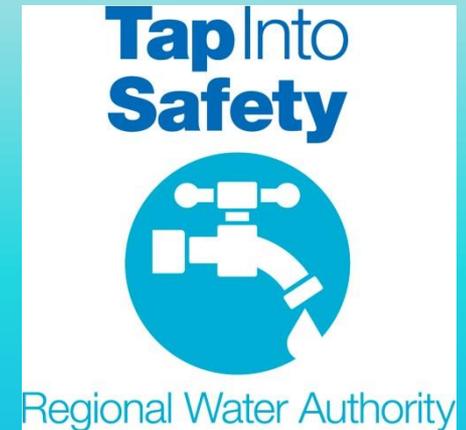
SAFETY MOMENT

Prevent Hearing Loss

Generally hearing loss is gradual and usually by the time you notice it is too late to prevent hearing loss before it happens.

Protect your ears:

- Limit exposure to noisy activities and monitor your listening levels
- Wear hearing protection while working in loud activities at work or home
- Have your hearing tested
- Watch for symptoms and early signs of hearing loss



Service – Teamwork – Accountability – Respect – Safety

Safety is a core company value at the Regional Water Authority .
It is our goal to reduce workplace injuries to zero.

 Regional Water Authority

UNAPPROVED DRAFT

**Representative Policy Board
Finance Committee
South Central Connecticut Regional Water District
Via Remote Access**

MINUTES

Regular Meeting of Monday, May 9, 2022 at 5:00 p.m.

Committee Members Present: Jay Jaser

Committee Members Remote: Tim Slocum, Tom Clifford, Charles Havrda, and Jamie Mowat Young

Committee Members Absent: Vincent Marino and Michelle Verderame

RPB Member: Stephen Mongillo

FMA Member: Suzanne Sack

Management: Larry Bingaman, Rochelle Kowalski, Dennis Donovan, and Donna Verdisco

OCA: Atty. Jeffrey Donofrio

Staff: Jennifer Slubowski

Chair Slocum called the meeting to order at 5:00 p.m. He reviewed the Safety Moment distributed to members.

On motion made by Mr. Havrda, seconded by Mr. Jaser, and unanimously carried, the committee voted to approve the minutes of its April 11, 2022 regular meeting and its April 20, 2022 special meeting.

Committee members discussed management's presentation of the FY 2023 budget. Members noted that all questions asked at the special meetings were answered and there were no follow up questions received from Representative Policy Board ("RPB") members.

Chair Slocum stated that the Attorney Donofrio, Office of Consumer Affairs, made good points in his memorandum in support of the proposed budget.

The Committee thanked management for the information provided and stated that the Committee was satisfied with the presentation and discussion that followed.

After discussion, on motion made by Ms. Young, seconded by Mr. Jaser, and unanimously carried, the committee voted to recommend its support of the FY 2023 proposed budget to the RPB at its May 26, 2022 meeting.

Mr. Bingaman, the RWA's President and Chief Executive Officer, discussed the need for an expedited schedule for the upcoming Lake Whitney Dam Design Application. He suggested that the Committee hold a special meeting on May 18 at 5:00 pm to review the application for completeness, mode, and date of public hearing and recommendation to the RPB Chairman for approval to set the public hearing date, as set forth in the requirements for expedited applications.

Ms. Kowalski stated that the expedited procedure allows for the 20-day requirement for the Notice of Public

Representative Policy Board
Finance Committee
May 9, 2022

UNAPPROVED DRAFT

Hearing, which if recommended, would take place on Thursday, June 16, 2022 at 7:00 p.m. The timing of the decision regarding the application was also discussed. After discussion, it was the consensus of the committee to schedule a tentative special Committee meeting on May 18, 2022 at 5:00 pm, via hybrid methods, to review the Authority's Application for the Lake Whitney Dam Initial Design for possible recommendation to the RPB Chairman to schedule a public hearing on Thursday, June 16, 2022 at 7:00 pm.

Chair Slocum stated that the regular Committee meeting scheduled for Monday, July 11, 2022 conflicts with the Claire C. Bennett Watershed Fund annual golf tournament. After discussion, the Committee agreed to reschedule the meeting to Tuesday, July 12, 2022 at 5:00 p.m., via hybrid methods.

The Chair reviewed committee member attendance at the May 26, 2022 upcoming Authority meeting.

There was no new business to report.

At 5:19 p.m., on motion made by Mr. Clifford, seconded by Mr. Havrda, and unanimously carried, the meeting adjourned.

Timothy Slocum, Chairman

**Representative Policy Board
Finance Committee
South Central Connecticut Regional Water District**

MINUTES

Special Meeting of Wednesday, May 18, 2022 at 5:00 p.m.

Committee Members Present: Jay Jaser

Committee Members Remote: Tim Slocum, Tom Clifford, Charles Havrda, Vin Marino, and Michelle Verderame

Committee Members Absent: Jamie Mowat Young

RPB Members: Mario Ricozzi

FMA: David Borowy

Management: Rochelle Kowalski and Sunny Lakshminarayanan

OCA: Atty. Jeffrey Donofrio

Staff: Jennifer Slubowski

Chair Slocum called the meeting to order at 5:00 p.m. He reviewed the Safety Moment distributed to members.

Ms. Kowalski, the RWA's Vice President of Financial Reporting and Analysis, provided an overview of resolutions before the committee. She stated that the special meeting is being held to consider the completeness of the Authority's Application for the Lake Whitney Dam Initial Design (Phase I) (Application) for recommendation to the RPB Chair and authorization to publish a Notice of Public Hearing.

Mr. Ricozzi, Chair of the Representative Policy Board (RPB), provided background and the need for the expedited process for the Application. He stated that as the design develops and the Authority has had an opportunity to meet and receive public input, it provides the Authority with better insights for when they are ready to start construction.

Chair Slocum noted that there are two resolutions for reviewed before the committee: 1) to recommend the Application to the RPB Chair, under the expedited process, to schedule a public hearing on June 9, 2022 at 6:30 pm and authorize the Notice of Public hearing for publication in the newspapers, and 2) to recommend the Application's associated financing to the full RPB for consideration. After discussion, on motion made by Mr. Havrda, seconded by Mr. Clifford, and unanimously carried, the committee voted to adopt the following resolution:

WHEREAS, the South Central Connecticut Regional Water Authority, on May 16, 2022, filed an Application with the Representative Policy Board ("RPB") for the Lake Whitney Dam Initial Design Project (Phase I), (the "Application"); and

WHEREAS, the Finance Committee of the Representative Policy Board reviewed the Application recommended that the Application be accepted by the RPB Chairman on behalf

of the RPB as complete, under the expedited process; and

WHEREAS, the Finance Committee recommends that a public hearing be conducted by a Presiding Member; and

WHEREAS, the RPB Chairman accepts said Application on behalf of the RPB, as complete and scheduled said Application for a public hearing, under the expedited process and in accordance with Special Act 77-98, as amended, and the RPB Bylaws and Rules of Practice.

NOW THEREFORE BE IT RESOLVED, that the RPB Chairman accepts the Authority's Application on behalf of the RPB, and determined to hold a public hearing, to be conducted by a Presiding Member, on June 9, 2022 at 6:30 p.m.; and

RESOLVED FURTHER, that the Chairperson is hereby directed to give notice of said hearing in accordance with Section 11 of the Rules of Practice, as amended.

The Committee also reviewed the Application's associated financing resolution and on motion made by Mr. Jaser, seconded by Mr. Marino, and unanimously carried, the committee recommended the following resolution for consideration by the RPB:

WHEREAS, the South Central Connecticut Regional Water Authority (the "Authority") proposes to issue its Bonds which may be issued as Project Loan Obligations delivered to the State of Connecticut or as obligations issued pursuant to the federal Water Infrastructure Finance and Innovation Act (the "Bonds") in accordance with Special Act 77-98, as amended, of the General Assembly of the State of Connecticut (the "Act") and the Water System Revenue Bond Resolution, General Bond Resolution, adopted by the Authority and approved by the Representative Policy Board of the South Central Connecticut Regional Water District (the "RPB") on July 31, 1980, as amended and supplemented (the "General Bond Resolution"); and

WHEREAS, the Act authorizes the Authority to issue its bonds from time to time but subject to the approval of the RPB;

NOW THEREFORE BE IT RESOLVED THAT:

1. The RPB hereby approves the issuance of the Authority's Bonds in an aggregate principal amount not to exceed Six Million Twenty Thousand Dollars (\$6,020,000) and further resolved;
2. The Bonds may be issued as obligations in one or more series pursuant to the General Bond Resolution and a supplemental resolution that may be adopted by the Authority for each series of Bonds, each of which shall specify the amount of the Bonds, the purposes for which the Bonds are to be issued, the date or dates, maturities, sinking fund installments if any, interest rates, series, denominations, form, redemption prices, security provisions and

such other details of the Bonds as the Authority shall determine in accordance with the limits established by the General Bond Resolution and hereby and further resolved;

3. The purposes of the Bonds shall be to finance or refinance the cost of the design of the Lake Whitney Dam and Spillway Improvements (the “Project”) to provide funds for deposit to the Capital Contingency Fund, Debt Reserve Fund, and Operating Reserve Fund, as necessary pursuant to the General Bond Resolution and as permitted by the Internal Revenue Code of 1986, as amended and to pay costs of issuance and further resolved;
4. The Bonds may be sold by negotiation as serial or term bonds with stated maturities and may be sold in a private or direct placement to a bank, the State of Connecticut or the United States of America.

Mr. Borowy, Chair of the Authority, and Ms. Kowalski thanked committee members for supporting the Authority’s expedited process.

At 5:12 p.m., on motion made by Mr. Clifford, seconded by Mr. Marino, and unanimously carried, the meeting adjourned.

Timothy Slocum, Chairman

South Central Connecticut Regional Water Authority
 90 Sargent Drive, New Haven, Connecticut 06511-5966 203-562-4020
 http://www.rwater.com

TO: Tim Slocum
1285 Lilac Court
Cheshire, CT 06410

DATE: June 3, 2022

RE: Quarterly Update of RPB
Approved Projects

ATTN: _____

We are sending you Enclosed Under Separate Cover via E-Mail Hand Delivery the following items:

- Prints Shop Drawings Data Sheets RPB Report
 Sketches Specifications Brochures _____

COPIES	PREPARED BY	REFERENCE NO.	DESCRIPTION
1		March – May 2022	Quarterly Report

THESE ARE TRANSMITTED:

- As Requested For Your Information _____
 For Your Use For Review and Comment

Remarks: Enclosed please find the fourth quarter Fiscal Year 2022 status report of all RPB-approved projects.

Very truly yours,

Regional Water Authority



 Sunny Lakshminarayanan, P.E.
 Vice President – Engineering & Env. Services

- Copies to:** David Borowy
Kevin Curseaden
Anthony DiSalvo
Suzanne Sack
Catherine LaMarr
Mario Ricoszi
Larry Bingaman
Rochelle Kowalski
James Courchaine

**STATUS OF RPB-APPROVED PROJECTS
FOURTH QUARTER FISCAL YEAR 2021 REPORT
MARCH – MAY 2022**

Ansonia-Derby Tank

Date of Initial Approval by the RPB: November 2012
Date Re-approved by the RPB: February 2019
Amount Initially Approved by the RPB: \$4,900,000
Revised Amount Approved by the RPB: \$5,100,000
Amount Expended to Date: \$1,382,649
Estimated Final Cost of Project: \$5,540,000
Scheduled Completion: February 2020
Anticipated Completion: November 2022

During the quarter, CT DEEP completed its review and issued a letter to the City of Derby stating there is no lien restriction on the intended tank site. The City's Board of Aldermen approved the Memorandum of Understanding (MOU) to lease the tank site land, as well as the purchase of the properties. The contractor, Guerrera Construction, submitted a change order with revised costs due to delays which has been approved by the Connecticut Department of Public Health (CT DPH), as required under the Drinking Water State Revolving Fund (DWSRF). A contract was executed with Guerrera, and the contractor expects to mobilize in the near future.

System-Wide Radio Telemetry (RTU) Upgrades

Date Approved by the RPB: July 2018
Amount Approved by the RPB: \$5,700,000
Amount Expended to Date: \$5,502,403
Actual Final Cost of the Project: \$5,632,472
Scheduled Completion: May 2020
Actual Completion: May 2022

During the fourth quarter, the contractor, Knapp Engineering, completed work on the final two valve chamber sites. Final payment was issued to the contractor in May. Per contract terms, two percent retainage, or \$30,069, will be held for a period of one year. All work on the project is now complete.

West River Water Treatment Plant Improvements

Date Approved by the RPB: March 2021
Amount Approved by the RPB: \$16,300,000
Amount Expended to Date: \$4,318,031
Estimated Final Cost of the Project: \$17,000,000
Scheduled Completion: September 2023
Anticipated Completion: September 2023

During the quarter, the contractor, The Associated Construction Company, continued the shop drawing submittal process, and performed site work excavation, pouring of concrete slab for sections of the DAF building, demolition of the hypochlorite system, and installation of Filter Nos. 1 and 3 underdrain systems and media.

Lake Gaillard Water Treatment Plant Clarifier, Recycle Pump Station, & Concrete Restoration

Date Approved by the RPB: March 2022
Amount Approved by the RPB: \$8,659,500
Amount Expended to Date: \$244,645
Estimated Final Cost of the Project: \$8,659,500
Scheduled Completion: November 2023
Anticipated Completion: November 2023

During the quarter, approval of the project was received from the Representative Policy Board. Our consultant, Tighe & Bond, Inc., completed final design, and submitted it to CT DPH for review, approval, and authorization to advertise for bid as required under DWSRF. The project is anticipated to be advertised for bids in early June.

Lake Gaillard Water Treatment Plant HVAC and Electrical Improvements

Date Approved by the RPB: March 2022
Amount Approved by the RPB: \$6,130,800
Amount Expended to Date: \$222,442
Estimated Final Cost of the Project: \$6,130,800
Scheduled Completion: May 2024
Anticipated Completion: May 2024

During the quarter, approval of the project was received from the Representative Policy Board. Our consultant, Tighe & Bond, Inc., continued work on final design. The project is anticipated to be advertised for bids during the second quarter of FY 2023.